

MEETING: CABINET  
DATE: Thursday 20th May, 2010  
TIME: 10.00 am  
VENUE: Town Hall, Southport

**Member \***

Councillor

Robertson (Chair)  
Booth  
Brodie - Browne  
P Dowd  
Fairclough  
Maher  
Moncur  
Parry  
Porter  
Tattersall

\*subject to approval at the Council Meeting on 13 May 2010

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The Cabinet is responsible for making what are known as Key Decisions, which will be notified on the Forward Plan. Items marked with an \* on the agenda involve Key Decisions

A key decision, as defined in the Council's Constitution, is: -

- any Executive decision that is not in the Annual Revenue Budget and Capital Programme approved by the Council and which requires a gross budget expenditure, saving or virement of more than £100,000 or more than 2% of a Departmental budget, whichever is the greater
- any Executive decision where the outcome will have a significant impact on a significant number of people living or working in two or more Wards

**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

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# A G E N D A

Items marked with an \* involve key decisions

<u>Item No.</u>	<u>Subject/Author(s)</u>	<u>Wards Affected</u>	
1.	<b>Apologies for Absence</b>		
2.	<b>Declarations of Interest</b> Members and Officers are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda in accordance with the relevant Code of Conduct.		
3.	<b>Minutes</b> Minutes of the meeting held on 15 April 2010		(Pages 7 - 22)
4.	<b>Dementia Working Group - Final Report</b> Report of the Overview & Scrutiny Committee (Health & Social Care) Dementia Working Group	All Wards;	(Pages 23 - 70)
5.	<b>Sefton City Learning Centres - Capital Redevelopment Funding</b> Report of the Strategic Director - Children, Schools and Families	All Wards;	(Pages 71 - 74)
6.	<b>Maghull High School - Specialist Schools Redesignation Capital Grant</b> Report of the Strategic Director - Children, Schools and Families	Park;	(Pages 75 - 78)
7.	<b>Aiming High For Disabled Children - Additional Schemes</b> Report of the Strategic Director - Children, Schools and Families	All Wards;	(Pages 79 - 82)
* 8.	<b>Demolition of Balliol House</b> Report of the Strategic Director - Communities	Derby;	(Pages 83 - 88)
9.	<b>Funding Opportunities - Progress Report 4</b> Report of the Strategic Director - Communities	All Wards;	(Pages 89 - 108)

10.	<b>Provision Of New Sports Changing Facility - Portland Street Southport</b> Report of the Strategic Director - Communities	Kew;	(Pages 109 - 114)
11.	<b>Birkdale High School - Refurbishment of Science Laboratories</b> Report of the Strategic Director - Communities	Ainsdale; Birkdale; Dukes;	(Pages 115 - 120)
* 12.	<b>Southport Cultural Centre - Award of The Second Stage Tender</b> Report of the Strategic Director - Communities	Ainsdale; Birkdale; Cambridge; Dukes; Kew; Meols; Norwood;	(Pages 121 - 128)
13.	<b>Local Government Association General Assembly 2010/11 - Appointment of Representatives</b> Report of the Assistant Chief Executive	All Wards;	(Pages 129 - 132)
14.	<b>Appointment of Representatives on Outside Bodies 2010/11</b> Report of the Assistant Chief Executive	All Wards;	(Pages 133 - 144)
15.	<b>Treasury Management 2009/10 - Fourth Quarter Update</b> Report of the Interim Head of Corporate Finance and ICT Strategy	All Wards;	(Pages 145 - 156)
16.	<b>Sefton Coast Landscape Partnership Scheme</b> Report of the Leisure and Tourism Director	Ainsdale; Birkdale; Blundellsands; Cambridge; Church; Harington; Manor; Meols; Ravenmeols;	(Pages 157 - 176)
17.	<b>Land at Palmerston Avenue, Litherland</b> Report of the Neighbourhoods and Investment Programmes Director	Litherland;	(Pages 177 - 182)
18.	<b>Restructure of the Taxi Licensing Enforcement Team and Reduction of Driver Licence Fees</b> Report of the Environmental and Technical Services Director	All Wards;	(Pages 183 - 192)

**19. Exclusion of Press and Public**

To consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for the following item(s) of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

**20. Provision of "Gap" Funding to Keepmoat Limited for the Development of 69 Dwellings For Sale and Social Rent at Queens Road, Bootle**

Derby; Linacre;

(Pages 193 - 200)

Report of the Neighbourhoods and Investment Programmes Director

**21. Independent Living Centre Scarisbrick Avenue Southport**

Dukes;

(Pages 201 - 210)

Report of the Strategic Director - Communities

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**THE "CALL IN" PERIOD FOR THIS SET OF MINUTES ENDS AT 12 NOON ON TUESDAY 27 APRIL, 2010. MINUTE NOS. 331, 341, 350 AND 351 ARE NOT SUBJECT TO "CALL-IN"**

## **CABINET**

### **MEETING HELD AT THE TOWN HALL, BOOTLE ON THURSDAY 15TH APRIL, 2010**

**PRESENT:** Councillor Robertson (in the Chair)  
Councillors Brodie - Browne, P Dowd, Lord Fearn,  
Griffiths, Maher, Parry and Tattersall

#### **326. APOLOGIES FOR ABSENCE**

Apologies for absence were received from Councillors Fairclough and Porter.

#### **327. DECLARATIONS OF INTEREST**

The following declarations of interest were received:

Member/Officer	Minute No.	Reason	Action
Councillor Maher	330 - Gardner Avenue Allotments	Personal - The partner of one of his distant relatives has a plot on the Allotment Site	Took part in the consideration of the item and voted thereon
Samantha Tunney - Assistant Chief Executive	331 - Constitution Senior Management Structure	Personal - affected by the proposals in the report	Remained in the room during the consideration of the item
Margaret Carney - Chief Executive	332 - Statutory Officers	Personal - affected by the proposals in the report	Left the room during the consideration of the item

#### **328. MINUTES**

**RESOLVED:**

That the Minutes of the Cabinet Meeting held on 4 March 2010 be confirmed as a correct record.

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

## **329. SOUTHPORT'S VISITOR ECONOMY STRATEGY**

The Cabinet considered the report of the Leisure and Tourism Director which sought approval to the adoption of the Southport Visitor Economy Strategy as the delivery plan for the cross cutting visitor economy element of the Southport Investment Strategy.

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

RESOLVED:

That the recommendations and priorities contained within the Southport Visitor Economy Strategy be approved.

## **330. GARDNER AVENUE ALLOTMENTS**

Further to Minute No. 25 of the meeting held on 12 June 2008, the Cabinet considered the report of the Interim Head of Corporate Legal Services which incorporated the Further Report of the Local Government Ombudsman following her investigation into two complaints arising from incidents at the Gardner Avenue Allotment Site, Bootle.

The Cabinet also considered correspondence submitted by the complainants "Mrs. B and Mr. C" referred to in the report from the Local Government Ombudsman.

RESOLVED: That

- (1) the report and correspondence be noted; and
- (2) having taken full account of the Further Report of the Local Government Ombudsman and in particular the remedies recommended by her, the previous decision taken by the Cabinet to not accept the action recommended by the Ombudsman on the two complaints be reaffirmed and Members remain of the view that it would be inappropriate to apologise or pay compensation to the complainants

## **331. CONSTITUTION - SENIOR MANAGEMENT STRUCTURE**

The Cabinet considered the report of the Interim Head of Corporate Legal Services on proposed amendments to the Council Constitution to reflect the new Senior Management structure and the statutory requirements with regard to a designated Scrutiny Officer and the terms of reference of the Standards Committee.



CABINET- THURSDAY 15TH APRIL, 2010

RESOLVED:

That the Council be recommended to:

- (1) approve the amended Delegations to Officers and consequential minor changes to Portfolios, set out in the report;
- (2) the Assistant Chief Executive being appointed as the Council's "Scrutiny Officer" as required by Section 31 of the Local Democracy, Economic Development and Construction Act 2009; and
- (3) the terms of reference of the Standards Committee being amended pursuant to Section 202 of the Local Government and Public Involvement in Health Act 2007.

### **332. STATUTORY OFFICERS**

Further to Minute No. 252 of the meeting held on 17 December 2009. the Cabinet considered the report of the Interim Head of Corporate Legal Services on the role of the Council's Finance Officer and the proposed interim arrangements to be put in place.

RESOLVED:

That the Chief Executive be formally appointed the Council's Section 151 Officer on an interim basis until such time as the Head of Corporate Finance and ICT Strategy is appointed and commences the duties of the post.

### **333. STATUTORY GUIDANCE ON THE DUTY TO RESPOND TO PETITIONS**

The Cabinet considered a report on the statutory guidance on the duty implemented under the Local Democracy, Economic Development and Construction Act 2009 to respond to petitions and the proposed timetable for the implementation of this requirement by the deadline of 15 June 2010.

RESOLVED: That

- (1) the new statutory duty to respond to petitions be noted;
- (2) the Chief Executive, in consultation with the political group leaders, be authorised to submit a petitions scheme to the Council meeting on 13 May 2010 for implementation by 15 June 2010;
- (3) consequential amendments to the Council's Constitution be submitted to the Council meeting on 13 May 2010 for consideration;

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

- (4) a further report on the implementation of e-petitions by 15 December 2010 be brought to a future meeting of the Cabinet; and
- (5) it be noted that the proposal was a Key Decision but, unfortunately, had not been included in the Council's Forward Plan of Key Decisions. Consequently, the Chair of the Overview and Scrutiny Committee (Performance and Corporate Services) had been consulted under Rule 15 of the Access to Information Procedure Rules of the Constitution, to the decision being made by the Cabinet as a matter of urgency on the basis that it was impracticable to defer the decision until the commencement of the next Forward Plan because the guidance published by the Government on 30 March 2010 requires a petition scheme to be submitted to and approved by the Council and for the scheme to be in place by 15 June 2010.

## **334. FORMBY - REQUEST TO INCLUDE IN POSTAL ADDRESS**

Further to Minute No. 82 of the meeting of the Formby Area Committee held on 18 March 2010, the Cabinet considered the report of the Assistant Chief Executive on a request from a Formby resident seeking the support of the Council to an application to Royal Mail to include the town name of Formby within the address for properties in the defined postcode area of Liverpool L37.

RESOLVED:

That the request made by Mr. N. Shore of 37 Holmwood Drive, Formby, L37 1PG, to include the town name "Formby" in the address for properties within the defined area listed in Annex A to the report be supported.

## **335. BASIC NEED ALLOCATION 2010/11 - PROPOSED SCHEMES**

Further to Minute No. 130 of the meeting of the Cabinet Member - Children's Services held on 13 April 2010, the Cabinet considered the report of the Strategic Director - Children, Schools and Families seeking approval to the implementation of schemes to be funded from the Basic Need Allocation for 2010/11.

RESOLVED: That

- (1) the schemes detailed in the report be approved; and
- (2) the schemes be included in the Children, Schools and Families Capital Programme 2010/11.

## **336. EXTENDED SCHOOLS CAPITAL ALLOCATION 2010/11**

Further to Minute No. 134 of the meeting of the Cabinet Member - Children's Services held on 13 April 2010, the Cabinet considered the report of the Strategic Director - Children, Schools and Families seeking

CABINET- THURSDAY 15TH APRIL, 2010

approval to the implementation of schemes to be funded from the Extended Schools Capital Grant Allocation for 2010/11.

RESOLVED: That

- (1) the schemes detailed in the report be approved; and
- (2) the schemes be included in the Children, Schools and Families Capital Programme 2010/11.

**337. SURE START EARLY YEARS AND CHILDCARE GRANT - QUALITY AND ACCESS 2009/10 - 2010/11**

Further to Minute No. 133 of the meeting of the Cabinet Member - Children's Services held on 13 April 2010, the Cabinet considered the report of the Strategic Director - Children, Schools and Families seeking approval to the implementation of schemes to be funded from the Sure Start Early Years and Childcare Grant - Quality and Access Funding Allocation for 2010/11. The report also provided an update on the expenditure on schemes from the funding allocation for 2009/10.

RESOLVED: That

- (1) the revised distribution of funding for schemes in the 2009/10 programme be noted;
- (2) the schemes detailed in the report be approved; and
- (3) the schemes be included in the Children, Schools and Families Capital Programme 2010/11.

**338. MODERNISATION FUNDING 2010/11 - PROPOSED SCHEME**

Further to Minute No. 132 of the meeting of the Cabinet Member - Children's Services held on 13 April 2010, the Cabinet considered the report of the Strategic Director - Children, Schools and Families seeking approval to the implementation of a three phase development scheme at Kew Woods Primary School, Southport to be funded from the Modernisation Allocation, the School's Devolved Formula Capital Allocation and the School's Access Initiative.

RESOLVED: That

- (1) the scheme be approved; and
- (2) the scheme be included in the Children, Schools and Families Capital Programme 2010/11.

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

## **339. FOREFIELD JUNIOR SCHOOL, CROSBY - PROPOSED CAPITAL SCHEME**

Further to Minute No. 131 of the meeting of the Cabinet Member - Children's Services held on 13 April 2010, the Cabinet considered the report of the Strategic Director - Children, Schools and Families seeking approval to the implementation of an improvement scheme at Forefield Junior School, Crosby to be funded from the school's reserves.

RESOLVED: That

- (1) the scheme be approved; and
- (2) the scheme be included in the Children, Schools and Families Capital Programme 2010/11.

## **340. RIMROSE HOPE CE PRIMARY SCHOOL, SEAFORTH - TENDERS FOR LANDSCAPING WORKS**

The Cabinet considered the report of the Strategic Director - Communities on the tenders received for the provision of landscaping works at the new Rimrose Hope CE Primary School, Seaforth.

RESOLVED: That

- (1) the lowest valid tender submitted by J. Armor Ltd of Melling in the sum of £216,422.00 be accepted; and
- (2) the Interim Head of Corporate Legal Services be requested to enter into a formal contract with the successful tenderer.

## **341. PROPOSED REPLACEMENT NETHERTON ACTIVITY CENTRE**

The Cabinet considered the report of the Strategic Director - Communities on the second stage tender submissions received for the construction of a replacement Netherton Activity Centre.

RESOLVED: That

- (1) the Strategic Director - Communities be authorised, in conjunction with Capita Symonds Ltd., to provisionally appoint Conlon Construction Limited of Preston as the preferred contractor for the project and for the Environmental and Technical Services Director to establish a target cost for the project; and
- (2) it be noted that the Chair of the Overview and Scrutiny Committee (Performance and Corporate Services) has given his consent, under Rule 17 of the Scrutiny Procedure Rules, for this decision to be treated as urgent and not subject to "call in" on the basis that any delay in the implementation of the decision will prevent the

CABINET- THURSDAY 15TH APRIL, 2010

commencement of the intensive pre-contract cost agreement process which in turn will prevent the commencement and completion of works in accordance with the required Programme.

## **342. SOUTHPORT CULTURAL CENTRE - AWARD OF THE SECOND STAGE TENDER**

This item was deferred for consideration at the next meeting of the Cabinet.

## **343. KING'S GARDENS, SOUTHPORT**

Further to Minute No. 159 of the meeting of the Southport Area Committee held on 31 March 2010, the Cabinet considered the report of the Planning and Economic Development Director on the use of Section 106 resources to provide the match funding required by the Heritage Lottery Fund for the Kings Gardens Improvements Scheme.

RESOLVED: That

- (1) the decision of the Southport Area Committee on the allocation of future Section 106 resources to provide the funding match required by the Heritage Lottery Fund for the Kings Gardens Scheme be noted;
- (2) the Kings Garden Scheme be included in the Capital Programme with the Council's contribution being underwritten until sufficient Section 106 resources are generated;
- (3) Officers prepare the stage 2 submission to the Heritage Lottery Fund on the basis of the revised terms set out in the report; and
- (4) it be noted that the proposal was a Key Decision but, unfortunately, had not been included in the Council's Forward Plan of Key Decisions. Consequently, the Chair of the Overview and Scrutiny Committee (Performance and Corporate Services) had been consulted under Rule 15 of the Access to Information Procedure Rules of the Constitution, to the decision being made by the Cabinet as a matter of urgency on the basis that it was impracticable to defer the decision until the commencement of the next Forward Plan because of the requirement by the Heritage Lottery Fund to receive confirmation of a commitment to allocate future Section 106 resources as funding match in support of its consideration of a stage 2 submission for grant.

## **344. JOINT STRATEGIC HOUSING LAND AVAILABILITY ASSESSMENT 2008 - FINAL REPORT**

Further to Minute No. 115 of the meeting of the Cabinet Member - Regeneration held on 17 March 2010, the Cabinet considered the report of the Planning and Economic Development Director on the key findings of

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

the Joint Strategic Housing Land Availability Assessment Study 2008 which was one of a number of key evidence gathering studies that was being undertaken to inform the Core Strategy process and to guide advice and decisions on individual housing proposals and planning applications.

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

RESOLVED:

That the key findings of the Strategic Housing Land Availability Assessment Study be endorsed.

## **345. RETAINED RETAIL CONSULTANTS - APPOINTMENT OF NEW CONSULTANTS FOR THE PERIOD TO THE END OF 2014/15**

Further to Minute No. 208 of the meeting of the Planning Committee held on 7 April 2010, the Cabinet considered the report of the Planning and Economic Development Director on the selection process undertaken for the appointment of the Council's retained retail consultants.

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

RESOLVED: That

- (1) WYG be appointed as retained retail consultants to the Council for the period 2010/11 to 2014/15; and
- (2) it be noted that the proposal was a Key Decision but, unfortunately, had not been included in the Council's Forward Plan of Key Decisions. Consequently, the Chair of the Overview and Scrutiny Committee (Performance and Corporate Services) had been consulted under Rule 15 of the Access to Information Procedure Rules of the Constitution, to the decision being made by the Cabinet as a matter of urgency on the basis that it was impracticable to defer the decision until the commencement of the next Forward Plan because of the need to have continuity of advice on retail matters with regard to planning applications and enquiries.

## **346. SEFTON LOCAL DEVELOPMENT SCHEME 2010**

Further to Minute No.209 of the meeting of the Planning Committee held on 7 April 2010, the Cabinet considered the report of the Planning and Economic Development Director which set out the details of the purpose and proposed content of Sefton's fifth Local Development Scheme (LDS) and sought approval for the submission of the draft document to the Government Office North West. The LDS is effectively a project plan which sets out the documents which would be part of the Council's spatial plan, together with a timetable for preparing them. It identifies key resources which would be required to prepare the documents, and is

CABINET- THURSDAY 15TH APRIL, 2010

designed to give the public up-to-date information on the dates by which these plans would be prepared.

RESOLVED: That

- (1) approval be given to the submission of the draft Local Development Scheme to the Government Office North West; and
- (2) the Planning and Economic Development Director be authorised to make any changes required as a result of discussion with the Government Office and any minor editorial or presentational changes.

### **347. RESPONSE TO COUNCIL MOTION - 10:10 CARBON CAMPAIGN**

The Cabinet considered the report of the Neighbourhoods and Investment Programmes Director on the Notice of Motion approved by the Council on 14 January 2010 on the feasibility of the Council signing up to the UK 10:10 carbon saving campaign.

In accordance with the resolution of the Council, the Overview and Scrutiny Committee (Regeneration and Environmental Services) at its meeting held on 9 March 2010 had considered the Notice of Motion and requested that the possible resource implications of reaching the desired 10% carbon savings within the next twelve months be submitted to the Cabinet and these details were set out in the report.

RESOLVED: That

- (1) the principles of the 10:10 carbon saving campaign be accepted;
- (2) the Neighbourhoods and Investment Programmes Director submit a report on the Carbon Reduction Management Plan to a future meeting of the Cabinet; and
- (3) the Neighbourhoods and Investment Programmes Director produce a report on a carbon strategy detailing the responsibilities of individual Cabinet Members and Departments for consideration at a future meeting of the Cabinet following discussions on the draft carbon strategy at a Members Briefing Session to be held on a date to be determined.

### **348. ADDITIONAL PITCH PROVISION FOR GYPSIES AND TRAVELLERS IN SEFTON**

Further to Minute No. 113 of the meeting of the Cabinet Member - Regeneration held on 17 March 2010, the Cabinet considered the joint report of the Neighbourhoods and Investment Programmes Director and the Planning and Economic Development Director on the requirement for the Council to secure additional pitch provision for gypsies and travellers

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

and set out details of the methodology for site appraisal and selection; the process and likely timescale for site appraisal and submission of an application for gypsy and traveller site grant.

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

RESOLVED: That

- (1) the report and the need to secure additional pitch provision (transit and permanent) for Gypsies and Travellers be noted;
- (2) the methodology for site appraisal and selection be approved;
- (3) the Neighbourhoods and Investment Programmes Director be authorised in consultation with the Planning and Economic Development Director, to make minor changes to the scoring framework if early consultations with key partners/stakeholders show that this is necessary;
- (4) a further report be submitted to a subsequent Cabinet meeting regarding potential sites.

## **349. ENFORCED SALES PROCEDURE AND PROPERTY AT 24 LANDER ROAD, LITHERLAND**

Further to Minute No. 121 of the meeting of the Cabinet Member - Regeneration held on 14 April 2010, the Cabinet considered the report of the Neighbourhoods and Investment Programmes Director seeking approval to the implementation of a new Enforced Sales Procedure and to the use of the procedure in respect of the property 24 Lander Road, Litherland.

RESOLVED: That

- (1) approval be given to the use of Enforced Sale powers and the Policy and Procedures as set out in the report;
- (2) approval be given to the use of the Enforced Sales Procedure in respect of the property at 24 Lander Road, Litherland; and
- (3) the Council be recommended to give approval to the amendment of the Constitution to enable the Cabinet Member - Regeneration to have delegated powers to authorise the use of the Enforced Sales Procedure.



CABINET- THURSDAY 15TH APRIL, 2010

**350. DELEGATION OF POWERS IN RESPECT OF THE DISCRETION TO GRANT EQUITY RE-LOCATION LOANS IN THE HOUSING MARKET RENEWAL AREA**

The Cabinet considered the report of the Neighbourhoods and Investment Programmes Director seeking delegated powers and duties in relation to the provision of loans to facilitate re-housing as part of the Newheartlands Housing Market Renewal Programme.

RESOLVED:

That the Council be recommended to give approval to the amendment of Part 3 of the Constitution (Responsibility for Functions) by the inclusion of the following text:

REGENERATION

Neighbourhoods and Investment Programmes Director

Power to deal with all matters relating to the provision of loans to facilitate re-housing as part of the Newheartlands Housing Market Renewal Programme, subject to:

- (1) an approved budget for such financial assistance being available; and
- (2) power to amend the criteria used when making awards of financial assistance provided that such decisions and the grounds for them are reported promptly to the Cabinet Member - Regeneration.

**351. COMPULSORY PURCHASE ORDER - BEDFORD AND QUEENS ROAD AND KLONDYKE AREA OF BOOTLE.**

Further to Minute Nos. 83 and 84 of the meeting held on 6 August 2009, the Cabinet considered the joint report of the Neighbourhoods and Investment Programmes Director and the Planning and Economic Development Director on the change of title of the Compulsory Purchase Orders issued for Bedford Road/Queens Road Phase 3 and Klondyke Phase 1B as part of the Housing Market Renewal programme.

RESOLVED:

That the report be noted.

**352. FORMER PEOPLES SHOWROOM - REPLACEMENT FOR ST JOHN AND ST JAMES CHURCH, KLONDYKE.**

Further to Minute No. 122 of the meeting of the Cabinet Member - Regeneration held on 14 April 2010, the Cabinet considered the report of the Neighbourhoods and Investment Programmes Director on proposals to dispose of part of the former Peoples Showroom site in Linacre Lane,

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

Bootle to the Liverpool Diocesan Board of Finance, to develop a new place of worship and community facility; and to use Housing Market Renewal funding to make a grant to the Liverpool Diocesan Board of Finance towards the community and landscape elements of the building.

RESOLVED: That

- (1) approval be given to the granting of a two year Development Lease to the Liverpool Diocesan Board of Finance for the former Peoples Showroom building, to enable the building to be refurbished to use as a place of worship and community facility;
- (2) the Neighbourhoods and Investment Programmes Director be granted delegated authority to agree the terms for the long-term disposal of the site to the Liverpool Diocesan Board of Finance, upon successful completion of the works under the Development Lease; and
- (3) approval be given to the contribution of £200,000 from the Housing Market Renewal Programme 2010/11 to the Liverpool Diocesan Board of Finance towards the cost of refurbishing the building for community use and associated landscaping, subject to a legal obligation on the church to provide the agreed level of funding for the project.

## **353. VEHICLE/PLANT REPLACEMENTS 2009/2012**

Further to Minute No. 113 of the meeting of the Cabinet Member - Environmental held on 7 April 2010, the Cabinet considered the report of the Operational Services Director on the results of the recent tendering exercise as part of the Annual Vehicle/Plant Replacement Programme.

RESOLVED:

That approval be given to:

- (1) the acceptance of the lowest tenders which comply with the specification for each category of vehicle and plant;
- (2) the procurement of the replacement vehicles and plant, as listed in Annex One of the report; and
- (3) the sum of £1,084,497 to be included in the capital programme to purchase the required vehicles, with tender reference T305, a 17 seat minibus, being purchased outright via external funding and the balance of the vehicles being purchased via an operating lease arranged by the Interim Head of Corporate Finance and ICT Strategy.

CABINET- THURSDAY 15TH APRIL, 2010

## **354. DRY MATERIALS RECYCLING CONTRACT – INTERIM AGREEMENT**

The Cabinet considered the report of the Operational Services Director on the implications of Cheshire Recycling Ltd., trading as AbitibiBowater Recycling Europe entering administration on 11 February 2010.

RESOLVED:

That approval be given to an Interim Agreement for the continuing provision of a recycling collection service being established with Palm Recycling Ltd. pending the implementation of longer term formal arrangements for future recycling collection service provision.

## **355. CABINET MEMBER REPORTS**

The Cabinet received reports from the Cabinet Members for Children's Services, Communities, Corporate Services, Environmental, Health and Social Care, Leisure and Tourism, Performance and Governance, Regeneration and Technical Services.

RESOLVED:

That the Cabinet Member reports be noted.

## **356. EXCLUSION OF PRESS AND PUBLIC**

RESOLVED:

That under section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item of business on the grounds that it would involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the press and public.

## **357. SOUTH SEFTON HOUSING MARKET RENEWAL – PROGRAMME OUTTURN 2009/10 AND FORWARD PROGRAMME 2010/11**

Further to Minute No. 124 of the meeting of the Cabinet Member - Regeneration held on 14 April 2010, the Cabinet considered the report of the Neighbourhoods and Investment Programmes Director which provided details of the out-turn of the South Sefton Housing Market Renewal Programme for 2009/10 and sought approval to the Council contribution to the proposed programme for the funding period 2010/11.

This was a Key Decision and was included in the Council's Forward Plan of Key Decisions.

# Agenda Item 3

CABINET- THURSDAY 15TH APRIL, 2010

RESOLVED: That

- (1) the expenditure and output targets achieved by the Housing Market Renewal Department during 2009/10 be noted;
- (2) the Housing Market Renewal Investment allocation for 2010/11 of £9.350 million and the required level of Council contribution of £2.60 million be noted;
- (3) the Housing Market Renewal Programme allocation for 2010/11 be approved; and
- (4) the Neighbourhoods and Investment Programmes Director in consultation with the Interim Head of Corporate Legal Services be given delegated authority to complete the Deed of Variation in respect of the Homes and Communities Agency Funding for 2010/11; and
- (5) the Housing Market Renewal Team be thanked for their sterling efforts in managing the Housing Market Renewal Programme in 2009/10

## **358. SOUTHPORT INDOOR MARKET**

Further to Minute No. 237 of the meeting held on 17 December 2009, the Cabinet considered the report of the Strategic Director - Communities which provided an update on the progress with the development of the refurbishment proposals for the Southport Indoor Market. The report also sought approval to a list of prospective contractors to be invited to submit tenders for the scheme.

RESOLVED: That

- (1) the list of prospective Contractors for the Market Refurbishment Scheme be approved and Officers be requested to invite tenders for the scheme;
- (2) the comments from the Market Consultant on the development of the design on the Business Case be noted;
- (3) the issues relating to the increased letting ratio and potential increased rent yield from the Market Consultant's revised Business Case be noted and the proposed increased in trader incentives be supported;
- (4) the comments in relation to the sensitivity analysis with respect of the Market Consultant's occupancy assumptions be noted;
- (5) approval be given to VAT being levied on market rentals;

CABINET- THURSDAY 15TH APRIL, 2010

- (6) that the Fit Out of the Market Stalls be funded by the Council through prudential borrowing on the understanding that the Capital Costs are recovered through the rental charges;
- (7) Officers submit a report to a future meeting of the Cabinet on the options for the future management of the Market and the final tender prices and projections for the financial aspects of the project.

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# Agenda Item 4

**REPORT TO:** Overview & Scrutiny Committee (Health & Social Care)  
Cabinet

**DATE:** 6 April 2010  
20 May 2010

**SUBJECT:** Dementia  
Working Group Report

**WARDS AFFECTED:** All

**REPORT OF:** Samantha Tunney  
Assistant Chief Executive

**CONTACT OFFICER:** Debbie Campbell  
Acting Head Of Overview & Scrutiny

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To formally present the final report of the Dementia Working Group.

**REASON WHY DECISION REQUIRED:**

The Working Group has made a number of recommendations that require approval by both the Overview & Scrutiny Committee (Health & Social Care) and the Cabinet

**RECOMMENDATION(S):**

The Overview & Scrutiny Committee is requested to support the following recommendations and commend them to the Cabinet for approval:-

The Cabinet is requested to approve the following recommendations:-

1. That care home providers be advised by the Social Care and Well Being Directorate that it is considered to be essential for all care staff in care homes, particularly EMI homes, and domiciliary care staff, to receive training and attend training courses in relation to all aspects of dementia;
2. that the Social Care and Well Being Directorate be requested to consider and report back to the Overview and Scrutiny Committee (Health and Social Care) on the possibility of the implementation of a voluntary local kite mark or certificate, stating that the staff at care homes have undertaken training in all aspects of dementia care;

Continued.....

# Agenda Item 4

3. that the Social Care and Well Being Directorate be requested to consider and report back to the Overview and Scrutiny Committee (Health and Social Care) on the possibility of delivering training to care staff within some of the larger care homes and that all care homes be advised of relevant training courses and invited to attend;
4. that the intention for officers of the Social Care and Well Being Directorate to visit 2 star homes within the Borough be welcomed and the Director be requested to report to the Overview & Scrutiny Committee (Health & Social Care) on the possibility of extending this to provide for officers to undertake visits to all care homes that are likely to have residents who have dementia;
5. that the Social Care and Well Being Directorate be requested to prepare information on dementia and its early detection, to appear within the Directorate's web pages on the Council's website, providing links to the local Alzheimer's Society and other useful organisations;
6. that information on raising awareness of dementia, for all members of the public, be prepared by the Social Care and Well Being Directorate and circulated by the Head of Corporate Finance and Information Services with the Council Tax demands for 2011/12, the wording to be approved by the Overview & Scrutiny Committee (Health and Social Care), prior to its circulation;
7. that the Cabinet be requested to acknowledge that dementia, and care for people with it, particularly for those people living alone without support networks, will increase in the future and place increasing demands on the Council and the NHS;
8. that the Cabinet be requested to note that Members of the Dementia Working Group intend to submit a motion to Council in due course, requesting support for a cross-political motion, calling on the government to recognise that Sefton has a high number of older people which is likely to increase, within its population, and that the Central Government Formula Grant should allow the Council to meet adequately the needs that this section of the community requires, in order for them to achieve good quality of life, based on relevant age thresholds that adequately reflect the additional costs incurred in caring for an elderly population;

Continued.....



9.
  - A. that the Cabinet be requested to acknowledge the work undertaken by young carers of people with dementia and to request the Director of Social Care and Well Being to report on the provision of respite for them; and
  - B. that the Overview and Scrutiny Committee (Children's Services) be requested to support the above recommendation;
10. that the development of the Sefton Dementia Strategy be welcomed and progress be reported to the Overview & Scrutiny Committee (Health and Social Care) on an annual basis;
11. that NHS Sefton be requested to provide information regarding:-
  - (a) the amount allocated to deliver the national dementia strategy, whether this figure is ring-fenced and what it will be used for; and
  - (b) care of patients with dementia who are admitted to hospitals;
12. that, NHS Sefton be requested to ensure that GPs are provided with:-
  - (a) training, in order to detect early signs of dementia; and
  - (b) full information on treatment and support available within the Borough;
13. that NHS Sefton be requested to note that it is considered to be good practice for GPs to follow up those patients who go into care and nursing homes and to visit them, and to cascade this information to GPs throughout the Borough;
14. that Mersey Care NHS Trust be requested to consider:-
  - A. extending facilities for dedicated memory clinics throughout the Borough, particularly in the south and east, to possibly include the centres for health and well-being; &
  - B. whether the standard of testing at memory clinics requires revision; &
15. that One Vision Housing, and other large social housing providers within the Borough, be requested to consider implementing mechanisms to identify, and offer support to, customers with early signs of dementia, particularly those who live alone.

# Agenda Item 4

**KEY DECISION:**

YES

**FORWARD PLAN:**

YES – Plan to be published 14 April 2010

**IMPLEMENTATION DATE:**

Immediately following the expiry of the “call-in” period for the Minutes of the Cabinet meeting.

# Agenda Item 4

**ALTERNATIVE OPTIONS:**

NONE

**IMPLICATIONS:**

Budget/Policy Framework: NONE

**Financial:**

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

There are no financial implications arising as a direct result of this report.

In the Dementia strategy report put to the Cabinet in March 2010, the following statement was included:-

*" the forecast for additional care costs relating to increased demand and an ageing population have been built into the Council's Medium term financial plan"*

Any additional costs resulting from the recommendations of the Working Group will be requested from within the Adult Social Care revenue budget

NHS Sefton and Mersey Care NHS Trust, will also be invited to report back to the Overview & Scrutiny Committee (Health & Social Care) on any cost implications associated with the recommendations made to them.

# Agenda Item 4

Legal: N/A

Risk Assessment: N/A

Asset Management: N/A

## CONSULTATION UNDERTAKEN/VIEWS

***Community Services Group Accountant, Finance & Information Services Department.;***

***Assistant Director – Adult Services, Health & Social Care Directorate;***

***Mental Health Joint Commissioning Manager, NHS Sefton;***

***The Manager of a Residential Rest Home;***

***A carer of a relative, living in their own home;***

***Sefton Carers;***

***Sefton LINKs; &***

***Services Manager, Alzheimer’s Society, Southport & Formby Branch.***

# Agenda Item 4

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	√		
2	Creating Safe Communities	√		
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being	√		
5	Environmental Sustainability		√	
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy		√	
8	Children and Young People	√		

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Outlined in the reference section of the attached report

# Agenda Item 4

## **BACKGROUND:**

The Dementia Working Group, established by the Overview & Scrutiny Committee (Health & Social Care) has undertaken a review on issues surrounding dementia and its' final report is attached for consideration.

## **RECOMMENDATIONS:**

The Overview & Scrutiny Committee is requested to support the recommendations and commend them to the Cabinet for approval.

The Cabinet is requested to approve the recommendations.

**OVERVIEW AND SCRUTINY COMMITTEE  
(Health and Social Care)**



**DEMENTIA  
WORKING GROUP**

**FINAL REPORT  
APRIL 2010**



## Overview & Scrutiny



**'Valuing  
Improvement'**

[www.sefton.gov.uk](http://www.sefton.gov.uk)  
[scrutiny@sefton.gov.uk](mailto:scrutiny@sefton.gov.uk)



## Lead Member's Introduction

Those suffering with dementia are without doubt amongst the most vulnerable members of our society. They tend to be socially excluded, particularly as the disease progresses. They have no individual voice. Their initial carers are often elderly and struggle to cope.

The sobering facts are that over the age of 65 one person in 20 and over the age of 80 one in 5 will develop dementia. The additional problem for Sefton being that we have a higher than national average elderly population.

As a result of our investigations as a working group we were all left with a strong determination to champion the cause of dementia sufferers. To act as their voice. They have a right to be protected, have their dignity maintained and be given the best quality of care.

We hope and trust that the Borough of Sefton will have the vision to become a model in the care of its residents with dementia.

" Dementia is everyone's business."



**Councillor Veronica Webster,  
Lead Member,  
Overview and Scrutiny Committee  
(Health and Social Care).**

# Agenda Item 4

## CONTENTS

	PAGE NO.
Introduction	3
Background	4
Definition of Dementia	5
National Context	6
Local Context	7
Services Available Within Sefton	9
Financial Information	10
Key Witnesses' – Summary of Statements	11
Other Evidence Gathered	14
Key Findings	15
Acknowledgements and Thanks	17
Conclusions	18
Recommendations	20
Supporting Information:-	
Appendix A - Scoping Document	23
Appendix B – Analysis of Attendance on Dementia Training by External Organisations Since 01/04/06	28
Appendix C - List of Care Homes Within the Borough Offering Dementia Care (Other care homes will also have people with dementia).	33



## INTRODUCTION

According to the Department of Health's National Dementia strategy:-

- there are currently 700,000 people in the UK with dementia;
- approximately 570,000 live in England;
- dementia costs the UK economy £17 billion a year;
- in the next 30 years, the number of people with dementia in the UK will double to 1.4 million, with the costs trebling to over £50 billion a year;

dementia is predominantly a disorder of later life, but there are at least 15,000 people under the age of 65 who have the illness.

Quotes from The Alzheimer's Society Magazine - Living with dementia:-

"The common spectacle in care homes of people with dementia sitting on Parker Knoll chairs and sleeping all day is not just a symptom of the disease. It is often caused by the deadly boredom in most care homes. This boredom can be best prevented by the involvement of trained active carers within the care home combined with regular organised and informal activities by skilled care workers."

"An image forever in my thoughts is of Dad advancing down the corridor towards me, dressed in someone else's too short jogging pants, hair cut as if in a concentration camp and wearing someone else's shirt".



# Agenda Item 4

## BACKGROUND

At its meeting on 30 June 2009, the Overview & Scrutiny Committee (Health & Social Care) considered its work programme for 2009/10. The following is an extract from Minute No. 17:-

*“A “dementia” Working Group was stipulated as a potential working group from a list of potential topics reviewed by Members.”*

On 4 August 2009, the Committee discussed the membership for the Working Group on dementia and resolved as follows (Minute No. 30 refers):-

- (3) *that the following Members be nominated to sit on the Working Group established to examine issues on dementia:-*
- *Councillor McGuire (Liberal Democrat);*
  - *Councillor Pearson (Conservative); and*
  - *A Labour Member.*

Minute No. 39 of 8 September 2009 resolved:-

- (3) *that Councillor Webster be included in the membership of the Working group established to consider dementia.*

The Working Group determined at its first meeting that Councillor Webster would be the Lead Member.

Details of Working Group meetings are as follows:-

<b>Date</b>	<b>Activity</b>
• 16 October 2009	Scoping & discussion of issues;
• 6 November 2009	Interview of witness from NHS Sefton
• 10 December 2009	Interview of witness – care home owner;
• 8 January 2010	Interview of witness – carer;
• 5 February 2010	Interview of witnesses from Sefton Carers & the Health & Social Care Directorate;
• 19 February 2010	Interview of witnesses from LINKs & the Alzheimer’s Society; &
• 19 March 2010	Determination of recommendations.



In addition, Working Group Members undertook 2 visits to care homes offering dementia nursing, as follows:-

- 25 March 2010                      Site visit;
- 1 April 2010                        Site visit.

## DEFINITION OF DEMENTIA

The term “dementia” is used to describe the symptoms that occur when the brain is affected by specific diseases and conditions. Dementia is progressive, which means that the symptoms will gradually get worse.

Symptoms may include:-

- Loss of memory;
- Mood changes; &
- Communication problems.

Alongside this decline, individuals may develop behavioural and psychological symptoms such as depression, psychosis, aggression and wandering.

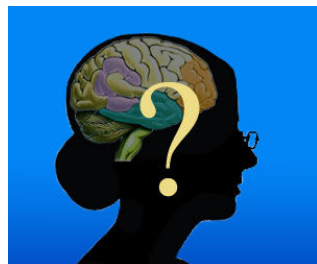
There are several diseases and conditions of dementia including the following:-

**Alzheimer’s disease** – the most common cause of dementia and commonly used as a short-hand term to cover all types of dementia.

**Vascular disease** – symptoms can occur suddenly, following a stroke, or over time through a series of small strokes.

**Dementia with Lewy bodies** – this form of dementia shares some characteristics with Parkinson’s disease.

**Fronto-temporal dementia (including Pick’s disease)** – damage is usually focussed in the front part of the brain.



# Agenda Item 4

## NATIONAL CONTEXT

In February 2009, the Department of Health published “**Living well with dementia: A National Strategy**”, which was followed in July 2009 by the publication of an Implementation Plan, for the Strategy.

The aim of the Strategy was to ensure that significant improvements were made to dementia services across 3 key areas, namely:-

- Improving public and professional awareness and understanding of dementia;
- Good quality early diagnosis and intervention for all; and
- Improving the quality of care for people with dementia in acute general hospitals, at home and in care homes.

It is recognised that the National Strategy will take up to 5 years to fully implement.



## LOCAL CONTEXT

In order to support the National Strategy on dementia, a **Sefton Dementia Strategy** is being developed and progress was presented recently to the Cabinet Member – Health & Social Care.

The Sefton Strategy indicates that during 2010 there will be:-

- 56,300 Sefton residents aged 65 years +, which is 20.47% of the total population;
- 15,600 aged 80 – 84 years of age;
- 7,100 aged 85 years +.

Current estimates suggest that there are 3,960 people living with dementia in Sefton.

By 2025 it is predicted that there will be:-

- 70,800 Sefton residents aged 65 years +, which will be 26% of the total population;
- 22,300 aged 80 +.

Estimates suggest that the number of people living with dementia in Sefton will rise to some 5,463.

## Older People in Sefton

At the time of drafting this report, a strategy for older people is being developed for Sefton. The following provides a brief summary of life for older people within the Borough:-

- There are a high number of older people living in Sefton, particularly in the north of the borough.
- Many older people are living in flats or sheltered accommodation.
- More people are living without the support of a nuclear family, This is particularly the case in Southport and Formby where a large number of people have migrated to following retirement.
- Generally speaking, the older population of the Borough is not wealthy as in 2007 some 57% were relying on the state pension as their only source on income. Conversely, it is estimated that some 60% of wealth is owned by the over 50s.





# Agenda Item 4

- Within Sefton, the increase in numbers of older people can reasonably be expected to exceed the national average because of the number of older people currently living in the borough.





## SERVICES AVAILABLE WITHIN SEFTON

### In-Patient Services

Provided by Mersey Care NHS Trust

The Aintree University Hospitals NHS Trust provides 24 dementia assessment and management beds in Ash and Elm Wards.

The Boothroyd Unit at Southport Infirmary is currently a mixed dementia and functional ward with 8 dementia beds.

The Trust intends to separate the diagnostic categories by April 2010, in order to comply with gender specific requirements.

### Out-Patient Services

Provided at the Waterloo Day Hospital, the Hesketh Centre, Southport and patients' homes.

### Memory Services

A dedicated memory clinic operates at the Hesketh Centre, Southport. Memory services in south Sefton operate from Waterloo Day Hospital. The memory assessment service aids in the early detection and diagnosis of dementia. It provides early intervention to maximise quality of life and independent functioning and also helps to manage risk and future harm to people with memory difficulties, together with their carers. The service gives pre and post diagnostic counselling, explains the diagnosis made, provides information regarding the likely prognosis and options for care, offers advice and support, and also offers pharmacological treatment of the dementia, follow-up and review. The service treats both older people and those of working age who are experiencing memory problems.

### Care Homes

The Care Quality Commission lists 16 nursing homes within Sefton, providing a total of 455 places for people with dementia and similar conditions and 13 care homes for people with dementia and other mental illnesses, providing a total of 738 places.



# Agenda Item 4

## FINANCIAL INFORMATION

The following table sets out the spend on care services for older people with mental health problems in 2008/09, by NHS Sefton and the Health & Social Care Directorate, Sefton MBC:-

	<b>NHS Sefton £'000</b>	<b>H&amp;SC Directorate MBC £'000</b>
<b>Out of Area</b>		
Care Home (nursing)	367	1,102
Care Home	-	1,059
<b>In Area</b>		
Care Home (nursing)	585	4,344
Care Home	-	4,709
Home Care	110	706
Day Care	20	1,062
Young Onset Dementia		32
<b>Mersey Care</b>		
Home Care / CMHT	2,131	519
Day Hospitals	274	-
In Patients	1,355	-
Out Patients	340	-
A&E Liaison	148	-
Indirect Costs	348	-
<b>Totals</b>	<b>5,678</b>	<b>13,533</b>



## KEY WITNESSES – SUMMARY OF STATEMENTS

The Working Group was almost “spoiled for choice” by the sheer number of individuals and organisations it could have called upon to share information regarding dementia and the major issues surrounding it.

The following bullet points are a summary of the discussions held with witness invited to Working Group meetings:-

### Mental Health Joint Commissioning Manager, NHS Sefton

- Need for GPs to be on board, links to other agencies, cascading awareness to/from GPs;
- Over-reliance of care homes, esp. in north of the borough;
- Memory clinics;
- Training;
- Exclusion can be experienced by people with dementia.

### Manager of a Care Home Offering Dementia Care(established service provider)

- Care of older people a growing problem as population ages;
- CQC – inspecting body, regulatory problems, inspection regime questionable, bureaucratic, lot of paper work, box ticking;
- Finance – difficult to deliver quality care due to financial restraints;
- Private care homes – in business for profit;
- Staffing difficult, Training for staff, lack of commitment.

### Carer of a Relative Living in Own Home

- The importance of early diagnosis;
- Quality of care;
- Support at home for as long as possible;
- Using finance available for best possible care delivery;
- On-set of dementia in younger people;
- Training of carers, could be better training;
- Prevalence of staff turnover & associated difficulties, need for continuity for people with dementia;
- Need for supportive housing;
- CQC – star rating questionable;
- Care of older people a growing problem as population ages – wave of the “baby boomers” coming up.

# Agenda Item 4

## Sefton Carers

- Dementia more of an issue in the north of the Borough due to the demographics of the area;
- Dedicated memory clinic in Southport;
- Support for people who are newly diagnosed with dementia & their close family;
- Some 13,000 registered carers within Sefton, but estimates put it closer to 37,000.
- GPs can refer carers to services;
- Need for GPs to be alert for early diagnosis & be aware of local/national developments;
- 1,340 people within Sefton are known to have received a diagnosis of dementia or Alzheimer's disease, but current estimates suggest that there are 3,960 people living with dementia in Sefton.
- 50 years of age tends to be the youngest age in early onset.
- Young carers activities, the previous centre lost funding but work for this remains on-going

## Assistant Director – Adult Services, Health & Social Care Directorate

- Funding for people with dementia requiring care at home or in care homes, no recognition currently for the age profile of Southport;
- Early stages of diagnosis & the need for support;
- Training of care home staff;
- Liaison by the Health & Social Care Directorate with care homes which do not take up training courses and encouragement to do so;
- Investigations being carried out by One Vision Housing on the links between sheltered accommodation and levels of extra care;
- Raising awareness of dementia;
- The importance of memory support mechanisms;

## Sefton LINKs (Local Involvement Networks)

- Current figures on dementia only capture those diagnosed;
- Specific issues for north Sefton;
- Aging population of the Borough;
- Older people tending to retire to north of the Borough with little/no family support;
- Need to increase awareness & understanding;
- Need for early diagnosis & long-term support, particularly in early aged onset;
- Concerns regarding the implementation of the National Strategy;
- Need for training of all care staff;
- Need for GPs early referrals to Memory Services.



## Services Manager, Alzheimer's Society, Southport & Formby Branch

- Support for newly diagnosed people with dementia;
- Some 150 new referrals were being received each month;
- Need for work in raising awareness;
- Need for Sefton to be a “dementia-friendly” Borough;
- Prevention needed against isolation of sufferers & the effects this can have;
- Multiple flats occupied by retired people where no specific support exists;
- Training of staff in care homes.

In addition, support was offered to the Working Group by the following officer:-

## The Community Services Group Accountant, Finance & Information Services Department.



# Agenda Item 4

## OTHER EVIDENCE GATHERED

### Working with Older People in Sefton Forum

During the course of the review, the Lead Member attended a meeting of the above Forum. The Dementia Strategy was discussed at the meeting and the following concerns were raised:-

- Doubts as to how the strategy could be successfully delivered within Sefton due to an apparent lack of funding available;
- Lack of training of care staff, &
- A lack of general awareness of issues surrounding dementia by the general public.

### Research by Liverpool University

At the time of drafting this report, research is being undertaken at Liverpool University into Lewy body, a form of dementia. The Alzheimer's Research Trust has provided funding towards the research.





## KEY FINDINGS

Whilst interviewing key witnesses, Members of the Working Group found that certain concerns were repeated and these are summarised below:-

### Training of Care Staff

Not all staff, whether working in homes for the elderly mentally infirm (EMI) or within individual's own homes, underwent training in dementia. Many witnesses considered that training was of vital importance, as, particularly within EMI homes, suitable activities are required for people with dementia. Figures provided by the Assistant Director, Health & Social Care revealed that comparatively small numbers of staff from EMI homes attend training courses.

### Inspection of Homes

Witnesses raised concerns regarding the current inspection regime for care homes. The Care Quality Commission (CQC), which operates a star system for care homes, currently carries out inspections and assesses them. Where homes receive a higher rating from the CQC, they may not be inspected again for some considerable time. The Council now has virtually no formal mechanism for inspecting homes and has little direct involvement. However, the Working Group was pleased to note that officers, from the Health and Social Care Directorate, will be visiting the lower starred homes within the Borough, to assist with improvements.

### Finance

The Council already faces pressure financially and this is unlikely to alter for some considerable time. The Central Government Formula Grant received by the Council does not reflect adequately the costs of caring for the high number of older people living within the Borough, particularly in the north. Working Group Members held grave concerns regarding the finance available to the Council to meet the present needs of people with dementia, let alone future needs. They considered that the government needs to recognise this and that the Council should receive additional funding to provide the support required by the high number of older people within the Borough. They were anxious to stress that this was not a political point but applied to whichever political party held power.

There were also doubts expressed as to whether the local and the National Strategy can be effectively delivered if funding is insufficient.

### Awareness Raising

Various witnesses questioned whether enough is done at present to raise awareness of dementia. Working Group Members considered that awareness should be raised amongst elected Members and the public generally and discussed what could be done to reach communities.



# Agenda Item 4

## Early Diagnosis

It was recognised by witnesses that it is important for people with dementia, and their families, to receive correct information and support, as early as possible. Early treatment can delay latter stages of dementia and assist in people remaining in their own homes for as long as possible.

## Quality of Care

The delivery of care, particularly within care homes, should always be of the highest possible standard.

## General Practitioners

It was recognised that the role played by GPs is vital in early diagnosis and contact with those people with dementia, particularly for those people who live alone. GPs can also offer support to carers who have a difficult role to play in supporting relatives with dementia. The Working Group noted the good practice by GPs carried out in parts of the north of the Borough, for GPs to follow-up patients who go to live in care homes. Referrals to Memory Services are usually made via GPs.

## Memory Services

This service plays an essential part in assessing and supporting people with dementia. It is usually accessed by referral from GPs. The Working Group considered that there are insufficient memory clinics within the Borough at the moment and that the service is likely to face increasing pressure in the future.

However, one Member of the Working Group questioned the standard of testing provided, as she felt that she had inadvertently briefed a relative en route to the service, answering the relative's questions as to what day it was, where they were going, etc. On arrival, the same questions were asked as part of the service. Testing needs to be of the highest standard.

## Carers of Relatives

Carers of relatives with dementia have a difficult task and may themselves require support services via their GP. All carers are under pressure but Members considered that younger carers in particular required respite and support.





## ACKNOWLEDGEMENTS AND THANKS

In producing this report on dementia, acknowledgements and thanks are attributed to the following individuals for their time and input:-

- *Community Services Group Accountant, Finance & Information Services Department.;*
- *Mental Health Joint Commissioning Manager, NHS Sefton;*
- *The Manager of a Care Home, offering dementia nursing;*
- *A carer of a relative, living in their own home;*
- *Sefton Carers;*
- *Assistant Director – Adult Services, Health & Social Care Directorate;*
- *Sefton LINKs; &*
- *Services Manager, Alzheimer’s Society, Southport & Formby Branch.*
- *2 Managers of Care Homes, offering dementia nursing, for allowing Working Group Members to visit the homes concerned.*

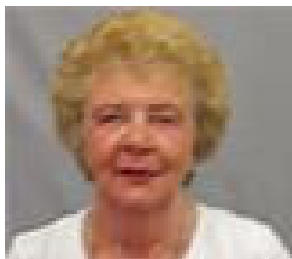
Thanks must also go to the 3 Members of the Working Group who have worked hard, whilst retaining good humour, and dedicated a great deal of time to this review, namely:-

*Councillor Veronica Webster (Lead Member);*

*Councillor Sue McGuire; &*

*Councillor David Pearson.*

The Lead Member has also requested that both the **Acting Head of Overview & Scrutiny** be thanked for her research and contribution toward this report, and also an **Overview and Scrutiny Officer**, for his assistance in standing in, in her absence.



# Agenda Item 4

## CONCLUSIONS

Having considered background information and received evidence from witnesses, the Working Group reached the following conclusions:-

1. If Sefton's Dementia Strategy is to be progressed effectively, it is considered to be essential for staff in care homes and domiciliary carers to receive training on dementia awareness and other relevant training courses.
2. A local kite mark or certificate would demonstrate that carers had received training on dementia awareness.
3. Training delivered actually inside some of the larger care homes would encourage attendance by care home staff and every care home should be advised of training available.
4. Officers from the Social Care and Well Being Directorate have previously reported to the Overview and Scrutiny Committee (Health and Social Care) (Minute no. 83 of 26 January 2010 refers) of their intention to visit 2 star homes within the Borough. Due to the concerns felt regarding the relative lack of inspections of homes by the CQC, together with a lack of emphasis on the quality of care provided by homes during those visits, the possibility of extending visits by the Social Care and Well Being Directorate, to eventually include all homes with people with dementia, would be welcomed
5. As part of a drive towards raising awareness of dementia and the provision of supportive information on care, particularly in early stages, the Council's website could be utilised.
6. Continuing the drive towards raising awareness of dementia, it is considered that the most effective method of reaching all sectors of communities would be to include information within the annual Council Tax demand.
7. All the evidence points to the conclusion that, particularly as people are increasingly living longer, cases of dementia are not going to decrease, rather they will increase. This will inevitably lead to an increase in demand for relevant services from both the Council and the NHS.
8. Sefton has a high number of older residents, particularly in the north of the Borough, which is likely to increase rather than decrease. This aging population inevitably places an additional burden on the Social Care and Well Being Directorate that is not adequately recognised in the Central Government Formula Grant received from the government. In order to meet adequately the needs of this section of the community, any government should be aware of the demographics of the Borough.



9. All carers face a difficult role and undertake tasks that would probably otherwise fall upon the Council or NHS. Young people, in particular, need respite from such roles and information is required on respite provision for them.
10. Following the publication of the National Dementia Strategy, which will take up to 5 years to fully implement, an interim Sefton Dementia Strategy has been developed. Any progress on the Sefton Strategy should be reported to the Overview and Scrutiny Committee (Health and Social care) on an annual basis, as it affects an increasing proportion of the community within Sefton.
11. As much of the delivery of the Dementia Strategy will fall to NHS Sefton, information is sought regarding funding for delivery.
12. Referral to support services for those with early signs of dementia usually comes through GPs. If caught and supported early enough, progression through stages of dementia can be slowed, offering better quality of life for patients and deferring more expensive levels of care in the latter stages. GPs need to detect early on-set and be aware of treatment and support mechanisms available throughout the Borough.
13. Individuals with dementia who go to live in care homes will still require the support of their GP. It is considered to be essential for GPs to visit patients within care and nursing homes, particularly EMI homes.
14. The number of memory clinics within the Borough are very limited, despite the aging population. These could be provided from the centres for health and well-being, where available. The south and east areas of the Borough are particularly poorly served in this regard. Also, the testing may need revising, as patients could be “primed” on the standard questions asked.
15. As part of the drive towards raising awareness of dementia, and also to strengthen communities, it is recognised that the larger social housing providers have a role to play in identifying, and offering some level of support, to those customers displaying early signs of dementia, particularly those who live alone.

# Agenda Item 4

## RECOMMENDATIONS

1. That care home providers be advised by the Social Care and Well Being Directorate that it is considered to be essential for all care staff in care homes, particularly EMI homes, and domiciliary care staff, to receive training and attend training courses in relation to all aspects of dementia;
2. that the Social Care and Well Being Directorate be requested to consider and report back to the Overview and Scrutiny Committee (Health and Social Care) on the possibility of the implementation of a voluntary local kite mark or certificate, stating that the staff at care homes have undertaken training in all aspects of dementia care;
3. that the Social Care and Well Being Directorate be requested to consider and report back to the Overview and Scrutiny Committee (Health and Social Care) on the possibility of delivering training to care staff within some of the larger care homes and that all care homes be advised of relevant training courses and invited to attend;
4. that the intention for officers of the Social Care and Well Being Directorate to visit 2 star homes within the Borough be welcomed and the Director be requested to report to the Overview & Scrutiny Committee (Health & Social Care) on the possibility of extending this to provide for officers to undertake visits to all care homes that are likely to have residents who have dementia;
5. that the Social Care and Well Being Directorate be requested to prepare information on dementia and its early detection, to appear within the Directorate's web pages on the Council's website, providing links to the local Alzheimer's Society and other useful organisations;
6. that information on raising awareness of dementia, for all members of the public, be prepared by the Social Care and Well Being Directorate and circulated by the Head of Corporate Finance and Information Services with the Council Tax demands for 2011/12, the wording to be approved by the Overview & Scrutiny Committee (Health and Social Care), prior to its circulation;
7. that the Cabinet be requested to acknowledge that dementia, and care for people with it, particularly for those people living alone without support networks, will increase in the future and place increasing demands on the Council and the NHS;



8. that the Cabinet be requested to note that Members of the Dementia Working Group intend to submit a motion to Council in due course, requesting support for a cross-political motion, calling on the government to recognise that Sefton has a high number of older people which is likely to increase, within its population, and that the Central Government Formula Grant should allow the Council to meet adequately the needs that this section of the community requires, in order for them to achieve good quality of life, based on relevant age thresholds that adequately reflect the additional costs incurred in caring for an elderly population;
9.
  - A. that the Cabinet be requested to acknowledge the work undertaken by young carers of people with dementia and to request the Director of Social Care and Well Being to report on the provision of respite for them; and
  - B. that the Overview and Scrutiny Committee (Children's Services) be requested to support the above recommendation;
10. that the development of the Sefton Dementia Strategy be welcomed and progress be reported to the Overview & Scrutiny Committee (Health and Social Care) on an annual basis;
11. that NHS Sefton be requested to provide information regarding:-
  - (a) the amount allocated to deliver the national dementia strategy, whether this figure is ring-fenced and what it will be used for; and
  - (b) care of patients with dementia who are admitted to hospitals;
12. that, NHS Sefton be requested to ensure that GPs are provided with:-
  - (a) training, in order to detect early signs of dementia; and
  - (b) full information on treatment and support available within the Borough;
13. that NHS Sefton be requested to note that it is considered to be good practice for GPs to follow up those patients who go into care and nursing homes and to visit them, and to cascade this information to GPs throughout the Borough;

# Agenda Item 4

14. that Mersey Care NHS Trust be requested to consider:-
  - A. extending facilities for dedicated memory clinics throughout the Borough, particularly in the south and east, to possibly include the centres for health and well-being; &
  - B. whether the standard of testing at memory clinics requires revision; &
15. that One Vision Housing, and other large social housing providers within the Borough, be requested to consider implementing mechanisms to identify, and offer support to, customers with early signs of dementia, particularly those who live alone.



**APPENDIX A**

**SEFTON COUNCIL**



**DEMENTIA WORKING GROUP**

**SCOPING EXERCISE**

# Agenda Item 4

## MEMBERSHIP

Councillors McGuire, Pearson & Webster (Lead).

The Working Group was established by the Overview & Scrutiny Committee (Health & Social Care, as part of its work programme for 2009/10.

## TERMS OF REFERENCE AND OBJECTIVES

To consider issues around dementia.

## METHODS OF ENQUIRY

*Investigative techniques/site visits*

Interview of witnesses;

Consideration of background information, “*Living well with dementia: A National Strategy*”, and the *Sefton Dementia Strategy*.

## TIMESCALES

*See Planning Chart*

## OFFICER SUPPORT

Acting Head of Overview & Scrutiny.





## **OTHERS WHO WILL BE INVOLVED**

Community Services Group Accountant, Finance & Information Services Department.

Mental Health Joint Commissioning Manager, NHS Sefton.

Manager of a Residential Rest Home.

A carer of a relative living in own home.

Sefton Carers.

Assistant Director – Adult Services, Health & Social Care Directorate

Sefton LINKs

Services Manager, Alzheimer’s Society, Southport & Formby Branch

## **ARRANGEMENTS FOR REPORTING TO CABINET/COUNCIL**

*Timetable of committees, link into the planning chart, type of report/minute*

# Agenda Item 4

## PLANNING CHART

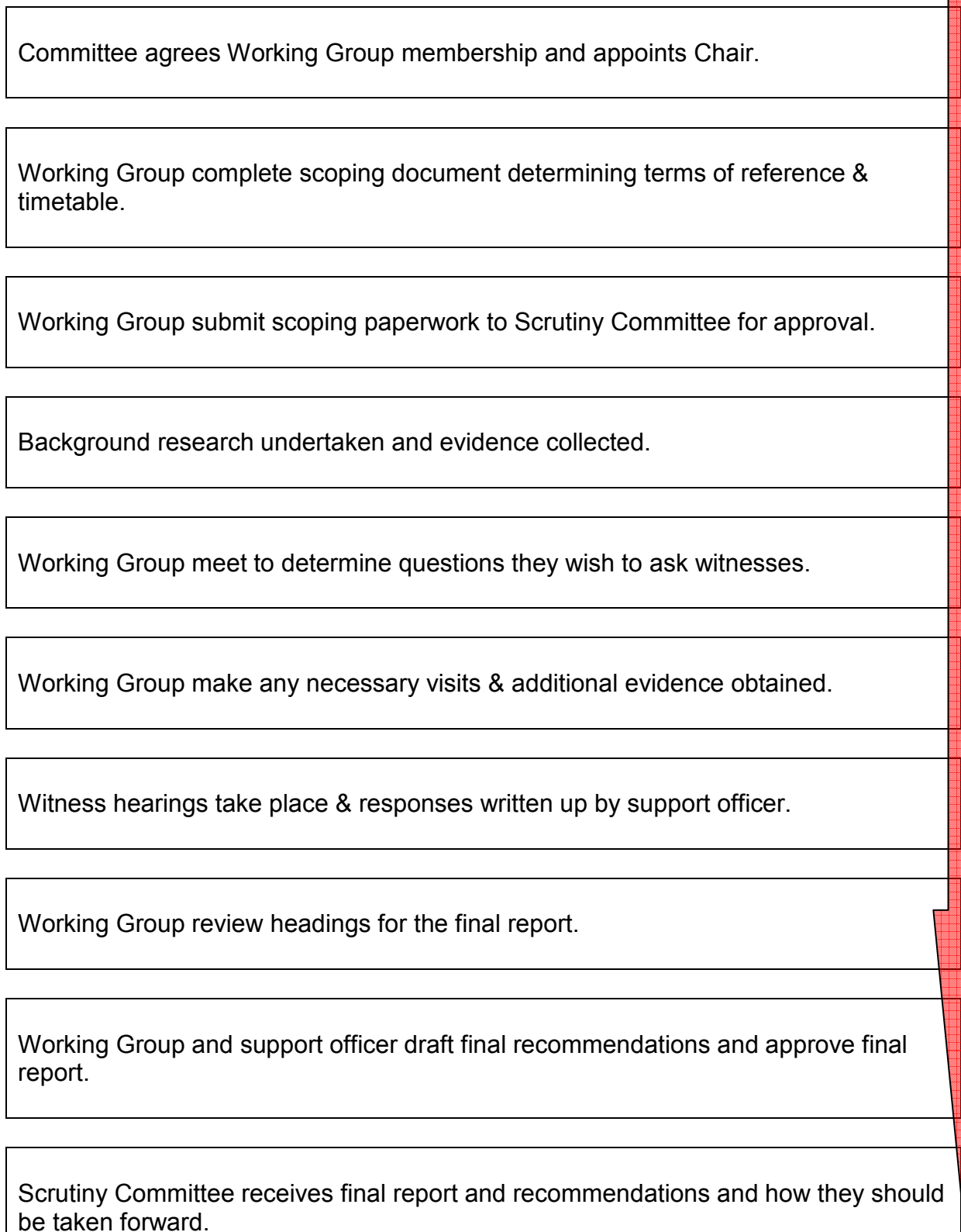
The Planning Chart is an example of the way reviews could/should be planned.

It is recommended that realistic time frames in which to carry out tasks should be considered including possible delays for public holidays and Council business. Effective planning suggests that more planning time be built into the chart.

Activity	Oct 09	Nov 09	Dec 09	Jan 10	Feb 10	Mar 10	Apr 10	May 10
Scoping	√							
Consider Documents		√	√	√	√	√		
Witnesses		√	√	√	√	√		
Site Visits						√		
Initial Findings		√	√	√	√			
Draft Report						√	√	
O&S Cttee Considers							√	
Submit to Cabinet (if appropriate)								√



## TEN STEP PROCESS FLOW CHART



### **Analysis of attendance on Dementia Training by external organisations since 01/04/2006**



**INDEX**

Dementia Awareness..... 30  
Developing Communication Skills with people with a Dementia ..... 31  
Developing Communication Skills with people with a Dementia ..... 31  
Risk Management In Relation to People with Dementia living in their own homes .. 32  
Seeking Solutions to Behaviours We Find Difficult In People with a Dementia..... 32  
Sexuality, Intimacy, Relationships and People with a Dementia ..... 32

# Agenda Item 4

## Dementia Awareness

Organisation	No attended
Allcare Community Services Ltd (Formerly Dh Homecare)	10
Barton Park Nursing Home	4
Byron Court Nursing Home	3
Direct Payments - Personal Assistants	5
Elm House	3
Garswood	2
Local Solutions	2
Mencap Housing Support	1
Nazareth House	26
North West Community Services (Merseyside) Ltd	3
Orrell Grange Nursing Home	4
Outreach (Sefton) Ltd	7
Palmyra	2
Parkhaven Trust	3
Pierhead Housing	2
Sefton Carer's Centre (Carers)	10
Sefton Carers Centre (Employees)	2
Shared Living Carers (formerly APS)	2
St Nicholas Care Home	1
The Glen	2
Warren Care	2
Woolston Mead	3
<b>Total</b>	<b>199</b>



## Developing Communication Skills with people with a Dementia

Organisation	No attended
Byron Court Nursing Home	4
Expect Ltd	1
Mencap Housing Support	6
North West Community Services (Merseyside) Ltd	2
Orrell Grange Nursing Home	4
Outreach (Sefton) Ltd	2
Parkhaven Trust	3
Pierhead Housing	2
Sandley Court	3
Sefton Carer's Centre (Carers)	5
Sefton Pensioners Advocacy Centre	2
Sunningdale Nursing Home	1
<b>Total</b>	<b>35</b>

## Developing Communication Skills with people with a Dementia

Organisation	No attended
North West Community Services (Merseyside) Ltd	7
Sefton Carer's Centre (Carers)	4
Shared Living Carers (formerly APS)	1
Warren Care	1
<b>Total</b>	<b>13</b>

# Agenda Item 4

## Risk Management In Relation to People with Dementia living in their own homes

Organisation	No attended
Allcare Community Services Ltd (Formerly Dh Homecare)	1
Care Connect	2
Outreach (Sefton) Ltd	3
Sefton Pensioners Advocacy Centre	2
<b>Grand Total</b>	<b>8</b>

## Seeking Solutions to Behaviours We Find Difficult In People with a Dementia

Organisation	No attended
Byron Court Nursing Home	4
Expect Ltd	2
Nazareth House	1
<b>Total</b>	<b>7</b>

## Sexuality, Intimacy, Relationships and People with a Dementia

Organisation	No attended
Byron Court Nursing Home	3
Mencap Housing Support	2
Parkhaven Trust	4
<b>Total</b>	<b>9</b>





## APPENDIX C

Service Type: **Care Homes**  
Care Type: **Dementia**  
Council: **Sefton**

☆☆☆ Excellent

**Abbendon Nursing Home**

45 Scarisbrick New Road, Southport, Merseyside PR8 6PE  
Tel: 01704538663 - total capacity **24** places  
Care home with nursing (dementia)  
Provider type: Private

☆☆ Good

**Abbotsbury**

25 Park Road, Southport, Merseyside PR9 9JL  
Tel: 01704537117 - total capacity **21** places  
Care home only (dementia + old age, not falling within any other category)  
Provider type: Private

☆☆ Good

**Ashley Manor Nursing Home**

17-19 Cambridge Road, Waterloo, Liverpool, Merseyside L22 1RR  
Tel: 01519282249 - total capacity **75** places  
Care home with nursing (dementia + old age, not falling within any other category)  
Provider type: Private

☆☆ Good

**Avalon Care Home**

24 Duke Street, Southport, Merseyside PR8 1LW  
Tel: 01704541203 - total capacity **20** places  
Care home only (dementia + mental health, excluding learning disability or dementia + old age, not falling within any other category)  
Provider type: Private

☆☆ Good

**Balliol Lodge**

Balliol Lodge, 58-60 Balliol Road, Bootle, Liverpool, Merseyside L20 7EJ  
Tel: 01519336202 - total capacity **32** places  
Care home with nursing (dementia)  
Provider type: Private



# Agenda Item 4

☆☆ Good

**Benridge**

53 Queens Road, Southport, Merseyside PR9 9HB

Tel: 01704530378 - total capacity **27** places

Care home only (dementia)

Provider type: Private

☆☆ Good

**Birch Abbey**

55 Alexandra Road, Southport, Merseyside PR9 9HD

Tel: 901704532788 - total capacity **18** places

Care home only (dementia)

Provider type: Private

☆☆☆ Excellent

**Cloisters**

5 Abbotsford Road, Blundellsands, Liverpool, Merseyside L23 6UX

Tel: 0151 9243434 - total capacity **20** places

Care home only (dementia)

Provider type: Private

☆☆☆ Excellent

**College Green Rest Home**

14 College Road, Crosby, Liverpool, Merseyside L23 0RW

Tel: 01519282760 - total capacity **21** places

Care home only (dementia)

Provider type: Private

☆☆☆ Excellent

**Craignair**

3 Blundellsands Road West, Blundellsands, Liverpool, Merseyside L23 6TF

Tel 01519313504 - total capacity **21** places

Care home only (dementia)

Provider type: Private

☆☆ Good

**Dale Park**

221 Meols Cop Road, Southport, Merseyside PR8 6JU

Tel: 01704501780 - total capacity **54** places

Care home with nursing (dementia)

Provider type: Private



☆ Adequate

**Fleetwood Hall**

100 Fleetwood Road, Southport, Merseyside PR9 9QN

Tel: 01704544242 - total capacity **53** places

Care home with nursing (physical disability + dementia + mental health, excluding learning disability or dementia + old age, not falling within any other category)

Provider type: Private

☆☆☆ Excellent

**Garswood**

32 Trafalgar Road, Southport, Merseyside PR8 2EX

Tel: 01704568105 - total capacity **42** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Voluntary/Charity

☆ Adequate

**Green Heys**

Park Road, Waterloo, Liverpool, Merseyside L22 3XG

Tel: 01519490828 - total capacity **47** places

Care home with nursing (dementia + mental health, excluding learning disability or dementia)

Provider type: Private

☆☆ Good

**Hampton Court**

34 Scarisbrick New Road, Southport, Merseyside PR8 6QE

Tel: 01704532173 - total capacity **20** places

Care home only (dementia)

Provider type: Private

☆☆ Good

**Hollydene EMI Rest Home**

46 York Road, Southport, Merseyside PR8 2AY

Tel: 01704566846 - total capacity **25** places

Care home only (dementia)

Provider type: Private

☆☆ Good

**Hope Cottage**

5-7 Pilkington Road, Southport, Merseyside PR8 6PD

Tel: 01704536286 - total capacity **26** places

Care home only (dementia)

Provider type: Private



# Agenda Item 4

☆☆☆ Excellent

**Kyffin Taylor House**

Deyes Lane, Maghull, Liverpool, Merseyside L31 6DJ

Tel: 01515272822 - total capacity **28** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Voluntary/Charity

☆☆ Good

**Manchester House**

83 Albert Road, Southport, Merseyside PR9 9LN

Tel: 01704534920 - total capacity **65** places

Care home with nursing (dementia + old age, not falling within any other category + physical disability)

Provider type: Private

☆☆ Good

**Millbrook House**

39-41 Birch Street, Southport, Merseyside PR8 5EU

Tel: 01704546177 - total capacity **40** places

Care home with nursing (dementia)

Provider type: Private

☆☆ Good

**Nazareth House**

Liverpool Road, Crosby, Liverpool, Merseyside L23 0QT

Tel: 01519283254 - total capacity **66** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Voluntary/Charity

☆☆ Good

**Orrell Grange**

43 Cinder Lane, Bootle, Liverpool, Merseyside L20 6DP

Tel: 01519220391 - total capacity **36** places

Care home with nursing (dementia + old age, not falling within any other category)

Provider type: Private

☆☆ Good

**Phoenix House**

54 Andrews Lane, Formby, Liverpool, Merseyside L37 2EW

Tel: 01704831866 - total capacity **30** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Private



☆☆ Good

**St. Nicholas**

21 St. Nicholas Drive, Netherton, Liverpool, Merseyside L30 2EW

Tel: 01519312700 - total capacity **176** places

Care home with nursing (dementia + learning disability + old age, not falling within any other category)

Provider type: Private

☆☆ Good

**Thornton Hall and Lodge**

Tanhouse Road, Liverpool, Merseyside L23 1UB

Tel: 08456044972 - total capacity **96** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Private

☆☆☆ Excellent

**Tudor Bank Ltd.**

2 Beach Road, Tudor Bank, Southport, Merseyside PR8 2BP

Tel: 01704569260 - total capacity **46** places

Care home with nursing (dementia + mental health, excluding learning disability or dementia)

Provider type: Private

☆☆ Good

**Vitalise Sandpipers Centre**

The Fairway, Southport, Merseyside PR9 0LA

Tel: 01704538388 - total capacity **38** places

Care home with nursing (dementia + physical disability)

Provider type: Voluntary/Charity

☆☆ Good

**Woodlands Manor**

21-23 Chambres Road, Southport, Merseyside PR8 6JG

Tel: 01704544848 - total capacity **27** places

Care home only (dementia + old age, not falling within any other category)

Provider type: Private



## Overview & Scrutiny



**For further Information please contact:-**

**Debbie Campbell**

**Scrutiny Support Officer**

**0151 934 2254**

**[debbie.campbell@legal.sefton.gov.uk](mailto:debbie.campbell@legal.sefton.gov.uk)**



# Agenda Item 5

**REPORT TO:** CABINET MEMBER, CHILDREN, SCHOOLS & FAMILIES  
CABINET

**DATE:** 4 MAY 2010  
20 MAY 2010

**SUBJECT:** SEFTON CITY LEARNING CENTRES: CAPITAL REDEVELOPMENT  
FUNDING

**WARDS AFFECTED:** ALL WARDS

**REPORT OF:** PETER MORGARN  
STRATEGIC DIRECTOR – CHILDREN, SCHOOLS & FAMILIES

**CONTACT OFFICER:** CHRIS DALZIEL (0151 934 3337)

**EXEMPT/  
CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

The purpose of this report is to seek approval for the proposed schemes at the South and North Sefton City Learning Centres based at Ainsdale Hope CE High School and Savio Salesian College.

**REASON WHY DECISION REQUIRED:**

The Cabinet Member has delegated powers to approve the proposed schemes and to refer them to Cabinet for inclusion in the Capital Programme.

**RECOMMENDATION(S):**

The Cabinet Member is recommended to:

- (i) Approve the proposed schemes, to be funded entirely from specific resources, and
- (ii) Refer them to Cabinet for inclusion in the Children, Schools & Families Capital Programme.

**KEY DECISION:** No.

**FORWARD PLAN:** Not appropriate.

**IMPLEMENTATION DATE:** Following the expiry of the “call-in” period for the Minutes of the Cabinet meeting.

# Agenda Item 5

**ALTERNATIVE OPTIONS:**

Not appropriate.

**IMPLICATIONS:**

**Budget/Policy Framework:** None.

**Financial:** There are no financial implications for the Council's general capital resources. The schemes would be fully funded from specific resources i.e. capital grant of £300,000. The increase in the Capital Programme is shown in the table below.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure	300,000			
Funded by:				
Sefton Capital Resources				
Specific Capital Resources CAPITAL REDEVELOPMENT FUNDING	300,000			
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton Funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** None arising from this report.

**Risk Assessment:** There are no risks associated with the proposed schemes as all funding is from specific resources.

**Asset Management:** Not appropriate.

**CONSULTATION UNDERTAKEN/VIEWS**

FD 374 - The Head of Corporate Finance & Information Services has been consulted and has no comments on this report.



## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	✓		
2	Creating Safe Communities		✓	
3	Jobs and Prosperity	✓		
4	Improving Health and Well-Being		✓	
5	Environmental Sustainability	✓		
6	Creating Inclusive Communities	✓		
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People	✓		

## LINKS TO ENSURING INTEGRATION:

The proposals detailed in this report will contribute towards the following CYPP priorities:-

- ❖ Create highly effective, inclusive learning environments for all age groups where learners can enjoy and achieve.
- ❖ Create a culture and an environment where people can make a positive contribution to their community.

## IMPACT UPON CHILDREN'S SERVICES TARGETS AND PRIORITIES:

The proposals will also contribute towards the following LAA targets:-

- ❖ Educational achievement and training.
- ❖ Making a positive contribution.
- ❖ Changing perceptions.
- ❖ Statutory Education Targets.

<b>LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT</b>
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None.
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# Agenda Item 5

## **SEFTON CITY LEARNING CENTRES: CAPITAL REDEVELOPMENT FUNDING**

### **1. Background**

- 1.1 Sefton MBC has been allocated a Capital Redevelopment Grant of £300,000 to allow for the continued development of the two City Learning Centres (CLCs) based at Ainsdale Hope CE High School and Savio Salesian College.
- 1.2 Capital Redevelopment Funding can be used for capital expenditure such as building work, computer hardware and related software, including upgrades, or other relevant technical equipment.

### **2. Proposed Schemes**

- 2.1 The management of the two CLCs has been merged and the capital purchases shown below will be shared between the two centres to support delivery of Children, Schools & Families (CSF) priorities. Taking account of £20,587 already expended in 2009/2010 the proposals for the balance of £279,413 are detailed below.

<b>Item</b>	<b>Proposal</b>	<b>Estimated Cost £</b>
Twenty First Century Innovations Projects	Schools and those who support learning will be invited to bid for capital money to develop a significant innovate learning project, the outcome of which will be shared across CSF	133,913
Video Studio	The purchase one mobile studio which can be used at both locations and used across CSF	30,000
Radio Studio	The purchase of a mobile radio studio	6,000
Laptops	Many of the current laptops are five years old. Replacement of time expired equipment	30,000
Secondary Transformational Technologies	The purchase of phase-specific equipment to deliver transformation change	25,500
Primary Transformational Technologies	The purchase of phase-specific equipment to deliver transformation change	22,000
Special Needs Transformational Technologies	The purchase of appropriate equipment to deliver transformation change	20,000
Van	The purchase of a van to assist with moving equipment between CLCs and schools	12,000
Total		279,413

### **3. Recommendations**

- 3.1 The Cabinet Member is recommended to:
- (i) Approve the proposed schemes, to be funded entirely from specific resources, and
  - (ii) Refer them to Cabinet for inclusion in the Children, Schools & Families Capital Programme.

# Agenda Item 6

**REPORT TO:** CABINET MEMBER, CHILDREN, SCHOOLS & FAMILIES  
CABINET

**DATE:** 4 MAY 2010  
20 MAY 2010

**SUBJECT:** SPECIALIST SCHOOLS REDESIGNATION CAPITAL GRANT:  
MAGHULL HIGH SCHOOL

**WARDS AFFECTED:** PARK WARD

**REPORT OF:** PETER MORGAN  
STRATEGIC DIRECTOR - CHILDREN, SCHOOLS & FAMILIES

**CONTACT OFFICER:** CHRIS DALZIEL (0151 934 3337)

**EXEMPT/  
CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

The purpose of this report is to seek approval for the capital expenditure detailed in this report.

**REASON WHY DECISION REQUIRED:**

The Cabinet Member has delegated powers to approve the scheme detailed in this report and to refer it to Cabinet for inclusion in the Children, Schools & Families Capital Programme.

**RECOMMENDATION(S):**

The Cabinet Member is recommended to:-

- i) approve the proposed scheme;
- ii) refer the proposed expenditure to Cabinet for inclusion in the Children, Schools & Families Capital Programme 2010/11.

**KEY DECISION:** No.

**FORWARD PLAN:** Not appropriate.

**IMPLEMENTATION DATE:** Following the expiry of the "call-in" period for the Minutes of the Cabinet meeting.

# Agenda Item 6

## ALTERNATIVE OPTIONS:

Not applicable.

## IMPLICATIONS:

**Budget/Policy Framework:** None.

**Financial:** There are no financial implications for the Council's general resources as all funding is from specific resources. The £25,000 Capital Grant for Redesignating Specialist Schools will increase the Children, Schools & Families Capital Programme as detailed in the table below.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure	25,000			
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
Redesignating Specialist Schools Grant	25,000			
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton Funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** Not appropriate.

**Risk Assessment:** There are no financial risks associated with this scheme as all funding is from specific resources.

**Asset Management:** The funding will improve specific areas at Maghull High School and the proposal is in line with the Schools' Asset Management Plan.

## CONSULTATION UNDERTAKEN/VIEWS

The Department for Children, Schools and Families (DCSF) has been consulted on, and has approved, the proposals.

FD 375 - The Head of Corporate Finance & Information Services has been consulted and has no comments on this report.

# Agenda Item 6

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	✓		
2	Creating Safe Communities		✓	
3	Jobs and Prosperity		✓	
4	Improving Health and Well-Being		✓	
5	Environmental Sustainability		✓	
6	Creating Inclusive Communities		✓	
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People	✓		

## LINKS TO ENSURING INTEGRATION:

The proposals will create highly effective, inclusive learning environments for all age groups where learners can enjoy and achieve and will help to create a culture and an environment where people can make a positive contribution to their community.

## IMPACT UPON CHILDREN, SCHOOLS & FAMILIES TARGETS AND PRIORITIES:

The proposal will have a positive impact on the following LAA targets:-

- ❖ Educational achievement and training.
- ❖ Making a positive contribution.
- ❖ Changing perceptions.
- ❖ Statutory Education Targets.

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

None.

# Agenda Item 6

## **SPECIALIST SCHOOLS REDESIGNATION CAPITAL GRANT: MAGHULL HIGH SCHOOL**

### **1. Background**

- 1.1 Maghull High School became a Specialist School for Arts in September 2006. An Ofsted Section 5 inspection was carried out at the school in October 2008 and Maghull High School was judged to be good overall with some outstanding features.
- 1.2 A school's most recent Ofsted Section 5 inspection is used to review progress and performance and to kick start the process of redesignation. This enables a school to extend their designation for a further period and thereby qualify for a further phase of funding.
- 1.3 To be redesignated, a specialist school must demonstrate at least adequate progress in meeting the requirements of the Specialist School Programme. This includes setting and achieving challenging targets for whole-school improvement, raising standards in their specialist subjects and delivering purposeful support for partner schools and community-based activities.

### **2. Funding**

- 2.1 From September 2008, specialist schools that have successfully redesignated will have the opportunity to access a £25,000 capital grant if they can match this with £25,000 of sponsorship. This provides the school with an opportunity to renew facilities and equipment as well as improving and sustaining relationships with business/employer partners. Maghull High School has been allocated such a grant.
- 2.2 The £25,000 sponsorship can be secured in cash or in kind (either as goods or services such as curriculum support, mentoring etc) from businesses and employers. A portion of the sponsorship can also come from charitable donations, including money raised by parent organisations.
- 2.3 The school proposes to install tiered seating in the Arts Theatre at a cost of £35,000 and the redesignation specialist schools grant of £25,000 will be supplemented by £10,000 from the school's Devolved Formula Capital grant. The seating will create greater capacity within the Arts Theatre and will provide a more adaptable performance area.
- 2.4 The school has provided full details of the sponsorship made available from Curious Minds, which has been approved by the DCSF, and consists of £15,000 in financial sponsorship in 2009/10. The remainder will be provided by Curious Minds through Headteacher mentoring, continuous professional development for teachers and staff mentoring and the development of teaching materials for class and club use.
- 2.5 Curious Minds is a company dedicated to helping people realise their creative potential and is the area delivery organisation for Creative Partnerships across Merseyside, Lancashire and other North West authorities. The partnership between Curious Minds and Maghull High School began in September 2008 and is based around a 3 year Change School Programme that is aimed at increasing and developing creativity across the whole school.

### **3. Recommendation(s)**

- 3.1 The Cabinet Member is recommended to:-
  - i) approve the proposed scheme;
  - ii) refer the proposed expenditure to Cabinet for inclusion in the Children, Schools & Families Capital Programme 2010/11.

# Agenda Item 7

**REPORT TO:** CABINET MEMBER, CHILDREN, SCHOOLS & FAMILIES  
CABINET

**DATE:** 4 MAY 2010  
20 MAY 2010

**SUBJECT:** AIMING HIGH FOR DISABLED CHILDREN: ADDITIONAL SCHEMES

**WARDS AFFECTED:** ALL WARDS

**REPORT OF:** PETER MORGAN  
STRATEGIC DIRECTOR OF CHILDREN, SCHOOLS & FAMILIES

**CONTACT OFFICER:** CHRIS DALZIEL (0151 934 3337)

**EXEMPT/  
CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

The purpose of this report is to seek approval for proposed schemes to be funded from the Aiming High for Disabled Children Capital Allocation.

**REASON WHY DECISION REQUIRED:**

The Cabinet Member has delegated powers to approve the proposed schemes and to refer the funding to Cabinet for inclusion in the Children's Services Capital Programme.

**RECOMMENDATION(S):**

The Cabinet Member is recommended to:-

- (i) approve the proposed schemes detailed in this report;
- (ii) refer the funding for the proposed schemes to Cabinet for inclusion in the Children, Schools & Families Capital Programme 2010/11.

**KEY DECISION:** No.

**FORWARD PLAN:** Not appropriate.

**IMPLEMENTATION DATE:** Following the expiry of the "call-in" period for the Minutes of the Cabinet meeting.

# Agenda Item 7

**ALTERNATIVE OPTIONS:**

Not appropriate.

**IMPLICATIONS:**

**Budget/Policy Framework:** None.

**Financial:** There are no financial implications for the Council's general capital resources as all funding is from specific resources i.e. the Aiming High for Disabled Children allocation. If the proposed schemes are approved then a balance of £78,700 will remain in the Aiming High for Disabled Children Capital Grant to support further schemes.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton Funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** Not appropriate.

**Risk Assessment:** There are no financial risks associated with this report as all funding is from specific resources.

**Asset Management:** Not appropriate.

**CONSULTATION UNDERTAKEN/VIEWS**



## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	✓		
2	Creating Safe Communities	✓		
3	Jobs and Prosperity		✓	
4	Improving Health and Well-Being	✓		
5	Environmental Sustainability	✓		
6	Creating Inclusive Communities	✓		
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People	✓		

## LINKS TO ENSURING INTEGRATION:

The Aiming High for Disabled Children Capital Grant will contribute towards the following CYPP priorities.

- ❖ Reduce health inequalities.
- ❖ Create highly effective, inclusive learning environments for all age groups where learners can enjoy and achieve.
- ❖ Create a culture and an environment where people can make a positive contribution to their community.

## IMPACT UPON CHILDREN'S SERVICES TARGETS AND PRIORITIES:

The following priorities of the LAA will be supported positively by the proposals:-

- ❖ Improved health and reduced inequalities.
- ❖ Improving the quality of life of older people and vulnerable groups.
- ❖ Educational achievement and training.
- ❖ The health of children and young people.
- ❖ Changing perceptions.

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Report to Cabinet Member/Cabinet – 15 September 2009/1 October 2009: Aiming High for Disabled Children: Proposed Scheme.

# Agenda Item 7

## **AIMING HIGH FOR DISABLED CHILDREN: ADDITIONAL SCHEMES**

### **1. Background**

- 1.1 Members will recall that Sefton has been allocated a total of £558,700 Capital Grant to support the Government's Aiming High for Disabled Children (AHDC) programme. The aim is to provide support for families with disabled children to enable them to live ordinary family lives as a matter of course.
- 1.2 Taking into account the existing approved scheme at the Netherton Activity Centre there remains £158,700 available to support new schemes.

### **2. Proposals**

- 2.1 It is proposed to expend £60,000 to enable adaptations to be made to the new constructed Crosby Lakeside Adventure Centre to allow the flexibility required to provide short breaks for families with disabled children. These changes will include the provision of a children's lounge and youth activity area, ceiling tracking in some of the bedrooms and specialist changing beds.
- 2.2 It is proposed to use a further £20,000 to purchase small capital items such as changing beds and hoists for existing facilities and services, such as Sefton Leisure Centres, to make them more widely accessible to the target families.
- 2.3 If these proposals are approved then £78,700 will remain to support further schemes. The AHDC Steering Group is consulting with the Parents Forum on a number of additional capital schemes and these will be reported to Members for approval at the appropriate time.

### **3. Recommendations**

- 3.1 The Cabinet Member is recommended to:-
  - (i) approve the proposed schemes detailed in this report;
  - (ii) refer the funding for the proposed schemes to Cabinet for inclusion in the Children, Schools & Families Capital Programme 2010/11.

# Agenda Item 8

**REPORT TO:** Cabinet

**DATE:** 20 May 2010

**SUBJECT:** Balliol House

**WARDS AFFECTED:** Linacre / Derby

**REPORT OF:** Alan Moore - Strategic Director Communities

**CONTACT OFFICER:** Mike Martin - Strategic Finance Manager

**EXEMPT/CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To advise Cabinet of the outcome of the application for NWDA grant assistance towards the demolition of Balliol House and to propose a way forward in the light of this decision.

**REASON WHY DECISION REQUIRED:**

To enable the demolition of Balliol House to be progressed.

**RECOMMENDATION(S):**

Cabinet is asked to:-

- a) Note the report;
- b) Agree to the revised proposal for the demolition of Balliol House, with a total cost of £1.3m;
- c) Subject to b) above, increase the capital programme provision for this scheme by £0.55m, to be met from prudential borrowing;
- d) Authorise officers to seek tenders for the demolition of Balliol House;
- e) Agree to the marketing of the former 'Z' blocks site in Netherton, with any resultant proceeds being used to accelerate the repayments of the debt incurred on the initial phases of the Accommodation Strategy;
- f) Note that subject to e) above, a further report will be brought to the Cabinet if sale of the 'Z' blocks site is agreed.

**KEY DECISION:** Yes

**FORWARD PLAN:** Yes

**IMPLEMENTATION DATE:** Following the "call-in" period for the Minutes of the Cabinet meeting.

# Agenda Item 8

## ALTERNATIVE OPTIONS:

The Council could decide not to progress the demolition of Balliol House. However, the vacated building will pose a health and safety risk due to the presence of asbestos in the fabric of the building and there would be an ongoing revenue cost of maintaining a security presence at the site. Furthermore, the revenue budget savings associated with the demolition of Balliol House will not be realised.

## IMPLICATIONS:

### Budget/Policy Framework:

#### Financial:

The current capital programme contains provision of £0.75m for the demolition of Balliol House. Approval of the revised proposal for the scheme will result in an additional capital cost of £0.55m, to be met from prudential borrowing, the financing costs of which will be contained within the existing Administrative Buildings revenue budgets.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure	550,000			
Funded by:				
Sefton Capital Resources	550,000			
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?	N/A			

**Legal:** None

**Risk Assessment:** The risk of not demolishing Balliol House would be additional revenue costs of securing and business rates of the building.

**Asset Management:** The building had been identified by the Strategic Asset Management Group as being beyond repair and a replacement has been provided via the Accommodation Strategy. Demolition of is now essential to minimise any liabilities to the Council

**CONSULTATION UNDERTAKEN/VIEWS**

Accommodation Working Group and Strategic Asset Management Group.

FD 385 - The Head of Corporate Finance and Information Services has been consulted and has no comments on this report.

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		✓	
2	Creating Safe Communities	✓		
3	Jobs and Prosperity		✓	
4	Improving Health and Well-Being		✓	
5	Environmental Sustainability		✓	
6	Creating Inclusive Communities		✓	
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People		✓	

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

# Agenda Item 8

## 1. Background

- 1.1 It will be recalled that in agreeing to acquire and refurbish St Peter's House, Cabinet also included £750,000 in the 2010/11 Capital Programme for the demolition of Balliol House. The possibility of grant support for the demolition was explored with NWDA and they invited an application for a wider scheme to include the tidying of the strategically important Connolly House site in addition to the demolition and subsequent landscaping of Balliol House. The wider scheme was estimated at £1.4m.

## 2. Current Position

- 2.1 At a meeting with senior NWDA staff and the Strategic Director (Communities) on 30 March 2010, the Council was informed that, owing to programme commitments elsewhere, the Balliol House bid had been unsuccessful.
- 2.2 This news is disappointing, particularly as preparatory investigatory work during the preparation of the bid indicated the presence of asbestos in the external cladding of Balliol House that exceeded the original estimate upon which the initial £750,000 capital programme provision had been framed. In the light of this, Capita have provided a revised estimate of £1.3m for the demolition of Balliol House with minimal landscaping works sufficient to provide some marginal extra car parking capacity within the footprint of the building and a reduced scheme at the Connolly House site.
- 2.3 Balliol House is now substantially empty and will be vacated during May upon the completion of the transfer of the data centre by Arvato to St Peter's House. However, there are some documents (primarily paid invoices and some personal Children's Services records) for which locations for safe storage are currently being sought. Until this is found, it will be necessary to maintain a security presence, which will incur additional cost, thus the full anticipated running cost savings will not be made until demolition occurs.

## 3. Options for Consideration

- 3.1 The revenue budget savings associated with the demolition of Balliol House make "do nothing" as untenable. Therefore, the additional cost of £550,000 will need to be met by the Council. The 2010/11 Capital Programme did not provide any resources for a new starts capital programme from which resources could be diverted to meet this extra cost. Accordingly, the Strategic Asset Management Group (SAMG) are recommending that Cabinet consider that the difference between the new estimate and the existing capital programme provision, £550,000 should initially be met by prudential borrowing. The full year cost of servicing this additional borrowing will be in the order of £47,700 p.a. and will be contained within the existing Administrative buildings revenue budgets.
- 3.2. Further, the SAMG are of the opinion that it is now worth testing the market by disposing of some surplus assets, for example the former housing "Z" blocks in Page 86 The proceeds could be used to accelerate the repayments of debt incurred thus far on the initial phases of the Accommodation Strategy.

# Agenda Item 8

- 3.3. Cabinet are advised that if approval to market the “Z” blocks site is given, a further report will be brought should sale of the site be agreed.

## 4. Recommendations

Cabinet is asked to:-

- (a) Note the report;
- (b) Agree to the revised proposal for the demolition of Balliol House, with a total cost of £1.3m;
- (c) Subject to b) above, increase the capital programme provision for this scheme by £0.55m, to be met from prudential borrowing;
- (d) Authorise officers to seek tenders for the demolition of Balliol House;
- (e) Agree to the marketing of the former ‘Z’ blocks site in Netherton, with any resultant proceeds being used to accelerate the repayments of the debt incurred on the initial phases of the Accommodation Strategy;
- (f) Note that subject to (e) above, a further report will be brought to the Cabinet if sale of the ‘Z’ blocks site is agreed.

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# Agenda Item 9

**REPORT TO:** Cabinet  
Cabinet Member (Technical Services)  
Cabinet Member (Regeneration)

**DATE:** 20<sup>th</sup> May 2010  
26<sup>th</sup> May 2010  
9<sup>th</sup> June 2010

**SUBJECT:** Funding Opportunities – Progress Report 4

**WARDS AFFECTED:** All

**REPORT OF:** Alan Moore, Strategic Director Communities

**CONTACT OFFICER:** Mo Kundi 3447  
Stuart Waldron 4006

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To inform Members of the progress made with regard to Expressions of Interest submitted for funding, and provide an update of further external funding opportunities.

**REASON WHY DECISION REQUIRED:**

To comply with standard portfolio reporting procedures and to seek endorsement of the Expressions of Interest submitted to date.

**RECOMMENDATION(S):**

It is recommended that:-

Cabinet Member for Regeneration:-

- Endorse the submission of Expressions of Interest as shown in Annex A and B of this report,
- Note the current Funding Opportunities available as shown in Annex C of this report

Cabinet Member for Technical Services, and Cabinet :-

- Note the report

**KEY DECISION:** No

**FORWARD PLAN:** No

**IMPLEMENTATION DATE:** Immediately after the call in period

# Agenda Item 9

**ALTERNATIVE OPTIONS:**

There are only limited opportunities to secure external funding to deliver Sefton Council projects, particularly under the current European funded North West Operational Plan. Failure to respond to bidding opportunities would either prolong the time taken to deliver these projects, and or in the worse case scenario may not happen at all.

**IMPLICATIONS:**

**Budget/Policy Framework:**

**Financial:** There are no financial implications as a result of this report.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2009/ 2010 £</b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources:-				
Sefton LTP				
Section 106 Money				
Specific Capital Resources:- ERDF RDA				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?	N/A			

**Legal:** N/A

**Risk Assessment:** N/A

**Asset Management:** N/A

**CONSULTATION UNDERTAKEN/VIEWS:-**

**FD 387 - The Acting Head of Corporate Finance & IS has been consulted and his comments have been incorporated into this report.**

Legal,  
Technical Services

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	/		
2	Creating Safe Communities	/		
3	Jobs and Prosperity	/		
4	Improving Health and Well-Being	/		
5	Environmental Sustainability	/		
6	Creating Inclusive Communities	/		
7	Improving the Quality of Council Services and Strengthening local Democracy	/		
8	Children and Young People	/		

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

Cabinet Report entitle 'Funding Opportunities Progress Report 3' dated 4<sup>th</sup> February 2010

# Agenda Item 9

## 1.0 Background

- 1.1 The Cabinet Member (Technical Service), the Cabinet Member (Regeneration) and the Cabinet, at their meetings on 20<sup>th</sup> January 2010, 27<sup>th</sup> January 2010, and 4<sup>th</sup> February 2010 respectively considered a report entitled 'Funding Opportunities – Progress Report 3'. The report provided information on current funding opportunities, and sought Members endorsement on those Expressions of Interest submitted.
- 1.2 This report provides an update on previously submitted Expressions of Interest, seeks endorsement of Expressions of Interest/Concept Forms submitted since then (please see Annex A and B), and provides information on funding opportunities that have arisen since the previous report, (please see Annex C).

## 2.0 RDA/ERDF Public Realm Projects

- 2.1 Members may recall that Dunningbridge Road Corridor scheme was approved for more detailed design and costing by the RDA. The original cost of the scheme was £1.2 million, of which RDA was going to fund £600,000 from ERDF and £200,000 from its Single Programme budget. The balance of the money coming from Sefton's LTP (£100,000) and Section 106 money (£300,000). However the RDA has now indicated that it will not be prepared to contribute £200,000 from its Single Programme. Unless alternative sources of funds can be secured the scheme may either have to be down sized or abandoned completely. Further discussions are currently taking place, and a separate report on this project will be presented to Members.

## 3.0 Action Area 4.3 – Development of Sites

- 3.1 Of the three schemes submitted under this Action Area, only SAFE Production is still 'live'. However the success of this project is reliant on the applicant securing a site for its development, which to date has not been possible. It must be recognised that due to time constraints it is unlikely that this project would be implemented.

Applicant	Scheme	Total Cost	Contributions From:-		Current Status
			ERDF	Applicant's Own	
South Sefton Development Trust	To fit out workspace for social enterprise	£100,000	£45,000	£55,000	Unsuccessful
TREND	Start-up workshops	£200,000	£90,000	£110,000	Unsuccessful at concept stage
SAFE Productions Ltd	26 new Start-up Units	£1,000,000	£450,000	£550,000	Unable secure a site

- 3.2 Members may be aware that TREND has gone into receivership and officers are currently examining any financial or legal implications, particularly as the project was funded via Sefton Council with external funding, and there are normally conditions attached to disposal of any assets. A separate report on this matter will be presented to Members.

## 4.0 Action Areas 3.2 & 4.3 – Land Remediation and Site Servicing

4.1 Members may recall that three Expressions of Interests for Peerles Site, Demolition of Balliol House, and Southport Commerce Park projects was submitted to the RDA. Of the three Expressions of Interests submitted under these action areas, the RDA was only supporting the Demolition of Balliol House scheme. The RDA has recently sent a letter indicating all schemes currently requiring ERDF support have been suspended until such time as the RDA had the opportunity to undertake a full review of the North West Operational Programme.

4.2 Based on informal discussions with RDA officers, it is unlikely that the Demolition of Balliol House project will be successful in attracting any ERDF grant.

Applicant	Scheme	Total Cost	Contributions From:-			Current Status
			RDA/ERDF	Sefton	Others	
Sefton Council	Peerless Site, Bootle	£12,500,000	£12,500,000	-	-	Not supported
Sefton Council	Demolition of Balliol House	£1,415,000	£707,500	£707,500	-	Suspended
Sefton Council	Southport Commerce Park	£2,375,858	£1,101,858	£1,074,000	£200,000	Not supported

## 5.0 Action Area 1.2

5.1 A very speculative Expression of Interest was submitted on 10<sup>th</sup> August 2009 as per the RDA's deadline for this Action Area. The aim of this project was to deliver targeted specialist support to rural food producing businesses and supply chains, to service the hospitality sector in Southport. Whilst the RDA liked the bid unfortunately it was not approved as the activities contained in the proposal were only targeted at Sefton, and not sub-regionally. However discussions are taking place with Food NW, whose bid was approved, and they would like to explore the opportunity of including Sefton's proposals in their delivery plan.

## 6.0 Action Area 1.3

6.1 The Cabinet at its meeting on 17<sup>th</sup> December 2009 agreed to the submission of a sub-regional project under this action area, for which Sefton Council will be the accountable body, subject to there being no additional financial implications imposed upon the Council especially with regard to management costs. The aim of this 'REECH' project is to directly stimulate the market for low carbon and environmental technologies and renewable energies via their application within existing social and low income housing. The project has now progressed to the Development and Appraisal stage. The deadline for the submission of detailed information is 21<sup>st</sup> May 2010. A more detailed report will be presented to Members on this project.

## 7.0 Low Carbon Communities Challenge 2010-2012

7.1 The Cabinet at the same meeting on 17<sup>th</sup> December 2009 also agreed to Sefton Council supporting Formby Parish Council in the development, and submission of

# Agenda Item 9

a £500,000 bid under the Low Carbon Communities Challenge 2010-2012 Programme. I regret to report that the bid was not successful.

## **8.0 The Big Lottery**

8.1 The Frank Hornby Trust had engaged consultants to develop a Stage 1 bid for Heritage Lottery Grant. The Trust is seeking some £200,000 for fit out costs for the Frank Hornby Centre at Maghull Leisure Centre, and the Stage 1 bid was submitted at the end of February 2010.

## **9.0 Rural Development Programme for England (RDPE)**

9.1 Through the work being carried out by the Altside Business Village Partnership, a developer has submitted a Planning application for the development of a Marina in Lydiate. In addition the developer has also submitted an application for funding support to the RDPE/Mersey Leader Programme.

## **10.0 Rural Strategy and an Action Plan for Merseyside**

10.1 External Consultants engaged by the sub-regional partners have now completed the development of the Rural Strategy and are in the process of developing individual Action Plans for each of the local authority. Annex B attached shows all the Expressions of Interests submitted under this programme.

## **11.0 Low Carbon Rural Challenge Fund**

11.1 The Regional Development Agency has recently requested Expression of Interest for projects that would support the delivery of renewable energy projects in rural areas across the North West of England. The bidding round is only open to Social Enterprises, Charities, groups of businesses and micro enterprises. It is likely that there will only be one bid from the Merseyside region, and officers are currently in discussions with Mersey Forest, who would be eligible to apply, to consider a project based in Melling.

## Financial Details of Expressions of Interest Submitted.

Expressions of Interest Submitted to date	Fund Type	Total Project Cost £	ERDF/RDA Funding Sought £	Other External Funding Source £	Contribution from Sefton			Comments
					LTP Budget £	Capital Programme £	Others £	
Dunningsbridge Road Corridor	Public Realm (ERDF/RDA)	1,200,000	600,000 (ERDF) 200,000 (RDA)				400,000	Waiting for RDA decision, early indications are that the Agency has withdrawn its Single Programme allocation of £200,000, and unless Sefton is able to find this from its resources the scheme is unlikely to go ahead.
REECH Project	ERDF	£22,800,000	£11,400,000	£11,400,000				Development and Appraisal forms to be submitted at end of May
Demolition of Balliol House and (Connley House)	Demolition and remediation works	£1,415,000	£707,500 (ERDF)			£707,500		Waiting for RDA decision, but early indications are this is now unlikely to be successful
Frank Hornby Trust	Big Lottery - Heritage Grant	£175,000 - £200,000	-	£175,000 - £200,000 (Big Lottery)	-	-	£5,000 (WNF)	1st Stage bid submitted
Arts in Empty	Arts Council	£30,000						Bid submitted

Spaces	England								
Lydiate Canal Marina	Rural Development Programme for England	Over £2 million	-	£200,000 (RDPE)	-	-	Private Sector	This is a private sector lead project, but is being supported by Lydiate Parish Council, Maghull Town Council, and Altside BVP because of economic regeneration benefits that it will bring to the area.	
Another Place, Sefton Coast	Merseyside Rural Economy and Action Plan	£50k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted	
Ainsdale on Sea Heritage Centre	Merseyside Rural Economy and Action Plan	£470k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted	
Sefton Coast Partnership Officer and support	Merseyside Rural Economy and Action Plan	£40k (per annum)	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted	
Brown Hare Project (Habitat Management)	Merseyside Rural Economy and Action Plan	£8k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted	
Sefton Coast Access Improvements	Merseyside Rural Economy and Action Plan	£500k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the	



			-	RDA/RDPE				EOI submitted
Seftons Rural Heritage	Merseyside Rural Economy and Action Plan	£300k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Melling House/Barns and Outbuildings, Melling	Merseyside Rural Economy and Action Plan	£100k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Kings Gardens	Merseyside Rural Economy and Action Plan	?	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Liverpool City Region Ecological Framework	Merseyside Rural Economy and Action Plan	£7.5k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Another Place, Sefton Coast	Merseyside Rural Economy and Action Plan	£50k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Ainsdale on Sea Heritage Centre	Merseyside Rural Economy and Action Plan	£470k	-	RDA/RDPE				Scheme will be worked out in more detail once RDA is able to provide some feedback on the EOI submitted
Sefton Coast Partnership	Merseyside Rural Economy	£40k (per annum)	-	RDA/RDPE				Scheme will be worked out in more detail once

Officer and support	and Action Plan							RDA is able to provide some feedback on the EOI submitted
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## Expressions of Interests Submitted Under the Merseyside Rural Economy and Action Plan

Name of Project	Summary	Contact	What is the Funding for?	Total Cost
<b>Another Place, Sefton Coast</b>	A project to provide activities, information and interpretation for the Another Place Artwork on Crosby Beach. This will help to contribute to the Local Economy and enhance the visitor experience.	Will Moody, Planning 0151 934 3608	The funding will be for a website, interpretation board, activities, an annual event, and a booklet/leaflet for all of the above.	<b>£50k</b>
<b>Ainsdale on Sea Heritage Centre</b>	This visitor centre for the Sefton Coast would provide information and interpretation including the history of the coast, landscape, aviation, shipwrecks, Lifeboats etc. There will also be a café and a 'coastal museum'.	Dave McAleavy, Coast and Countryside 0151 934 2961	The Funding will be to implement an interpretation plan for the Heritage Centre and to help to develop and install technology.	<b>£470k</b>
<b>Sefton Coast Partnership Officer and support</b>	There is currently no dedicated officer working for the Sefton Coast Partnership. This funding would be for an Officer post, along with admin support. The role of this post would be to identify and deliver projects, facilitate networking, represent and publicise the partnership, seek funding opportunities, and service the Partnership Board and Sub-Groups.	Will Moody, Planning 0151 934 3608	Annual salary plus admin support.	<b>£40k (per annum)</b>

<p><b>Brown Hare Project (Habitat Management)</b></p>	<p>A project to improve our understanding of brown hare populations within Greater Manchester, North Merseyside and South Lancashire. It will also help to inform Local Biodiversity Action Plans by providing baseline data for which to set targets. The project will also help to give advice and best practice to farmers.</p>	<p>Paul Corner, MEAS, 0151 934 2809</p>	<p>The project could either be a stand alone project, or as part of the larger Brown Hare Project. It will help to create demonstration projects for Habitat Management best practice to feed into Local and Regional BAP targets and Agri-environmental schemes.</p>	<p><b>£8k</b></p>
<p><b>Sefton Coast Access Improvements</b></p>	<p>Access improvements to the paths and Birdleways along the Sefton Coast</p>	<p>Will Moody, Planning 0151 934 3608</p>	<p>Upgrade 4km of the Sefton Coast Path, create 2km of new footway/bridle way, upgrade the cycle link between Hightown and Formby, and improve linkages between Marshside and the RSPB centre for walking and cycling.</p>	<p><b>£500k</b></p>
<p><b>Seftons Rural Heritage</b></p>	<p>A project to repair, reinstate and consolidate Seftons historic structures including stocks, crosses, St Helens Well, St Catherine’s Chapel and Lydiate Hall. This could then form an interpretation/heritage trail for Sefton, which could improve Tourism for Sefton. Web based technology could also be used, and schools could be involved with the work.</p>	<p>Daniel Byron Planning 0151 934 3584</p>	<p>Firstly repair work, then working on the interpretation.</p>	<p><b>£300k</b></p>

<b>Melling House/Barns and Outbuildings, Melling</b>	A project to repair the Listed Buildings in Melling to make them usable and sustainable for farming purposes. This also saves the need to construct new buildings in the green belt/rural area.	Daniel Byron Planning 0151 934 3584	Repair work	<b>£100k</b>
<b>Kings Gardens</b>	A project to restore Kings Gardens through restoring and refurbishing the Gardens, and upgrading the infrastructure to support events and attractions.	Andrew Hall Planning 0151 934 3604	The funding will match other funding for the project from HLF and Sefton Council.	<b>?</b>
<b>Liverpool City Region Ecological Framework</b>	A project to produce an interactive mapping tool, which will form part of the public consultation for the Ecological Framework for the Liverpool City Region. This mapping tool will be used by landowners, planners, conservation organisations, developers and LA's to help to target habitat creation, protect existing habitats and strengthen wildlife corridors and networks.	Paul Corner, MEAS, 0151 934 2809	The funding will develop the interactive mapping tool and produce a user guide.	<b>£7.5k</b>

ANNEX C

**CURRENT FUNDNG OPPORTUNTIES**

<b>Funding Name</b>	<b>Funding Body</b>	<b>Amount Available</b>	<b>Deadline for EOI Submission</b>	<b>Date by which project must start</b>	<b>Date by which project must finish</b>	<b>Key Criteria</b>
Rural Development Programme	National/European Grant	Total Budget for the sub-region £2m	On going	ASAP		<ul style="list-style-type: none"> <li>• A range Interventions, including Farm diversification, Rural tourism, supporting rural economy, access etc.</li> </ul>
Heritage Grants	Big Lottery	£50,000 to over £5m	Any time	N/A	N/A	<ul style="list-style-type: none"> <li>• To conserve and enhance nation's diverse heritage</li> <li>• To encourage more people to be involved in their heritage</li> </ul>
Future Jobs Fund	Dept. for Works and Pensions	£1.0 billion	Any time	N/A	N/A	<ul style="list-style-type: none"> <li>• To create 150,000 new jobs</li> <li>• Looking for Partnership bids</li> <li>• Must be linked to locally agreed work and skills strategies</li> </ul>

Access To Nature	Big Lottery	Total Budget £25m, and grants available between £50,000, and £500,000	Stage 1 closes 1 <sup>st</sup> February 2010, and stage 2 closes 4 <sup>th</sup> May 2010	N/A	N/A	Access to Nature aims to encourage more people to enjoy the outdoors, particularly those who face social exclusion or those that currently have little or no contact with the natural environment - perhaps because they lack the confidence to get out and enjoy natural places or have few opportunities to do so.
Arts in Empty Spaces	Arts Council England	Total Budget £500,000	Starts from 1 <sup>st</sup> April 2010	N/A	N/A	Priority given to those local authorities in receipt of Empty Shops Revival Fund, which Sefton is.
Contaminated Land Capital Projects Programme 2010/11	DEFRA	Yet to be determined		April 2010	March 2011.	fund intrusive investigation projects on land, which is potentially contaminated, and remediation projects on sites, which are contaminated. Money paid under the Programme is for capital expenditure. The Programme exists to help authorities carry out duties under contaminated land legislation (Part 2A of the Environmental Protection Act 1990).
Foundation	NWDA	Max grant £50k per project	On going	On going		Grants towards energy efficiency measures, renewable energy projects. Community engagement important – priority given to schemes which reduce fuel poverty

Aqua Fund	Advanced Demand Side Management	Overall budget: £500m	On going	On going		Provision of consultancy advice on water management, with tailored packages of new water management systems, in order to reduce water usage and bills
INTERREG IVB (Atlantic Area)	Welsh Assembly (Lead body) for west of UK	Not specified	Call for proposals not yet announced for 2010	Not available yet		4 transnational priorities:- <ul style="list-style-type: none"> <li>•Promote transnational entrepreneurship and innovation networks</li> <li>•Protect, secure and enhance marine coastal environment</li> <li>•Improve accessibility and external links</li> <li>•Promote transnational synergies in sustainable urban and regional development</li> </ul>
Community Sustainable Energy Programme	Big Lottery	Max grant: £50k	Applications close at the end of October 2010	Not specified		Funding for sustainable energy projects within local communities, and involving local communities. Applications invited from community groups, Schools, Parish Councils.
Awards For All	Big Lottery	£300-£10,000	On going	On going, but the grant must be spent within one year		A wide range of activities can be funded which benefit a local community. These can include exhibitions if local interest, awareness raising of issues of particular interest, a small community event etc. Available to not for profit organisations and Parish Councils.



Reaching Communities	Big Lottery	£10,000-£150,000	On going	Not specified	<ul style="list-style-type: none"> <li>●people having better chances in life, including being able to get better access to training and development to improve their life skills</li> <li>●strong communities, with more active citizens, working together to tackle their problems</li> <li>●improved rural and urban environments, which communities are better able to access and enjoy</li> <li>●healthier and more active people and communities.</li> </ul>
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<p>E.ON Sustainable Energy Fund</p>	<p>E.ON</p>	<p>£20,000</p>	<p>Any time</p>	<p>N/A</p>	<p>N/A</p>	<p>Grants of up to £20,000 to community groups and not for profit for:-</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> the purchase and installation of one or more renewable energy technologies (e.g. wind, solar thermal, PV, wood etc)</li> <li><input type="checkbox"/> the renovation of existing facilities to incorporate micro-generation technology (e.g. the reinstatement of a watermill and the purchase of a turbine to produce hydro-electricity)</li> <li><input type="checkbox"/> an energy efficiency makeover for building that could demonstrate significant energy savings and also behavioral change amongst users</li> <li><input type="checkbox"/> the use of new or innovative technology to deliver either energy savings or micro-generation capacity.</li> </ul> <p>To be eligible for support from the Sustainable Energy Fund, organisations must benefit specific groups namely: Education, vulnerable people; and people in fuel poverty.</p>
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European Commission and European Investment Bank launch European Local Energy Assistance (ELENA) facility	European Local Energy Assistance Grant (UK)	€15 million grant aid	Any time			<p>The European Commission and the European Investment Bank (EIB), the bank of the EU Member States, have launched a grant aid initiative to help local and regional authorities make investments in energy efficiency and renewable energy.</p> <p>The ELENA facility aims at helping cities and regions implement viable investment projects in the areas of energy efficiency; renewable energy sources and sustainable urban transport</p>
Rural Carbon Challenge Fund	Rural Development Programme for England (RDA)	£4 million	Two staged competition Stage 1 – 1 <sup>st</sup> June Stage 2 – 31 <sup>st</sup> August 2010	31 <sup>st</sup> December 2010	31 <sup>st</sup> March 2013	<p>To support the delivery of renewable energy projects in rural areas across the North West of England.</p> <p>Open to Social enterprises, Charities, groups of businesses and micro enterprises.</p> <p>Exploring potential opportunities with Mersey Forest.</p>

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# Agenda Item 10

**REPORT TO:** Cabinet

**DATE:** 20<sup>th</sup> May 2010

**SUBJECT:** Provision of New Sports Changing Facility  
Portland Street, Southport

**WARDS AFFECTED:** Kew

**REPORT OF:** Alan Moore  
Strategic Director for Communities and Deputy Chief Executive

**CONTACT OFFICER:** David Kay  
Architecture and Buildings manager  
Tel No. 0151 934 4527

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

This report is to advise Members of tenders received in respect of the proposals to provide a new sports changing facility at Portland Street playing fields, Southport

**REASON WHY DECISION REQUIRED:**

To enable acceptance of tenders and to thereby allow the timetable for implementation and expenditure to be met.

**RECOMMENDATION(S):**

It is recommended that:

- (i) Subject to confirmation of receipt of all funding Cabinet approves acceptance of the lowest tender received.
- (ii) Subject to (i) above the Legal Director be requested to enter into a formal contract with the successful tenderer.
- (iii) The Cabinet is requested to note that the Chairman of the Scrutiny and Review (Cabinet; Performance and Corporate Services) has been requested to consent, under Rule 17 of the Scrutiny Procedure Rules, to the call-in period being waived in respect of this matter.

**KEY DECISION:** No

**FORWARD PLAN:** Not Appropriate

**IMPLEMENTATION DATE:** Immediately following expiry of the call in period

# Agenda Item 10

## ALTERNATIVE OPTIONS:

All alternative options have been considered and have been discounted.

## IMPLICATIONS:

### Budget/Policy Framework:

Funding provision of £429,500 is contained within the Leisure Services Capital Programme for this project. This funding is provided through Section 106 monies (£389,500) and by a contribution from the Southport and District Amateur Football League (£40,000).

### Financial

Tenders for the main contract works have been invited and are due to be received on 14<sup>th</sup> May 2010.

Details of the tenders received and the overall financial implications will be reported to Members on the day of the meeting.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2113/ 2014 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	N/A			
How will the service be funded post expiry?	N/A			

# Agenda Item 10

**Legal:** Not appropriate

**Risk Assessment:** Not appropriate

**Asset Management:** Not Applicable

## CONSULTATION UNDERTAKEN / VIEWS

FD 389- The Head of Corporate Finance & Information Services has been consulted and has no comments on this report.

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities		√	
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being		√	
5	Environmental Sustainability		√	
6	Creating Inclusive Communities		√	
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People	√		

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

Leisure Services Capital Programme

# Agenda Item 10

## 1.0 BACKGROUND

- 1.1 The Portland Street playing fields provides strategically important Council run sports pitches for the Southport area. The playing field provides a minimum of four senior sized football pitches for use by local amateur leagues, most notably the Southport and District Amateur Football League and the Southport Craven Minor League.
- 1.2 The changing accommodation currently provided is extremely limited and is in a dilapidated condition leading to complaints from the leagues and other users.
- 1.3 It is therefore proposed that replacement changing facilities should be provided. This will provide a new eight changing room complex with associated shower and toilet facilities. The building will be constructed with secure pre-fabricated modular units with cladding and pitched roof.

## 2.0 TENDER ACTION

- 2.1 Tenders to carry out the works have been invited from suitably qualified and experienced contractors, as follows (in alphabetical order):

T. E Evans	St Helens
Heyrod Communications Ltd	Oldham
Johnson Construction	Oldham
KGM (Building Contractors) Ltd	Lancashire
Melford Construction Ltd	Southport
Nobles Construction Ltd	Liverpool

- 2.2 Tenders will be received on 14<sup>th</sup> May 2010 and details of the tenders received will be reported to Members on the day of the meeting.
- 2.3 Tenders received will be subject to technical and arithmetical checking. Acceptance of a tender will be subject to such checking

## 3.0 FINANCIAL IMPLICATIONS

- 3.1 Funding provision of £429,500 is contained within the Leisure Services Capital Programme for this project. This funding is provided through Section 106 monies (£389,500) and by a contribution from the Southport and District Amateur Football League (£40,000).
- 3.2 Details of the overall financial implications will be reported to Members on the day of the meeting.
- 3.3 Approval to accept any tender will be subject to confirmation of receipt of all funding as set out in paragraph 3.1 above.
- 3.4 Subject to confirmation of the receipt of funding, and the total scheme cost being contained within the total amount of funding available, Cabinet is requested to give consideration to accepting the lowest tender received.



## **4.0 RECOMMENDATIONS**

It is recommended that:

- (i) Subject to confirmation of receipt of all funding Cabinet approves acceptance of the lowest tender received.
- (ii) Subject to (i) above the Legal Director be requested to enter into a formal contract with the successful tenderer.
- (iii) The Cabinet is requested to note that the Chairman of the Scrutiny and Review (Cabinet; Performance and Corporate Services) has been requested to consent, under Rule 17 of the Scrutiny Procedure Rules, to the call-in period being waived in respect of this matter.

**Alan Moore**  
**Strategic Director for Communities and Deputy Chief Executive**

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# Agenda Item 11

**REPORT TO:** CABINET

**DATE:** 20<sup>TH</sup> MAY 2010

**SUBJECT:** Birkdale High School -  
Refurbish 5 No. Science Laboratories

**WARDS AFFECTED:** AINSDALE, BIRKDALE AND DUKES

**REPORT OF:** ALAN MOORE  
STRATEGIC DIRECTOR - COMMUNITIES

**CONTACT OFFICER:** DAVID KAY  
ARCHITECTURE AND BUILDINGS MANAGER

**EXEMPT/  
CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

This report is to advise Members of tenders received for the refurbishment of 5 No. science laboratories at Birkdale High School, Southport

**REASON WHY DECISION REQUIRED:**

The value of the tender received exceeds the approval of Cabinet Member.

**RECOMMENDATION(S):**

It is recommended that:

1. Cabinet approves the scheme at Birkdale High School for inclusion in the Children, Schools and Families Capital Programme 2010/11, in the sum of £328,527;
2. Subject to 1. above, Cabinet approves acceptance of the lowest tender received in the sum of £294,527 from Tender No. 2;
3. Subject to 2. above, the Legal Director be requested to enter into a formal contract with the successful tenderer.

**KEY DECISION:** No

**FORWARD PLAN:** Not Appropriate

**IMPLEMENTATION DATE:** Immediately following expiry of the call in period

# Agenda Item 11

**ALTERNATIVE OPTIONS:**

All alternative options have been considered and have been discounted.

**IMPLICATIONS:**

Identified within Birkdale High Schools Planned Maintenance improvements programme is an allocation of £330,000.00 set towards this scheme comprising:-

School Standards Fund	£206,411.00
School DFC	£ 73,589.00
School Reserves	£ 50,000.00

Subject to acceptance of the lowest tender received the overall financial implications can be summarised as follows:-

Lowest Tender Received	£294,527.00
Statutory Fees & Charges	£ 2,000.00
Legal Department Fees	£ 3,000.00
Professional Fees	£ 29,000.00

Total	£328,527.00
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The lowest tender has been checked arithmetically and technically and been found to contain no obvious errors.

# Agenda Item 11

**Budget/Policy Framework:**

**Financial:**

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2009/ 2010 £</b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>
Gross Increase in Capital Expenditure			256,411.00	
Funded by:				
Sefton Capital Resources				
Specific Capital Resources Devolved Standards Fund Grant / School Reserves			256,411.00	
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:**

**Risk Assessment:**

**Asset Management:**

**CONSULTATION UNDERTAKEN/VIEWS**

**FD 391** - The Head of Corporate Finance & Information Services has been consulted and has no comments on this report

# Agenda Item 11

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	✓		
2	Creating Safe Communities	✓		
3	Jobs and Prosperity	✓		
4	Improving Health and Well-Being	✓		
5	Environmental Sustainability	✓		
6	Creating Inclusive Communities	✓		
7	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8	Children and Young People	✓		

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

## 1.0 BACKGROUND:

- 1.1 Birkdale High School improvement programme makes provision a sum of £330,000.00 to undertake the full refurbishment of 5 No. Science Laboratories.
- 1.2 Approval has been given by the Department for Children's Services for the schools proposed scheme detailed below.
- 1.3 The school propose to refurbish 5 No. Science Laboratories to ensure that curriculum requirements are met and current standards are enhanced including better access and the provision of modern equipment.

## 2.0 TENDER ACTION

- 2.1 Tenders to carry out the works have been invited from suitably qualified and experienced contractors as follows (in alphabetical order)

T.S. Booker Ltd, Bradford  
British Thornton, Keighley  
Interfocus Ltd, Cambridge  
John Denman Associates, Darlington

- 2.2 Tenders were received on 22<sup>nd</sup> March 2010 as follows:-

Tender No.	Sum	Contract Period
1	£392,587.00	16 Weeks
2	£294,527.00	16 Weeks
3	£404,725.00	16 Weeks
4	Non received	

- 2.3 The lowest tender has been checked arithmetically and technically and been found to contain no obvious errors.

## 3.0 FINANCIAL IMPLICATIONS

- 3.1 Identified within Birkdale High Schools Planned Maintenance improvements programme is an allocation of £330,000.00 set towards this scheme comprising:-

School Standards Fund	£206,411.00
School DFC	£ 73,589.00
School Reserves	£ 50,000.00

Subject to acceptance of the lowest tender received the overall financial implications can be summarised as follows:-

3.2	Lowest Tender Received	£294,527.00
	Statutory Fees & Charges	£ 2,000.00
	Legal Department Fees	£ 3,000.00
	Professional Fees	£ 29,000.00
	<b>Total</b>	<b>£328,527.00</b>

The lowest tender has been checked arithmetically and technically and been found to contain no obvious errors.

- 3.3 The funding available is sufficient to meet the scheme and the lowest tender can therefore be considered for acceptance.

# Agenda Item 11

## 4.0 ACCEPTANCE

It is recommended that:

1. Cabinet approves the scheme at Birkdale High School for inclusion in the Children, Schools and Families Capital Programme 2010/11, in the sum of £328,527;
2. Subject to 1. above, Cabinet approves acceptance of the lowest tender received in the sum of £294,527 from Tender No. 2;
3. Subject to 2. above, the Legal Director be requested to enter into a formal contract with the successful tenderer.



# Agenda Item 12

**REPORT TO:** CABINET

**DATE:** 20 May 2010

**SUBJECT:** Southport Cultural Centre - Award of the Second Stage Tender

**WARDS AFFECTED:** SOUTHPORT WARDS

**REPORT OF:** Alan Moore, Strategic Director (Communities)

**CONTACT OFFICERS:** Andy Dunsmore, Technical Services (2766)

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

- 1) To seek Cabinet approval for the award of the Second Stage tender for the construction of the Southport Cultural Centre.
- 2) To provide Members with an update on progress.

**REASON WHY DECISION REQUIRED:**

The recommendations set out below are required in order to meet the timetable set within the grant aiding bodies' terms and conditions. It is essential to make a start of the main contract in May 2010.

**RECOMMENDATION(S):**

1. The Council underwrites a further £400k of funding to the project from the Capital Programme. This funding contribution will be reduced by the amount of any further funding offered to the project from either NWDA or Sea Change or value engineering reductions.
2. On the basis of the two stage tendering exercise completed, it is recommended that the Council enter into a Contract with Bovis Lend Lease for the delivery of the Southport Cultural Centre project for a Target Cost of £11,366,801.
3. That, based upon the Officers advice, Cabinet agrees to waive the requirement for a Performance Bond for Bovis Lend Lease and place reliance upon the parent company guarantee.
4. That the Legal Director be given authority to negotiate new leases with the owners of Cambridge Walks as part of the process of securing consent to the proposed works.

**KEY DECISION: YES**

**FORWARD PLAN: YES**

**IMPLEMENTATION DATE:** Immediately following Cabinet Approval

**ALTERNATIVE OPTIONS:** The course of action set out in this report is essential to meet the stringent deadlines set by the funding agencies. Any delay to the procurement process would seriously impede the Council's chances of defraying sufficient expenditure to claim the full NWDA and Sea Change contributions to the project.

With no further funding, a further reduction of the scope of the works would be required and this would potentially have an impact upon the potential for delivering the outputs on which the external funding grants are conditioned. A Performance Bond would incur a further cost to the Capita scheme without necessarily providing additional security to the Council's position.

# Agenda Item 12

## IMPLICATIONS:

**Budget/Policy Framework:** The project is in line with corporate and Community Strategy objectives.

**Financial:** financial implications were set out in the report to Cabinet on 12 November 2009. The total approved project cost is £15,300,000, however this report indicates that additional costs totalling £400,000 have been identified as outlined in the report which will fall to be met in the later stages of the scheme.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure			400k	
Funded by:				
Sefton Capital Resources			400k	
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** The proposed procurement route is in line with EU requirements.

The Legal Director will be asked to formalise the respective Contracts in accordance with normal procedures.

**Risk Assessment:** The tender appraisal associated includes a project risk assessment. Delay to the overall programme will increase the risk of not being able to claim the full NWDA and Sea Change grants with knock on implications for the Council. An assessment has been completed on Bovis, as preferred contractor and has confirmed 'minimal risk of business failure'.

**Asset Management:** The site is owned by the Council; The Council will retain the freehold of the Centre when the work is completed.

### CONSULTATION UNDERTAKEN/VIEWS

FD 399: The comments of the Interim Head of Corporate Finance and ICT have been incorporated in this report.

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	√		
2	Creating Safe Communities		√	
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being	√		
5	Environmental Sustainability	√		
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People		√	

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

N/A

# Agenda Item 12

## BACKGROUND

### 1. INTRODUCTION

- 1.1. Members will be aware from previous reports of the complexity this project and the wide range of issues that need to be addressed to enable the project to move forward to a successful conclusion.
- 1.2. Members will also be aware that Sea Change and the NWDA (North West Development Agency) contributions each of £4M are conditional on a target spend figure being achieved by the end of March 2011 in order for the grants to be claimed in full. These are the key drivers to the project.
- 1.3. In order to achieve these targets the Project Programme has been carefully considered and a Procurement Strategy developed
- 1.4. Conscious of the tight timescale for delivery of the project, Officers recommended a two stage tender process be adopted for the contract rather than a single stage process. Subsequently first stage tenders were invited, and Cabinet Urgent Business on 21<sup>st</sup> January 2010 approved the appointment of Bovis Lend Lease as the Preferred Contractor for the project.
- 1.5. Bovis Lend Lease have been working with Capita's Design Team to seek prices for a series of packages of work that will make up the main Contract. They have also been engaged in careful planning of the works as well as examining potential options for further cost savings.
- 1.6. Having secured prices for the main packages of work and having established provisional sums for those elements of work which have yet to be designed in detail, the Capita's design Team and Bovis Lend Lease have been able to establish a realistic Target Cost.

### 2. SCHEME FUNDING

- 2.1. In November 2009, Members agreed to the Council accepting a grant offer of £4M from the North West Development Agency for the project, to supplement the £4M already received from the Sea Change programme. Members also agreed to a Council contribution of £7.3M to the project this establishing an overall budget of £15.3M with an actual build cost of £11.7M..
- 2.2. This budget has been established to fund the construction cost, the pre construction survey work, costs associated with acquisition and legal agreement, design fees, relocation cost for staff, artefacts and equipment, marketing and post handover fit out.
- 2.3. It should be stressed that the offer of funding is conditional on a target spend of £8M being achieved between the NWDA approval of the scheme in November 2009 and March 2011.
- 2.4. Council Officers have been working with Bovis Lend lease to ensure that the above target is achievable within the agreed programme as failure to achieve this target could result in the grant received being less than the full NWDA £4M allocation. This is acknowledged as a risk to the project and highlights the need for the Programme to be adhered to and progress to be carefully monitored.
- 2.5. Members are also be aware that a funding application was submitted to the Heritage Lottery Fund in October 2009 for a further £2.8M contribution to the project. The Council has received a response that the HLF Board did not approve the application. However it appears that the HLF are willing to accept a further, 'more focussed' bid at a later Board meeting this year.
- 2.6. From a programming perspective, Capita's Design Team have been working with Council Officers have already undertaken a Value Engineering exercise with the design team with the

aim of reducing the scope and hence the anticipated cost of the works in order for the project not be to be reliant on an HLF contribution. This exercise has been completed in the full knowledge that the Business Plan remains largely unaffected. However, it should be acknowledged that the receipt of any HLF grant would help increase the scope of what the centre may offer, especially within the final fit out of the rooms.

- 2.7. Officers are anticipating meeting with the HLF team within the next few weeks to agree the focus of a second bid. If successful, a formal grant offer is anticipated later in 2010. Officers will need to determine how additional work can be completed without detrimentally affecting progress.

### 3. PROGRESS AND RISKS

- 3.1. Acknowledging the importance of fulfilling the principle requirements of the Funding Partners a programme of relocation, surveys and legal negotiations has been established in order to ensure that start on site can be established in May 2010.
- 3.2. Following approval from Cabinet Urgent Business in November, a specialist arts removal company have successfully removed the various works of art to storage for the duration of the works.
- 3.3. A programme of moves for existing staff has also been initiated using vacant space within other Council buildings.
- 3.4. Asbestos, Drainage, Dimensional and Structural Surveys have also been completed following the closure of much of the centre in December 2009. As some of the critical 'As Built Drawings' from the 1980's extension of the building have not been found, some further intrusive work has been necessary within the vacant shop units with Cambridge Walks. These works have been completed out of normal trading hours to minimise disruption to existing traders.
- 3.5. Members should be aware that agreement is required from the owners of Cambridge Walks, for the works to proceed. Their approval is dependent upon their own independent assessment of the impact of the works upon their property. It is anticipated that this assessment will have been completed and hence the legal documentation progressed by the date of this meeting.
- 3.6. The owners of Cambridge Walks have proposed that the existing leases be renegotiated as part of the process of securing consent. As new space is being created as part of the development it is proposed that the owners surrender the existing leases in place between the Council and the owners and that the Council grant a new 250 year lease of the owners current demise and they simultaneously grant a new 250 year lease to the Council of the existing demise, plus the additional area created as part of the works. The Legal Director will negotiate the respective maintenance liabilities.
- 3.7. An existing tenant within one of the buildings forming the Cultural Centre has signed agreement surrender their lease. They will be able to remain within the building until August as their new premises are not available for occupation. Bovis and Officers are looking carefully at the implications of completing enabling works whilst the tenant remains in occupation.
- 3.8. Officers have also been negotiating with the tenants of shops within Cambridge Arcade. Where works are programmed above existing shop units, tenants have been given the opportunity to be relocated, either permanently or during the works. A further option, i.e. that of a compensatory payment in lieu of the surrender of an existing lease has been offered to one tenant. If the tenant is unwilling to accept the offer of relocation, or a compensation sum can't be agreed, then works within the vicinity of the shop will be programmed to enable the tenant to continue to trade, as per the condition of their lease. It should be noted that the tenant's solicitor has threatened to serve a Court injunction against the Council beginning

# Agenda Item 12

work within the vicinity of the shop unit. The Legal Director has engaged specialist advice to protect the Council's position.

## 4. TARGET COST

4.1. The original budget headings for the various elements of the project are as per the table below. These costs are based on careful assessment of the component parts but will be subject to minor changes as the project develops,

4.2. The table below demonstrates the original budget located to the Main contract was £11.53M

<b>Costs for Base Contract</b>	<b>Total</b>
Main Contract (including 5% contingencies)	11,535,141
Service connection (paid in advance by the Council)	131,251
Client Fit Out (inc IT)	653,000
Acquisition	419,570
Relocation Costs	327,095
Design and administration fee	2,076,194
Other Fees (e.g. planning/other consultants)	88,023
Marketing and Consultation	7,000
Surveys	62,726
<b>Total</b>	<b>15,300,000</b>

4.3. The First Stage Tender exercise with Bovis identified the overheads and profits and preliminary percentages to be applied to the Works Packages. Capita have subsequently recommended a 5% contingency be allocated to the budget.

4.4. Tenders were subsequently invited by Bovis, in partnership with Capita, for the key construction packages to determine an accurate cost. It is acknowledged that there are a number of less significant packages which still need to be subject to formal tendering when these areas of design have been further developed. Consequentially, Capita, in agreement with Bovis, have established realistic provisional sums for these remaining items.

4.5. Bovis Lend Lease and Capita identified, within early April, that the cost of some of the more significant works packages were higher than the estimated cost.

4.6. Discussions with Capita identified this exercise had not realised the level of cost saving anticipated, and that high steel costs, increased level of structural investigation required (to ensure the appropriate consent can be secured) together with a greater degree of asbestos removal resulted in a significant gap between the budget and anticipated works cost.

4.7. Council Officers from the Leisure and Technical services Departments have met with Capita and Bovis to examine in detail the current scope of the works and to identify further Value Engineering savings which have little impact upon the Business Plan or revenue generating

# Agenda Item 12

capacity. A schedule of savings has been developed and these have been discussed with the Planning team and with the scheme funders.

- 4.8. In parallel to this exercise Capita and Bovis Lend Lease have held further discussions with suppliers and sub contactors with a view to securing more competitive prices.
- 4.9. Bovis have advised that the provision as a Performance Bond, which is usually requested by the Council for Contracts on excess of £1M will cost approximately £80,000 to initiate. Bovis Lend Lease are able to provide a Parent Company Guarantee in lieu of a Bond. The Head of Corporate Finance and ICT Strategy has completed a financial assessment of the company which indicates a 'minimal risk of business failure'. The Technical Services Director has completed a formal risk assessment, which has concluded that the Parent Company Guarantee should be sufficient to protect the Council's position. Accordingly, it is proposed that the requirement for a Performance Bond be waived for this Contract.
- 4.10. Despite the considerable efforts expended in completing the above exercises Capita have advised that a further funding contribution of approx £400k is required to ensure that the project delivers the appropriate quality of scheme to realise the Business Plan and hence meets the criteria of the funders expectations.
- 4.11. With the above in mind, a number of options have been explored. One option is to reduce the project contingency by £400k, but both Capita and Council officers believe that the 5% Contingency figure should be protected, particularly in light of the age and state of the current building.
- 4.12. A second option, of securing further grant funding, is currently being explored. Both NWDA and Sea Change have agreed to give the matter some consideration.
- 4.13. In light of the above, therefore, it is recommended that, to ensure the project delivers the quality of project to make the Southport Cultural Centre a centre of regional importance, that the Council's underwrites a further contribution of £400k, to be used should no further external NWDA or Sea Change funding be realised but Value Engineering exercise will continue to maximise reductions in line with original expectations. Members should note that this cost will fall to be met in the later stages of the scheme should this be the case (i.e. 2012/13).
- 4.14. Having completed this exercise, the following Target Cost has been established;

Works Packages including Prelims	11,143,922
Overheads and profits	222,879
<b>Target Cost</b>	<b>11,366,801</b>
Contingency (5%)	568,340
Total Anticipated Works cost	11,935,141

## 5. RECOMMENDATION

- 5.1. The Council underwrites a further £400k of funding to the project from the Capital Programme. This funding contribution will be reduced by the amount of any further funding offered to the project from either NWDA or Sea Change or value engineering reductions.

# Agenda Item 12

- 5.2. On the basis of the two stage tendering exercise completed, it is recommended that the Council enter into a Contract with Bovis Lend Lease for the delivery of the Southport Cultural Centre project for a Target Cost of £11,366,801.
- 5.3. That, based upon the Officers advice, Cabinet agrees to waive the requirement for a Performance Bond for Bovis Lend Lease and place reliance upon the parent company guarantee.
- 5.4. That the Legal Director be given authority to negotiate new leases with the owners of Cambridge Walks as part of the process of securing consent to the proposed works.



# Agenda Item 13

**REPORT TO:** CABINET

**DATE:** 20 MAY 2010

**SUBJECT:** LOCAL GOVERNMENT ASSOCIATION GENERAL ASSEMBLY 2010/11 - APPOINTMENT OF REPRESENTATIVES

**WARDS AFFECTED:** ALL

**REPORT OF:** ASSISTANT CHIEF EXECUTIVE

**CONTACT OFFICER:** STEVE PEARCE (0151-934-2046)

**EXEMPT/CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

To appoint representatives to serve on the General Assembly of the Local Government Association (LGA) for 2010/11 and to attend the Annual Meeting to be held on 6 July 2010 in Bournemouth.

To agree the distribution of the voting power between the representatives appointed.

**REASON WHY DECISION REQUIRED:**

To enable the names of the Council's representatives to be notified to the Local Government Association.

**RECOMMENDATION(S):**

- (i) to appoint named representatives to attend meetings of the General Assembly of the LGA in 2010/11 and the Annual Meeting on 6 July 2010;
- (ii) to indicate the distribution of the voting power between the representatives appointed;
- (iii) if appropriate, to nominate substitutes if any of the representatives in (i) above, are unable to attend the Annual Meeting on 6 July 2010;
- (iv) to indicate if any Observers are to be invited to attend the Annual Meeting on 6 July 2010.

# Agenda Item 13

**KEY DECISION:** No

**FORWARD PLAN:** Not appropriate

**IMPLEMENTATION DATE:** Following the expiry of the "call-in" period for the Minutes of this meeting

**ALTERNATIVE OPTIONS:** Not to make the appointments would deprive the Council of representation on the LGA General Assembly

**IMPLICATIONS:**

**Budget/Policy Framework:** Not appropriate

**Financial:** None

**Legal:** None

**Risk Assessment:** Not appropriate

**Asset Management:** Not appropriate

**CONSULTATION UNDERTAKEN/VIEWS**

NONE

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities		√	
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being		√	
5	Environmental Sustainability		√	
6	Creating Inclusive Communities		√	
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People		√	

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

Letter dated 24 March, 2010 from the LGA

## BACKGROUND:

### 1. Introduction

The Authority has been invited to appoint representatives to serve on the Local Government Association General Assembly for 2010/11 and to attend the Annual Meeting to be held on 6 July 2010 in Bournemouth.

### 2. Attendance of Representatives and Voting at the General Assembly

This Authority has been invited to nominate up to **four** named representatives to attend meetings of the Assembly.

Voting at the General Assembly is allocated on the basis of population bands and Sefton will again be entitled to **five votes**.

**Those Authorities who are entitled to more than one vote must indicate in advance how these votes will be exercised at the meeting.**

The Members nominated to attend during 2009/10 and the distribution of voting power were as follows:-

Councillor Brodie-Browne	(3 votes)
Councillor P. Dowd	(2 votes)

Based on the political composition of the Council for 2010/11, the four representatives from Sefton with the voting power distributed between them would be as follows:-

Labour nominee - two votes
Lib/Dem nominee - two votes
Lib/Dem nominee - one vote
Conservative nominee - one vote

If any of the representatives appointed to serve on the General Assembly for 2010/11 are unable to attend the Annual Meeting on 6 July 2010, substitutes are permitted, provided that written notice is given. The Authority can also invite Observers (including Officers) to attend the Annual Meeting.

### 3. Local Government Association Annual Conference

The LGA Annual Conference follows on from the General Assembly meeting and concludes on 8 July 2010. The Members nominated to attend the General Assembly meeting will be invited to attend the Conference if they so wish.

# Agenda Item 13

## 4. Recommendations

The Cabinet is recommended:-

- (i) to appoint named representatives to attend meetings of the General Assembly of the LGA in 2010/11 and the Annual Meeting on 6 July 2010;
- (ii) to indicate the distribution of the voting power between the representatives appointed;
- (iii) if appropriate, to nominate substitutes if any of the representatives in (i) above are unable to attend the Annual Meeting on 6 July 2010;
- (iv) to indicate if any Observers are to be invited to attend the Annual Meeting on 6 July 2010.

# Agenda Item 14

**REPORT TO:** CABINET

**DATE:** 20 MAY 2010

**SUBJECT:** APPOINTMENT OF REPRESENTATIVES ON  
OUTSIDE BODIES 2010/11

**WARDS AFFECTED:** ALL

**REPORT OF:** ASSISTANT CHIEF EXECUTIVE

**CONTACT OFFICER:** STEVE PEARCE - 0151 934 2046

**EXEMPT/CONFIDENTIAL:** NO

**PURPOSE/SUMMARY:**

To consider the appointment of the Council's representatives to serve on the Outside Bodies as set out in the attached appendices for 2010/11 or for periods longer than one year. The appendices show those appointments made for 2009/10, together with amendments made during the year.

**REASON WHY DECISION REQUIRED:**

The Cabinet has delegated powers to appoint the Council's representatives to serve on Outside Bodies.

**RECOMMENDATION(S):**

The Cabinet is requested to:

1. appoint representatives to serve on the various Outside Bodies set out in Appendix 1 for a twelve month period expiring in May 2011; and
2. appoint representatives to serve on the Outside Bodies highlighted in bold type in Appendix 2 for the term of office indicated in the Appendix.

**KEY DECISION:** No

**FORWARD PLAN:** Not appropriate

**IMPLEMENTATION DATE:** Following the expiry of the "call-in" period for the Minutes of this meeting.

# Agenda Item 14

## ALTERNATIVE OPTIONS:

There are no alternative options other than not to appoint representatives.

## IMPLICATIONS:

**Budget/Policy Framework:** Not appropriate

**Financial:** None arising from this report.

**Legal:** None arising from this report.

**Risk Assessment:** Not appropriate.

**Asset Management:** Not appropriate

## CONSULTATION UNDERTAKEN/VIEWS

The Chief Executive, Strategic Directors and Service Directors have been consulted and any comments have been taken into account in preparing the schedule.

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities		√	
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being		√	
5	Environmental Sustainability		√	
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People		√	

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

None

## 1. Introduction

- 1.1 The Cabinet has delegated authority in the Council Constitution to appoint Council representatives to serve on Outside Bodies. The majority of the appointments are reviewed on an annual basis and the current representation for 2009/10 is set out in **Appendix 1** to this report. The Cabinet is requested to appoint representatives to serve on the bodies set out in the Appendix for the next twelve month period expiring in May 2011.
- 1.2 A separate report relating to the appointment of representatives to the Local Government Association General Assembly for 2010/11 is included on the agenda for this Cabinet meeting.
- 1.3 A small number of appointments are for a period of over one year. These are for charitable bodies and governing bodies. The current representation on these bodies is set out in **Appendix 2** to this report. The Cabinet is requested to appoint representatives whose term of office expires in 2010/11, or where there are vacancies, which are highlighted in bold type in the Appendix.
- 1.4 The annual appointments to the four Merseyside Joint Authorities and to the Strategic Board and Thematic Groups of the Southport Borough Partnership will be considered at the special Council Meeting on 13 May 2010.

## 2. Governance Review

- 2.1 One of the workstreams of the Governance Review relates to Outside Bodies. This workstream requires a complete review of Outside Body appointments and a decision on reporting back arrangements. Work is progressing to identify the legal status of each Organisation and whether each Organisation has a website, and if so, whether it can be linked to the Council's website.
- 2.2 It is envisaged that the workstream will be completed in the near future and a report will be submitted to the Cabinet Member - Performance and Governance for consideration. The details of the legal status, aims and objectives and frequency of the meetings for the various Organisations will then be submitted to the appropriate Cabinet Members with a view to a rationalisation of the number of appointments to be made to Outside Bodies for the 2011/12 Council Year.
- 2.3 During the course of the review, it has been ascertained that the Council no longer has representation on the following 14 bodies for the reasons stated:-

<b>Body</b>	<b>Reason for discontinuance of membership</b>
Chartered Institute of Library and Information Professions	No voting rights - Sefton representatives may only attend as observers
Environment Agency - Merseyside Liaison Group	The Liaison Group is no longer in existence

# Agenda Item 14

KGV College, Southport - Governing Body	The Council has no representation on the Governing Body
Lighthouse Project (Merseyside Drugs Council) - Southport Management Committee	The charity has closed down
Liverpool Bay Coastal Forum	The Forum is no longer in existence
Mersey Basin Campaign	The Mersey Basin Campaign terminated in March 2010
Merseyside Co-ordinating Committee	The Committee is no longer in existence
Mersey Estuary Forum	No formal representation required. This is an annual event open to all to attend
Merseyside Valuation Tribunal	The Merseyside Valuation Tribunal ceased to operate on 1 October 2009 and was replaced by an England-wide body. Local Authorities have no powers to make appointments to the new body
Museums Association	The Council has no representation on the Governing Council for the Association
North West Local Government Association	The Regional Branch of the LGA is no longer in existence
North West Regional Association of Education Authorities	The Regional Branch of the Association is no longer in existence
Sefton Educational Trust	The Charity has closed down
Southport College - Governing Body	The Council has no representation on the Governing Body

### 3. Recommendations:

The Cabinet is requested to:

1. appoint representatives to serve on the various Outside Bodies set out in Appendix 1 for a twelve month period expiring in May 2011; and
2. appoint representatives to serve on the Outside Bodies highlighted in bold type in Appendix 2 for the term of office indicated in the Appendix.



APPOINTMENTS TO OUTSIDE BODIES 20010/11

ANNUAL APPOINTMENTS TO EXPIRE IN MAY 2010

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>
ACME Board (pan-Merseyside Arts)	2	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn) and the Leisure and Tourism Director
Aintree University Hospitals NHS Foundation Trust	1	Cabinet Member - Health and Social Care (Cllr. Griffiths)
British Resorts and Destination Association	2	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn) and the Assistant Director (Tourism)
Community Foundation for Merseyside	3	Councillors Cuthbertson, Kerrigan and B. Rimmer
Environmental Protection UK	3	Councillors P. Hardy and D. Jones and the Environmental and Technical Services Director (or their nominees)
Formby Pool Trust - Board	2	Councillor Storey and Mr. R. Paul (Leisure & Tourism Department)
Frank Hornby Trust	3	Councillor Robertson and Mr. M. Kundi (Economic Regeneration Manager) and Mr. J. Keogh (Altside Business Village Partnership Manager)
Investigation of Air Pollution Standing Conference	2	Cabinet Member - Environmental (Cllr. Tattersall) and the Environmental and Technical Services Director (or their nominees)

# Agenda Item 14

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>
John Goore's Charity, Lydiate	1	Councillor Fenton
Liverpool City Region Cabinet	1	Leader of the Council (Cllr. Robertson) (Substitute representatives - Councillors P. Dowd and Parry)
Liverpool City Region Housing and Spatial Planning Board	1	Cabinet Member - Regeneration (Councillor Maher) (substitute representative - Chair of the Planning Committee - Councillor Moncur)
Liverpool John Lennon Airport Board	1	Leader of the Council (Cllr. Robertson)
Liverpool John Lennon Airport Consultative Committee	1	Councillor Brady (or his nominee)
Local Government Association:		
- Coastal Issues Special Interest Group	1	Councillor Brady (or his nominee)
- General Assembly	2	Councillor Brodie-Browne (3 votes) and Councillor P. Dowd (2 votes) <b>(see separate report on the agenda)</b>
- Urban Commission	2	Councillor Moncur (2 votes) and Councillor B. Rimmer (3 votes)
Local Solutions	1	Councillor Tweed
Mersey Forest Steering Group	1	Councillor Griffiths (substitute representative - Councillor P. Hardy)

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>														
Mersey Port Health Committee	6	<table border="0" style="width: 100%;"> <tr> <td style="text-align: center;"><u>Member</u></td> <td style="text-align: center;"><u>Substitute</u></td> </tr> <tr> <td>Cr. Colbert</td> <td>Cr. Hands</td> </tr> <tr> <td>Cr. Gustafson</td> <td>Cr. Cluskey</td> </tr> <tr> <td>Cr. Glover</td> <td>Cr. T. Jones</td> </tr> <tr> <td>Cr. Kerrigan</td> <td>Cr. Mahon</td> </tr> <tr> <td>Cr. Sumner</td> <td>Cr. B. Rimmer</td> </tr> <tr> <td>Cr. Tweed</td> <td>Cr. Veidman</td> </tr> </table>	<u>Member</u>	<u>Substitute</u>	Cr. Colbert	Cr. Hands	Cr. Gustafson	Cr. Cluskey	Cr. Glover	Cr. T. Jones	Cr. Kerrigan	Cr. Mahon	Cr. Sumner	Cr. B. Rimmer	Cr. Tweed	Cr. Veidman
<u>Member</u>	<u>Substitute</u>															
Cr. Colbert	Cr. Hands															
Cr. Gustafson	Cr. Cluskey															
Cr. Glover	Cr. T. Jones															
Cr. Kerrigan	Cr. Mahon															
Cr. Sumner	Cr. B. Rimmer															
Cr. Tweed	Cr. Veidman															
Mersey Waterfront Regional Park Board	1	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn)														
Merseyside Pension Fund Pensions Committee	1	Councillor Ibbs (substitute Councillor Platt)														
Merseyside Playing Fields Association	1	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn) (substitute representatives - Councillors Cummins and Glover)														
Merseyside Police Authority Appointments Committee	3	Leaders of the 3 Political Groups - Councillors P. Dowd, Parry, and Robertson (or their nominees)														
Merseyside Strategic Transportation and Planning Committee	2	Councillors Dodd and Fairclough														

# Agenda Item 14

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>
Merseyside Third Sector Technology Centre (3TC)	1	Cabinet Member – Regeneration (Cllr Maher)
Merseytravel Advisory Panel (Sefton Division)	3	Councillors Roberts, Sumner and Veidman (or their nominees)
NewHeartlands Board	1	Cabinet Member - Regeneration (Cllr. Maher) (substitute Councillor Fairclough)
North West Home Safety Council	4	Councillors P. Hardy, T. Jones and Preston and the Environmental and Technical Services Director (or their nominees)
North Western Local Authorities' Employers' Organisation	1	Cabinet Member - Corporate Services (Cllr. Parry) (or nominee)
One Vision Housing Board	2	Councillors Connell and D. Hardy
PATROL (Parking and Traffic Regulations Outside London) Adjudication Joint Committee	1	Councillor Fairclough (substitutes -Councillors Parry and Robertson) (NB - Nominated Member <u>and</u> substitutes must be Cabinet Members.)
Raven Meols Community Centre, Formby - Management Committee	2	Councillors Griffiths and Ibbs

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>
Sefton Business Village Partnership	1	Leader of the Council (Cllr. Robertson)
Sefton Chamber of Commerce and Industry	3	Councillors Cluskey, Lord Fearn and Porter
Sefton Coast Partnership Board	3	Councillors Cummins, Griffiths, McGuire or their nominees
Sefton Council for Voluntary Service	3	Councillors Hill, Porter and Veidman
Sefton Cultural Forum	2	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn) and Leisure and Tourism Director
Sefton Cycling Forum	3	Councillors Cluskey, Ibbs and Weavers
Sefton Education Business Partnership	3	Cabinet Member - Children's Services (Cllr. P. Dowd) and 2 Spokespersons (Cllrs. M. Fearn and D. Jones)
Sefton Equalities Partnership	1	Cabinet Member - Communities (Cllr. Porter)
Sefton F.A.S.T. (Families and Schools Together) Steering Group	3	Cabinet Member - Children's Services (Cllr. P. Dowd) and 2 Spokespersons (Cllrs. M. Fearn and D. Jones)
Sefton New Directions Limited Board	3	Councillors Brennan, Parry and D. Rimmer (Non-Executive Directors)
Sefton Sports Council	3	Cabinet Member - Leisure and Tourism (Cllr. Lord Fearn) and 2 spokespersons (Cllrs. Cummins and Glover)

# Agenda Item 14

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>CURRENT REPRESENTATIVE(S)</u>
Southport Business Enterprise - Board of Management	3	Councillors Byrom, Lord Fearn and Porter
Southport Partnership	4	Councillors Byrom, Porter and Tattersall (3 Cabinet Appointees) and Councillor M. Fearn (Chair of Southport Area Committee)
Southport Pier - Board of Trustees	3	Councillors Byrom, Lord Fearn and Glover (or their nominees)
Standing Advisory Committee for Religious Education (SACRE)	3	Cabinet Member - Children's Services (Cllr. P. Dowd) and 2 Spokespersons (Cllrs. M. Fearn and D. Jones) with their Deputies as substitutes
The Mersey Partnership Board	1	Cabinet Member - Regeneration (Councillor Maher) (substitute representative - Councillor Fairclough)
Trans Pennine Trail Members Steering Group	2	Cabinet Member - Technical Services (Cllr. Fairclough) and Chair of the Planning Committee (Cllr. Moncur) (or their nominees)
TREND (Trust for Regeneration and Enterprise Development Limited)	1	Councillor Tweed
University of Liverpool - Court	6	Councillors Connell, P. Dowd and D. Jones in addition to the Mayor, Chief Executive and Strategic Director of Children, Schools and Families, who are ex-officio Members

**APPOINTMENTS TO OUTSIDE BODIES 2010/11**

**APPOINTMENTS FOR A PERIOD OF OVER ONE YEAR**

<u>ORGANISATION</u>	<u>NUMBER OF REPRESENTATIVES</u>	<u>REPRESENTATIVE(S)</u>	<u>TERM OF OFFICE EXPIRES</u>
North West Reserve Forces and Cadets Association	1	Councillor Byrom	15.5.2011 (3 year appointment)
North Western and North Wales Sea Fisheries Committee	2	Councillor Glover Councillor Maher	30.6.2012 30.6.2012 (3 year appointment)
<u>CHARITIES</u>			
Ashton Memorial Fund, Formby	3	Councillor Griffiths Rev. A. Woodhouse Mr. L.A. Street	31.5.2011 (4 year appointment)
<b>Consolidated Charities of Thomas Brown and Marsh Dole</b>	<b>2</b>	<b>Councillor Ibbs</b> Councillor Storey	<b>26.6.2010</b> 26.6.2012 (4 year appointment)
Halsall Educational Foundation	1	Councillor Parry	21.5.2011 (2 year appointment)
Mayor of Crosby's War Relief Fund	7	Mr. Fanning Mr. J. Hulligan  <b>Mr. N. Goldrein</b>  Mr. T.V. Beswarick Councillor Papworth  Mr. J.R.M. Heppell Councillor Hill	30.9.2012 30.9.2012  <b>30.9.2010</b>  30.9.2011 30.9.2011  30.9.2012 30.9.2012 (4 year appointments)

	<u>NUMBER OF REPRESENTATIVES</u>	<u>REPRESENTATIVE(S)</u>	<u>TERM OF OFFICE EXPIRES</u>
<u>GOVERNING BODIES</u>			
<b>Hugh Baird College, Bootle</b>	<b>1</b>	<b>Councillor Hill</b>	<b>3.2.2010 (4 year appointment)</b>
<b>Peterhouse School, Southport</b>	<b>1</b>	<b>Councillor Glover</b>	<b>July 2010 (3 year appointment)</b>
<b>St. Mary's College, Crosby</b>	<b>2</b>	<b>Councillors Hill and Papworth</b>	<b>May 2010 (3 year appointment)</b>



# Agenda Item 15

**REPORT TO:** Cabinet

**DATE:** 20th May 2010

**SUBJECT:** TREASURY MANAGEMENT 2009/10 – Fourth Quarter Update

**WARDS AFFECTED:** All

**REPORT OF:** John Farrell  
Interim Head of Corporate Finance and Information Services

**CONTACT OFFICER:** Jeff Kenah  
0151 934 4104

**EXEMPT/CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To inform members of Treasury Management Activities undertaken in the fourth quarter of 2009/10.

**REASON WHY DECISION REQUIRED:**

To comply with the requirements of the Council's Treasury Management Policy Statement.

**RECOMMENDATION(S):**

Cabinet is requested to note the Treasury Management update for the fourth quarter of 2009/10.

**KEY DECISION:** No

**FORWARD PLAN:** No

**IMPLEMENTATION DATE:** Following the expiry of the 'call-in' period for the minutes of this meeting.

# Agenda Item 15

## ALTERNATIVE OPTIONS:

This report is put before Cabinet in order to comply with the Treasury Management Policy and Strategy document 2009/10 that was approved by Cabinet in February 2009.

## IMPLICATIONS:

**Budget/Policy Framework:** Compliance with the Policy and Strategy Documents, incorporating appropriate reporting, will enable the Council to secure the most favourable terms for raising funds, maximise returns on investments whilst at all time minimising the level of risk to which it is exposed.

**Financial:** There are no additional Financial implications as a result of the report.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2009/ 2010 £</b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>
Gross Increase in Capital Expenditure	---	---	---	---
Funded by:	---	---	---	---
Sefton Capital Resources	---	---	---	---
Specific Capital Resources	---	---	---	---
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure	---	---	---	---
Funded by:				
Sefton funded Resources	---	---	---	---
Funded from External Resources	---	---	---	---
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** None.

**Risk Assessment:** Compliance with the Policy and Strategy Documents minimise the level of risk to which the Council is exposed.

**Asset Management:** None.

**CONSULTATION UNDERTAKEN/VIEWS**

Discussion with the Council’s Treasury Management Advisor – Sector Treasury Services.

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities		√	
3	Jobs and Prosperity		√	
4	Improving Health and Well-Being		√	
5	Environmental Sustainability		√	
6	Creating Inclusive Communities		√	
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People		√	

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

None.

# Agenda Item 15

## 1. BACKGROUND

- 1.1 The Treasury Management Policy and Strategy document for 2009/10 (approved by Council on 26 February 2009) included a requirement for quarterly reports to be provided to Cabinet on the investment activity of the Authority. This report is the fourth of such documents and presents relevant Treasury Management information for the period ending 31 March 2010.
- 1.2 The report includes information on the investments held / entered into during the period and the interest rates obtained (with a comparison of performance against a standard benchmark figure). In addition, the report highlights whether there has been any variance from the Treasury Management Policy Strategy and the Prudential Indicators (the operational boundaries within which we aim to work).

## 2. INVESTMENTS HELD

- 2.1 Investments held at the end of March 2010 comprise the following:

### Overnight deposits

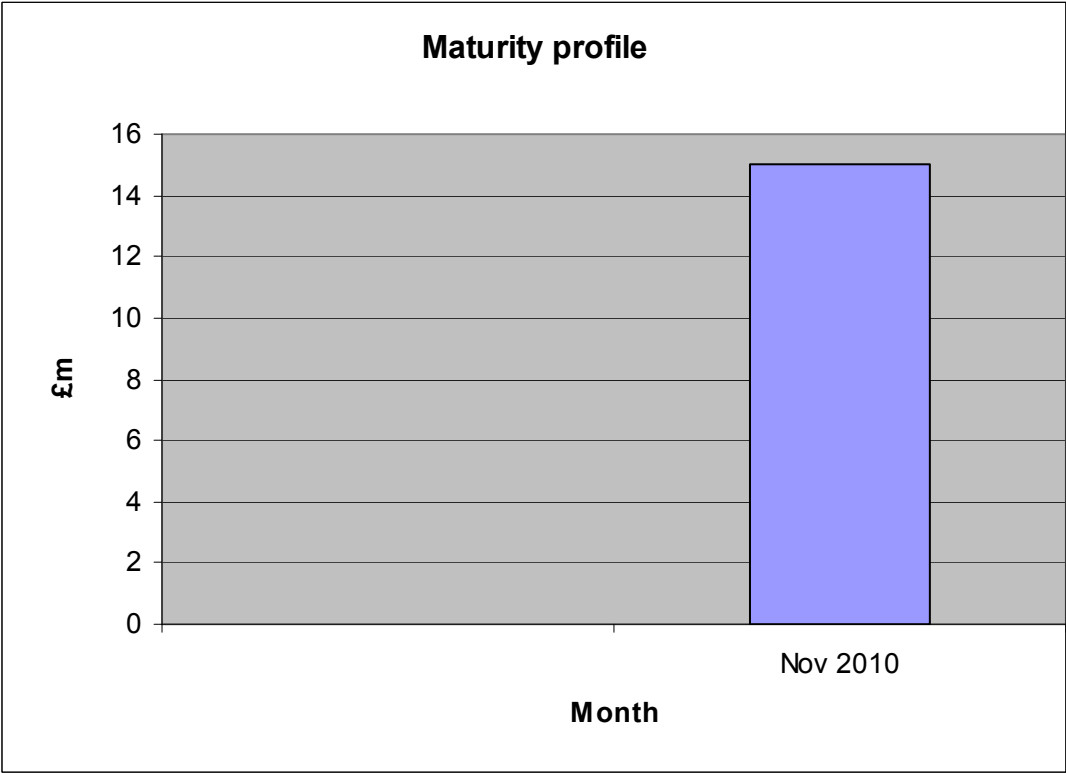
Institution	Deposit £m	Rate %	Maturity date	On current counterparty list?
NatWest	1.250	0.80	N/A	Yes
Santander Group	15.000	0.80	N/A	Yes
<b>Total</b>	<b>16.250</b>			

### Fixed term deposits

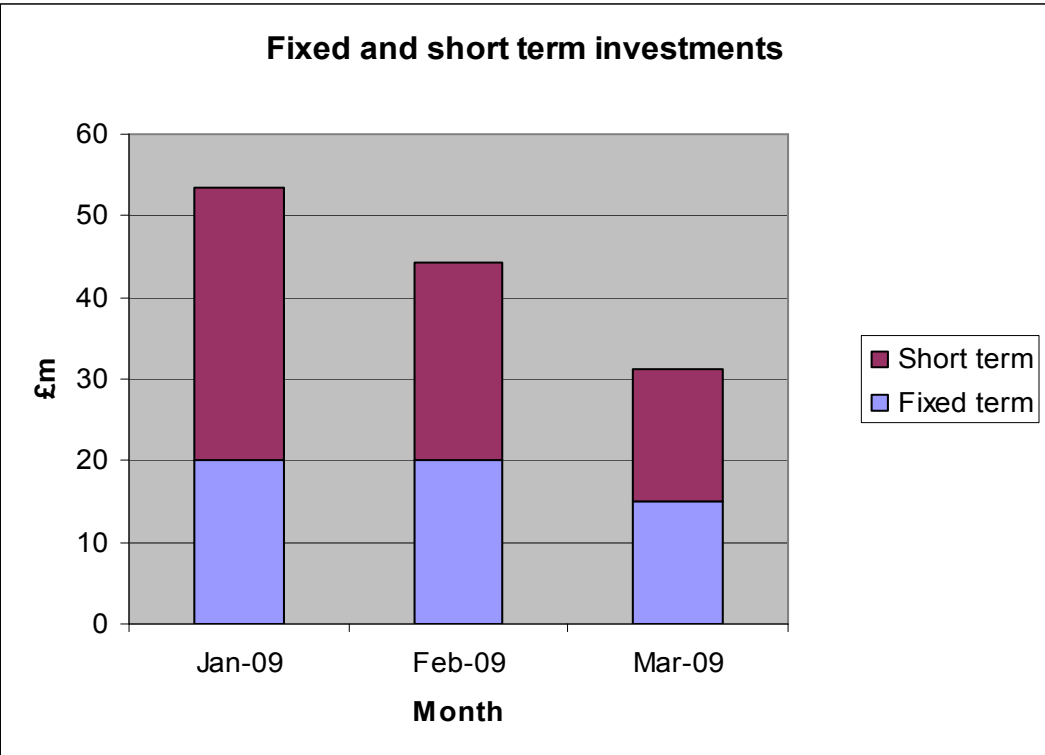
Lloyds TSB	5.000	1.82	30/11/10	Yes
NatWest	10.000	1.32	30/11/10	Yes
<b>Total</b>	<b>15.000</b>			
<b>TOTAL</b>	<b>31.250</b>			

All of the organisations are on the current counterparty list. It should be noted that the investment of £5m with the Skipton Building Society matured in March and was paid in full. Current market conditions are improving and the range of institutions with which the Council can invest, and receive reasonable return has increased. The maximum level of investment permitted in any one institution, or banking group, is currently £25m. Whilst the maximum should be retained, in case conditions worsen, a day to day operational maximum of £15m is currently being imposed. This will spread the risk of investments for the Council, but will have a small detrimental impact on the returns the Council will receive in the future.

- 2.2 The maturity profile of the above investments is illustrated in the bar chart below. This highlights the need for forward planning of investment opportunities prior to maturity date.



The ratio of overnight deposits (i.e. short term) to fixed term investments is illustrated below:



2.3 The current economic situation has provided problems for the Council with regard to its investment strategy. The report presented to Cabinet on 11 June 2009 explained the difficulties in identifying banking institutions to invest in (which provided reasonable investment returns), whilst remaining within the deposit limit of £15m. Consequently, Cabinet agreed to increase the deposit limit from £15m to £25m. Since the approval of the new limit, the Council has

# Agenda Item 15

remained within that boundary. At present, it is not expected that there will be any need to review this limit.

## 3 INTEREST EARNED

3.1 The performance of investments against budget for the four quarters of the year is shown below:

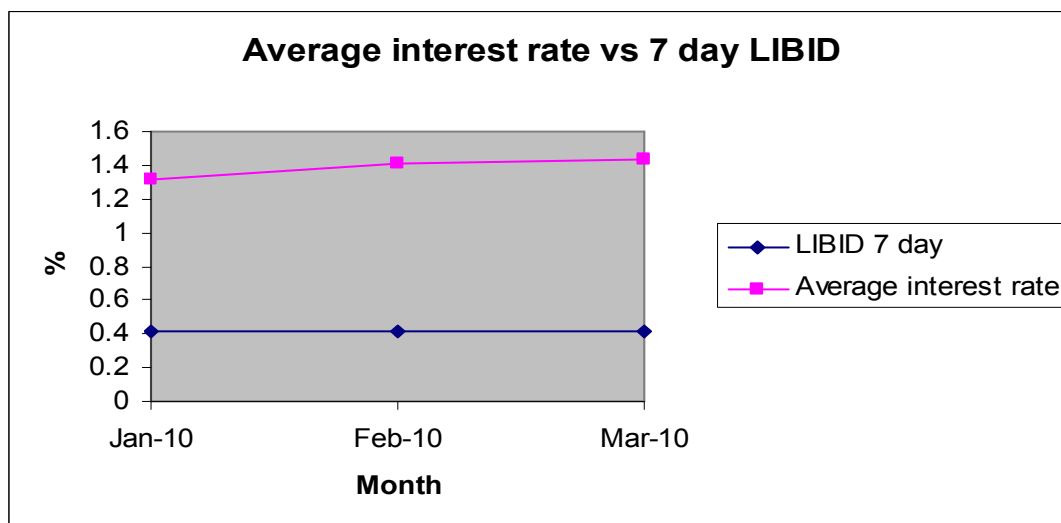
### 2009/10 Quarterly Investment Income

	Budget '000s	Actual '000s	Variance '000s
Qtr1	258	402	144
Qtr2	143	324	181
Qtr3	143	271	128
Qtr4	155	205	50
Full year	699	1202	503

3.2 The budgeted investment average interest rate for 2009/10 is 1.15%, which equates to £0.699m income for the year. This figure assumes the income from investments already in place at 1st April 2009 and new returns based upon Bank of England's Base Rate projection as supplied by SECTOR.

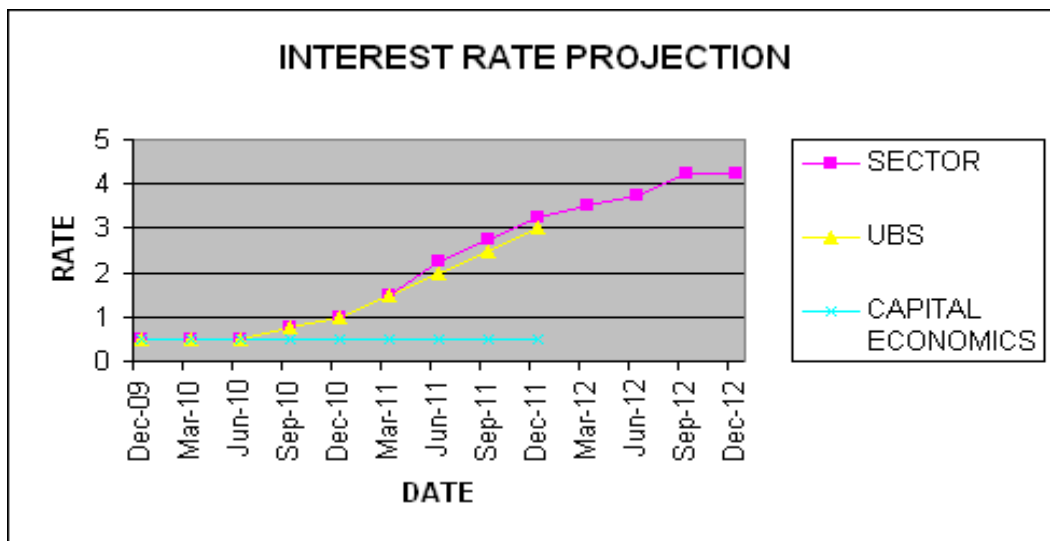
3.3 The investment income achieved during the fourth quarter is £0.205m, which in conjunction with qtr 1, qtr 2 and qtr 3 equates to an average interest rate to date of 1.8%. As noted in the qtr 3 report, the increase in investment income over budget is due to higher than anticipated cash balances, and higher than projected returns from fixed rate investments. As the existing longer term investments mature and are reinvested this will be at the lower prevailing rates, hence the year end average rate will reduce from its current position.

We have outperformed the 7 day LIBID average as follows:



## 4 LATEST BASE RATE FORECAST

- 4.1 SECTOR's base rate projection has been recently revised down slightly from December 2010 onwards. This is based upon the view that the economic recovery will be slower than expected. However, reports in the press have suggested interest rates may increase earlier than expected. This demonstrates the current levels of uncertainty within the markets.
- 4.2 SECTOR's revised base rate projection is detailed in the graph below. It compares Sector's base rate projection with those of UBS and Capital Economics.



## 5 COUNTERPARTY LIST

- 5.1 The current counterparty list is detailed in **Appendix A**. There is little change to the composition of the list when comparing the position at the end of Qtr 3 and Qtr 4, which does suggest that some stability is returning to the banking sector.

## 7 PRUDENTIAL INDICATOR MONITORING

- 7.1 Prudential indicators are an integral component of measuring how prudently a Council is acting with regard to its finances. They were introduced into all local authorities (by CIPFA) following the Local Government Act 2003. A number of measures/limits/parameters including capital financing, external debt, impact on council tax, and treasury management are set prior to the start of the year and are monitored on a monthly basis.
- 7.2 It should be noted that the indicators set by the Council in February 2009 for Interest Rate Exposure has been exceeded in the fourth quarter (as they were in the previous three quarters):

# Agenda Item 15

- The limits for fixed rate interest rate exposure expressed as a percentage of net outstanding debt were set to remain between 160% and 85%.
- The limits for variable rate interest rate exposure expressed as a percentage of net outstanding debt were set to remain between 15% and -60%.

7.3 The above indicators are there to prevent either too much investment in fixed or variable interest rate arrangements. This is to ensure a reasonable balance between fixed rate investments where cash is locked away, and variable rate investments that earn a lower rate of interest but give more immediate access to funds.

7.4 The variance in both of these indicators is due to the higher level of overnight deposits being held than originally envisaged. As noted in paragraph 2.3, the problem of identifying institutions with which to invest has meant higher levels of investments in liquid funds. Although these deposits do not earn as much income as fixed term deposits, they are felt to be safer in current economic conditions due the immediate access to funds that they allow. Hence, this breaching of these indicators may continue over coming months, and no corrective action is considered necessary.

## 10 RECOMMENDATION

Cabinet is asked to note the Treasury Management update for the fourth quarter 2009/10.

Appendix A

### SEFTON COUNCIL STANDARD LENDING LIST

<u>UK and</u> <u>International</u> <u>Banks</u> <u>(including</u> <u>Nationwide</u> <u>Building Society</u>	RATING	Negative rating watch?	Individual rating	Support rating	CDS	Deposit £000s
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# Agenda Item 15

<u>UK and International Banks (including Nationwide Building Society)</u>	RATING	Negative rating watch?	Individual rating	Support rating	CDS	Deposit £000s
<b><u>United Kingdom AAA</u></b>						
Santander UK)	F1+ / AA-	Yes	B	1	In range	
Alliance & Leicester (part of Santander)	F1+ / AA-	Yes	B	1	In range	
Barclays	F1+ / AA-	Yes	B	1	In range	
Clydesdale Bank	F1+ / AA-	Yes	C	1	N/A	
HSBC	F1+ / AA	Yes	B	1	In range	
Lloyds TSB/HBOS - nationalised	F1+ / AA-		C	1	N/A	
RBS Group – nationalised	F1+ / AA-	Yes	D/E	1	N/A	
Nationwide	F1+ / AA-	Yes	B	1	Monitoring	
<b><u>Canada AAA</u></b>						
Bank of Montreal	F1+ / AA-		B	1	N/A	
Bank of Nova Scotia	F1+ / AA-		B	1	N/A	
Canadian Imperial Bank of Commerce	F1+ / AA-	Yes	B	1	N/A	
Royal Bank of Canada	F1+ / AA	Yes	A/B	1	N/A	
Toronto Dominion Bank	F1+ / AA-	Yes	B	1	N/A	
<b><u>Finland AAA</u></b>						
Nordea Bank	F1+ / AA-		B	1	N/A	
<b><u>France AAA</u></b>						
BNP Paribas	F1+ / AA	Yes	B	1	In range	
CNCE Calyon Corporate & Investment	F1+ / AA-	Yes	C	1	Monitoring	
Credit Industriel et Commercial	F1+ / AA-		B/C	1	N/A	

# Agenda Item 15

<u>UK and International Banks (including Nationwide Building Society)</u>	RATING	Negative rating watch?	Individual rating	Support rating	CDS	Deposit £000s
<b><u>Germany AAA</u></b>						
Deutsche Bank	F1+ / AA-	Yes	B/C	1	In range	
Landwirtschaftliche retenbank	F1+/AAA		W/D	1	N/A	
<b><u>Netherlands AAA</u></b>						
Bank Nederlandse Gemeenten	F1+ / AAA		A	1	N/A	
Coop Centrale Raiffeisen – Boerenleenbank BA	F1+ / AA+	Yes	A	1	In range	
<b><u>Singapore AAA</u></b>						
DBS	F1+ / AA-	Yes	B	1	In range	
Overseas Chinese Banking Corporation	F1+ / AA-	Yes	B	1	In range	
United Overseas Bank	F1+ / AA-	Yes	B	1	In range	

# Agenda Item 15

<u>UK and International Banks (including Nationwide Building Society)</u>	RATING	Negative rating watch?	Individual rating	Support rating	CDS	Deposit £000s
<b><u>Spain AAA</u></b>						
Banco Santander Central Hispano (parent of Abbey National)	F1+ / AA	Yes	A/B	1	Monitoring	
<b><u>Sweden AAA</u></b>						
Nordea Bank	F1+ / AA-	Yes	B	1	N/A	
Svenska Handelsbanken	F1+ / AA-	Yes	B	1	In range	
<b><u>Switzerland AAA</u></b>						
Credit Suisse	F1+ / AA-	Yes	B/C	1	In range	
<b><u>USA AAA</u></b>						
Bank of New York Mellon	F1+ / AA-		A/B	2	N/A	
Deutsche Bank Trust Company Americas	F1+ / AA-	Yes	N/R	1	N/A	
HSBC Bank USA	F1+ / AA	Yes	B/C	1	N/A	
JP Morgan Chase Bank	F1+ / AA-	Yes	B	1	In range	
Wachovia	F1+ / AA-	Yes	B	1	N/A	
Wells Fargo	F1+ / AA-	Yes	B	1	Monitoring	

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**REPORT TO:** Cabinet

**DATE:** 20<sup>th</sup> May 2010

**SUBJECT:** Sefton Coast Landscape Partnership Scheme

**WARDS  
AFFECTED:** Ainsdale, Birkdale, Blundellsands, Birkdale, Cambridge,  
Church, Harrington, Manor, Ravenmeols, Meols.

**REPORT OF:** Leisure and Tourism Director

**CONTACT  
OFFICER:** Dave McAleavy, Head of Coast and Countryside 0151 934  
2961/2377  
W M Moody, Team Leader Environmental Regeneration  
0151 934 3608

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To notify Cabinet of the offer of grant from the Heritage Lottery Fund (HLF) and allow officers to develop and run the Sefton Coast Landscape Partnership Scheme with Sefton acting as the accountable body.

**REASON WHY DECISION REQUIRED:**

As above.

**RECOMMENDATIONS**

**That Cabinet :**

1. Note the contents of the report on the Sefton Coast Landscape Partnership Scheme taken to the Cabinet Member for Leisure and Tourism on 21<sup>st</sup> April 2010.
2. Authorise officers to complete the necessary agreements with partners for the Sefton Coast Landscape Partnership Scheme.
3. Accept, as accountable body, the offer of grant from the Heritage Lottery Fund;
4. Authorise the Legal Director to enter into a contract with the Heritage Lottery Fund for the Sefton Coast Landscape Partnership Scheme.

# Agenda Item 16

**FORWARD PLAN:** Yes

**IMPLEMENTATION DATE:** Immediately following expiry of the call in period

**ALTERNATIVE OPTIONS:**

**IMPLICATIONS:**

**Budget/Policy Framework:**

**Financial:** The Sefton Coast Landscape Project involves the management of many coastal schemes, with the Council acting as lead Authority and Accountable body, and with the participation and involvement of many Partner Groups over a 3-year period.

This report seeks Member approval to sign up to the drawdown of £1.174m of Heritage Lottery Funding (mostly revenue). In addition to this, match funding from Sefton's own budgeted resources totalling £0.262m has been identified, together with Partner Funding of £0.079m towards the project. There are also some 'in kind' matched elements of funding totalling £0.415m, making the overall project resources worth £1.93m. The analysis of funding by scheme is shown in annex A to this report.

<b><u>CAPITAL</u></b> <b><u>EXPENDITURE</u></b>	<b>2010/ 2011</b> £	<b>2011/ 2012</b> £	<b>2012/ 2013</b> £	<b>2013/ 2014</b> £
Gross Increase in Capital Expenditure				
Funded by: Heritage Lottery Fund				
Sefton Capital Resources				
Specific Capital Resources				

<b><u>REVENUE</u></b>	<b>2010/ 2011</b>	<b>2011/ 2012</b>	<b>2012/ 2013</b>	<b>2013/ 2014</b>
<b><u>IMPLICATIONS</u></b>	<b>£</b>	<b>£</b>	<b>£</b>	<b>£</b>
Gross Increase in Revenue Expenditure	250,000	520,000	345,000	59,000
Funded by: Heritage Lottery Grant	250,000	520,000	345,000	59,000
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N				
How will the service be funded post expiry?				

**Legal:** See Body of the report

**Risk Assessment:** The Stage 2 application required the scheme to be risk assessed.

**Asset Management:** In respect of Sefton, the outcomes of the LPS will be to improve the quality of the coastal landholdings. Where it relates to the Sefton Coast Partnership, the opportunities for Sefton residents to increase awareness and gain access to the coast will be enhanced considerably.

**CONSULTATION UNDERTAKEN/VIEWS**

The development of LPS has been based on a wide consultation with partners and local communities.

Legal Director

FD 388 -The Head of Corporate Services and IT Strategy has been consulted and his comments have been incorporated into the report

Sefton Coast Partnership Board

# Agenda Item 16

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	x		
2	Creating Safe Communities	x		
3	Jobs and Prosperity	x		
4	Improving Health and Well-Being	x		
5	Environmental Sustainability	x		
6	Creating Inclusive Communities	x		
7	Improving the Quality of Council Services and Strengthening local Democracy	x		
8	Children and Young People	x		

### **LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

Report to cabinet member of tourism appended to this report (see appendix one).



## 1. Background

- 1.1 A report dated 21 April 2010, see appendix one, was submitted to the Cabinet Member for Leisure and Tourism. The report described the Sefton Coast Landscape Partnership Scheme (LPS), the projects it will deliver and its current stage of development. The Cabinet Member noted the report and resolved that
- officers be authorised to progress the necessary partnership agreements,
  - prepare a Cabinet report which considers the financial and legal implications of the scheme while recommending how the scheme will be run with Sefton as accountable body,
  - prepare a report to request that Cabinet approve the scheme.

It was also resolved that officers continue discussions with Heritage Lottery with a view to entering into a legal agreement following approval from Cabinet and work with the Sefton Coast Partnership to publicise the scheme. The report identified two areas, financial and legal, that would be developed further and reported to Cabinet. This work has taken place and is now reported here together with appropriate recommendations.

- 1.2 In summary it is proposed that SMBC as the accountable body will enter into a contract with HLF to deliver a scheme of coastal projects worth £1,929,673. Sefton will be responsible for the financial management of the whole scheme, however, the projects will be led and delivered by SMBC and some will be delivered by partner organisations based on the coast. In the case of the latter, Sefton will claim the grant from HLF and grant fund partners for their respective projects subject to agreed work being completed and conditions being met.

## 2. Financial

- 2.1 The total cost of the LPS is £1,929,673 and HLF have offered £1,174,000 towards this. The remaining funding has been secured from various sources. This includes cash and in kind contributions from various SMBC departments and members of the Sefton Coast Partnership (SCP). The in-kind contributions include work and time by volunteers and staff of the organisations who are part of the SCP which, under the rules of the HLF, we are allowed value and include as a contribution towards the total cost.
- 2.2 The table at Annex A summarises how the LPS is financially structured. A large number of the projects that make up the LPS are SMBC led projects.

# Agenda Item 16

## 2.3 Key points to note are:

- Availability of funding under existing Sefton budgets have been confirmed by budget holders and have appropriate approvals
- A contingency sum and allowance for inflation has been included
- The grant is 61% of total costs. HLF will reduce grant accordingly if total spend is less than the figure shown.
- The grant from HLF includes the employment of two members of staff. These staff will be critical to the delivery, management of the scheme, claiming funding and managing risk.

## 3. Legal

3.1 The HLF legal agreement/contract has been received and requires completing within 21 days. However, due to the Council committee cycle, this is not possible and officers have requested an extension until Cabinet can consider this report for approval. The Legal Director has been consulted on both the Heritage Lottery contract and the partnership agreements. The Cabinet Member asks that Cabinet consider the recommendations in the report and accept the offer of grant from HLF on behalf of the Sefton Coast Partnership and, that the Legal Director and Leisure Director be authorised to agree and enter into a contract with HLF.

3.2 The most salient and relevant conditions from the HLF contract require Sefton to

- Use the grant for the agreed purpose
- Manage the scheme appropriately
- Monitor and report progress and outputs
- Use suitable contracts with contractors and third parties
- Follow HLF's publicity guidelines
- Repay grant under specified circumstances
- Confirm project is state aid exempt or equivalent
- Submit base line data, outputs and targets

3.3 It is important that the project commences as soon as possible after the Cabinet meeting, as a number of projects require further work to allow them to commence on time and take advantage of the funding identified in the report.

3.4 Partnership Agreements with partners will be put into place requiring them to deliver their projects. Suitable legal agreements will be required to ensure that Sefton are not put at risk by the failure of a partner to deliver or meet the conditions of the HLF grant.

3.5 In terms of the agreements with partners, ie Natural England, RSPB, National Trust, Lancashire Wildlife Trust and the Mersey Forest, these are in draft form.

They are based on examples used in other LPS projects that HLF have provided for us. Sefton have entered into in agreements in similar situations and it is requested that the Director's of Leisure and Tourism & Legal are authorised to prepare and execute these agreements. The projects generally involve small simple projects and legal agreements will be proportionate to this. Nonetheless, it is important that the agreements:

- Describe the project and key milestones to measure progress and completion
- Pass the requirements of HLF to the partner in particular conditions relating to repayment of grant
- Make the payment of grant conditional on the partner satisfying the above
- If possible, make payment of partner subject to Sefton's receipt of grant from HLF
- Identify how arbitration/disputes will be handled
- Address how lack of performance will be dealt with

3.5 In terms of the risk associated with the scheme, a full risk assessment was carried out as part of the application to Lottery. This identified that in terms of failing to deliver the required outcomes, the biggest risk was the failure of individual projects through poor management. However, the risk assessment did conclude that all risk was reasonable and could be managed. In terms of risk to Sefton as the accountable body, we felt that as long as the project and the funding is managed correctly risk is minimal. The agreements with partner organisations will be critical in minimising risk to Sefton. As stated above, the team funded through the project will also play a critical role in ensuring we meet the terms of our legal agreement with HLF.

## **4. Recommendations**

### **That Cabinet :**

- 4.1 Note the contents of the report on the Sefton Coast Landscape Partnership Scheme taken to the Cabinet Member for Leisure and Tourism on 21<sup>st</sup> April 2010.
- 4.2 Authorise officers to complete the necessary agreements with partners for the Sefton Coast Landscape Partnership Scheme.
- 4.3 Accept, as accountable body, the offer of grant from the Heritage Lottery Fund.
- 4.4 Authorise the Legal Director to enter into a contract with the Heritage Lottery Fund for the Sefton Coast Landscape Partnership Scheme for a period of three years

# Agenda Item 16

## Annex A

Scheme Detail	Existing Sefton Budgets £	HLF Funding £	Partner Spending £	In Kind Cost £	Project Totals
<b>SEFTON LED SCHEMES</b>					
1.1 Marshside	106,680	27,500	8,000	20,100	162,280
1.4 Ainsdale Trails	6,000	54,160		6,438	66,598
1.5 Publications etc	43,750	53,720	7,750	32,280	137,500
2.2 Dunes & Scrub	27,000	147,500		11,954	186,454
2.5 Wetlands	8,000	18,330		15,837	42,167
3.1 Ainsdale Link	41,860	48,100			89,960
4.1 Health Walks		30,000		26,650	56,650
4.4 Ravenmeols		12,350		4,725	17,075
4.5 Sefton Coast Path	28,320	30,000		5,350	63,670
Administration - Inflation		15,466			15,466
Contingency		88,156			88,156
Overheads		231,250			231,250
<b>TOTAL SEFTON LED SCHEMES</b>	<b>261,610</b>	<b>756,532</b>	<b>15,750</b>	<b>123,334</b>	<b>1,157,226</b>
<b>PARTNER LED SCHEMES</b>					
1.2 My Coast		34,080		13,500	47,580
1.3 Archaeology Volunteers		23,708		102,348	126,056
2.1 Dune Health		9,160	2,350	3,050	14,560
2.3 Asparagus		32,782	36,300	14,900	83,982
2.4 Coastal Woodlands		45,600		7,626	53,226
3.2 Ainsdale NNR		70,091	25,000	20,120	115,211
3.3 Lifelong Learning		68,975		45,685	114,660
4.2 Community Archaeology		84,770		84,100	168,870
<b>TOTAL PARTNER LED</b>	<b>0</b>	<b>369,166</b>	<b>63,650</b>	<b>291,329</b>	<b>724,145</b>
<b>IN KIND GRANT/CONT'N</b>		<b>48,302</b>			<b>48,302</b>
<b>TOTAL</b>	<b>261,610</b>	<b>1,174,000</b>	<b>79,400</b>	<b>414,663</b>	<b>1,929,673</b>



# Agenda Item 16

**Appendix one – Report to Cabinet Member for Leisure 21<sup>st</sup> April 2010**

# Agenda Item 16

**REPORT TO:** Cabinet Member for Leisure and Tourism

**DATE:** 21<sup>st</sup> April 2010

**SUBJECT:** Sefton Coast Landscape Partnership Scheme

**WARDS  
AFFECTED:** Ainsdale, Birkdale, Blundellsands, Birkdale, Cambridge,  
Church, Harrington, Ravenmeols, Meols.

**REPORT OF:** Leisure and Tourism Director

**CONTACT  
OFFICER:** W M Moody, Landscape Partnership Manager 0151 934  
2963  
Dave McAleavy, Head of Coast and Countryside 0151 934  
2961/2377

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To advise the Cabinet Member of the progress of the Sefton Coast Landscape Partnership Scheme, the receipt of an offer letter from the Heritage Lottery Fund and the next steps to be taken.

**REASON WHY DECISION REQUIRED:**

To allow officers to continue to develop the scheme, its constituent projects, legal and financial arrangements and a cabinet report which will seek full approval to run the scheme with Sefton as the accountable body.

**RECOMMENDATION(S)**

**Cabinet Member for Leisure and Tourism:**

1. It is recommended that the Cabinet Member for Leisure and Tourism notes the report and
  - a) Authorise officers to progress the necessary partnership agreements with partners of the Sefton Coast Landscape Partnership Scheme;
  - b) Authorise officers to prepare a Cabinet report which considers the

# Agenda Item 16

financial and legal implications of the scheme, recommends how the scheme is to be run with Sefton as the accountable body and requests Cabinet to approve the scheme.

- c) Authorise officers to continue discussions with the Heritage Lottery Fund with a view to entering into a legal agreement following approval from cabinet.
- d) Authorise officers to work with the Sefton Coast Partnership to publicise the offer of grant in accordance with the offer from Heritage Lottery Fund.

**KEY DECISION:** Yes

**FORWARD PLAN:** Yes

**IMPLEMENTATION DATE:** June 2010



**ALTERNATIVE OPTIONS:**

**IMPLICATIONS:**

**Budget/Policy Framework:**

**Financial:**

<b><u>CAPITAL</u></b> <b><u>EXPENDITURE</u></b>	<b>2010/ 2011 £</b>	<b>2011/ 2012 £</b>	<b>2012/ 2013 £</b>	<b>2013/ 2014 £</b>
Gross Increase in Capital Expenditure				
Funded by: Heritage Lottery Fund				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE</u></b> <b><u>IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N				
How will the service be funded post expiry?				

# Agenda Item 16

**Legal:** See Body of the report

**Risk Assessment:** The Stage 2 application required the scheme to be risk assessed.

**Asset Management:** In respect of Sefton, the outcomes of the LPS will be to improve the quality of the coastal landholdings. Where it relates to the Sefton Coast Partnership, the opportunities for Sefton residents to increase awareness and gain access to the coast will be enhanced considerably.

## **CONSULTATION UNDERTAKEN/VIEWS**

The development of LPS has been based on a wide consultation with partners and local communities.

Legal Director

FD 376 -The Head of Corporate Services and IT Strategy has been consulted and his comments have been incorporated into the report

Sefton Coast Partnership Board

## CORPORATE OBJECTIVE MONITORING:

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community	x		
2	Creating Safe Communities	x		
3	Jobs and Prosperity	x		
4	Improving Health and Well-Being	x		
5	Environmental Sustainability	x		
6	Creating Inclusive Communities	x		
7	Improving the Quality of Council Services and Strengthening local Democracy	x		
8	Children and Young People	x		

## LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT

### 1. BACKGROUND:

- 1.1 The Sefton Coast Landscape Partnership Scheme (LPS) aims to fund a number of projects which will make the Sefton Coast more accessible, protect its character, improve understanding and put skills into place which will help its long-term future. The scheme is led by Sefton Council on behalf of the Sefton Coast Partnership Board. It is proposed that Sefton will be the accountable body for the scheme. The funding for the scheme is from Heritage Lottery Fund (HLF) and the members of the Sefton Coast Partnership.
- 1.2 The LPS has gone through a number of stages commencing in 2007 with the awarding of a planning grant from HLF to prepare a Stage 1 application (development stage), consequently, receiving a stage one pass and an approval in principle to develop the scheme. The project received a development grant for this purpose from HLF in November 2008 HLF. Sefton accepted this on behalf of the partnership and the project was developed during 2009 - the stage two application was submitted in November 2009.

# Agenda Item 16

HLF approved this application and made the Council an offer on 22<sup>nd</sup> March 2010 of £1,174,000. This offer is subject to contract. The contract was received on 30<sup>th</sup> March.

- 1.3 This report describes the scheme's current position and the next steps to be taken.

## 2. PROJECT DETAIL

- 2.1 The Sefton Coast Landscape Partnership Scheme has a number of strategic goals, but it essentially consists of nineteen projects delivered by the various organisations that operate on the coast. It also includes a small team who will manage delivery of the scheme and deliver a number of the projects.

- 2.2 The projects are varied. They include education projects, health projects, physical improvements to paths and buildings and restoration of habitats. The projects shown below have been developed to a reasonable level of detail to satisfy HLF and risk assessments were completed as part of the Stage 2 application process. The projects are:

- **Reconnecting Marshside with its Coastal Heritage** – this project will develop access for pedestrians, wheelchair users and cyclists alongside Marshside Road to reconnect the local community to the coast. The project also celebrates traditions and land use, supports the community's understanding of the area and helps people discover the local heritage. The project involves the North Meols Civic Society, the RSPB and Sefton Council.
- **My Coast my Future** – a coast-wide project and a three-year programme involving schools along the coast will be delivered in two parts. The North Sefton City Learning Centre will undertake consultation with land managers to develop projects and coordinate fieldwork, IT input, outputs and establishment of a project website. The LPS team will support activities with parents and the community. This project is based on a successful pilot in 2007 and will involve 'clusters' of schools. In each project there may be two secondary schools working with five primary schools.
- **Archaeology Volunteers and Heritage Training** – this project aims to recruit and train a group of volunteers to continue field studies on the changing coast, collecting information and sharing this with the National Museums Liverpool. The project will focus on recording archaeological evidence and integrating this with the interpretation of the coastal heritage, the promotion of historic routes, and interpretation of geomorphology and coastal change.
- **Coastal Trails at Ainsdale and Birkdale** – the project will provide new and improved access to the Ainsdale and Birkdale Local Nature Reserve and

adjacent beaches, by developing new marked trails across the three main sections of the nature reserve. Notably, the Birkdale Hills to complete an access network that complements the all abilities route as part of the Southport Cycling Town project, the Ainsdale sandhills to improve the dune trail and improvements & an extension of the Velvet Trail from Birkdale to Ainsdale – the latter in conjunction with Birkdale Civic Society. This project will also provide information and interpretation and provide links to the main access routes.

- **Publications** – this project will create and promote a range of publications for the coast, which contribute to the visitors' awareness of opportunities for coastal recreation and enjoyment. The publications will promote the coast's special values and wherever possible will be accessible to visually impaired readers and will include a publication for younger visitors.
- **Sand Dune Heath Restoration Project** – this project will identify the current extent and condition of dune heath and opportunities for extending this habitat. It will investigate and trial techniques for heath restoration on adjacent acid grassland and will implement successful techniques on various sites. The project also aims to reintroduce the Sand Lizard to the largest block of dune heath at Freshfield and strengthen the population of this animal in the dunes at Hightown.
- **Dune and Scrub Management** – this project is mainly concerned with the management of the open dune system. It will develop a balanced approach to scrub management on the nature conservation sites, by tackling the problem of invasive scrub while implementing sustainable practices such as grazing and the conservation of scrub features for habitat and landscape values. This project will target areas of the Sefton Coast SSSI and Special Area of Conservation (SAC) in Unfavourable Condition and declining to assist land managers in restoring the dune landscape to Favourable Condition. The majority of this work will take place on the Birkdale Hills unit of the SSSI in the ownership of Sefton Council and will assist the Council in resolving a 'risk' identified in the Leisure and Tourism Service Delivery Plan.
- **Asparagus Trail** – this project celebrates the cultivation of Formby asparagus and extension of the current area of asparagus farming at Freshfield. The local interest in preserving this tradition will see the development of a project by the National Trust and the Formby Civic Society that will find more out about the history of asparagus farming, record the surviving cultivation, ridges and landscape, produce a booklet on its history and cultivation methods and create an interpretative trail round part of the area.
- **Conserving Coastal Woodlands** – this project will develop the long-term objectives of the woodland plan that will be reformulated following a consultation that will involve the local community. It will provide a

# Agenda Item 16

management plan for the period 2013 – 2022. Training and awareness programmes will be run throughout the project period to supplement and feed into the consultation period. The outcome will be a woodland plan for the ten-year period that will be embedded in and understood by the local community.

- **Wetland Conservation** – this project will focus on the restoration and management of wetland features. Natural dune wetlands are known as slacks and this important feature of the Sefton Coast requires special management to maintain a full range of habitats and species. Coastal slacks are in various stages of development with some lost or in serious danger of losing their diversity due to scrubbing up, invasive non-native species or atmospheric Nitrogen deposition.
- **Ainsdale Link Path** – the aim of this project is to provide a high quality, safe, multi-use access to the National Nature Reserve. The existing underpass will be improved to provide all ability use and to allow cycle access at a key junction in the access network. The project will connect the village and schools to the nature reserves on their doorstep. This project is one of two all-abilities route upgrades originally planned for the Ainsdale and Birkdale area, but because of funding availability during the development stage of LPS and timescales that required a Stage 2 submission in Oct/Nov 2009 the Birkdale Hills route was not included in this programme. However, some Members will now be aware that funding for the Birkdale Hills route has since become available from Cycling England as part of the Southport Cycling Town Project and because of delays in implementing the scheme it now falls within the project period for the LPS and will complete the network of coastal all-abilities routes originally planned for Ainsdale and Birkdale.
- **Local Community and Resource Centre** – this project on the Ainsdale Sand Dunes NNR will convert an old office building into a community interpretation and educational resource centre housing landscape and coastal heritage themed displays and educational materials. The project will also provide new all-ability access to part of the National Nature Reserve linked to the development of the community resource centre and wildlife garden.
- **Lifelong Learning** – this project will coordinate and deliver a number of sub-projects linked formal education, young people and lifelong learning. Training for staff, volunteers and community groups forms a key element of the project. The projects is linked to a coastal communities theme and is supported by the feedback received from community workshops, but will also help across the projects and programmes by offering training formal education opportunities.
- **Communication** – this project will significantly add to the current level of communication, discussion and consensus building delivered by the Sefton Coast Partnership. The project responds to the strong support for improved

communications and dialogue that came from the community consultations and from current issues concerning landscape change.

- **Active Coast Health Walks** – this project will extend the Sefton active walks programme with a series of longer, landscape-based walks. The primary aim will remain health walks based on the ‘walking the way to health’ initiative.
- **Community Archaeology** – to engage local communities in archaeological investigations that tell different aspects of the coastal story. The projects will provide an opportunity for training and group activity. This project is about discovering the coastal heritage and will allow communities to take an interest in aspects of local history.
- **Sefton Coast Interpretative Programme** – this project will develop a thematic interpretation project for Sefton’s Natural Coast based on themes identified in the Communications, Interpretation and Visitor Product Development Plan. The project is important as it makes a significant contribution towards helping people discover the coastal heritage. The interpretative themes will help to show how the dynamic coast functions, how the land has been managed for centuries and how local communities have defined a sense of place. This project will advise on interpretation across all the projects and programmes.
- **Ravenmeols Heritage Trails** – This project is concerned with discovering the coastal heritage on this part of the coast and will tell the story of how the landscape has changed over time and how communities have used in the past to today. The project will create a ‘living landscape trail’ on the Ravenmeols Dunes and Woodlands Local Nature Reserve, involve the local community through the Formby Civic Society in developing the trail and conserve local historical features.
- **Sefton Coastal Path** – this project will establish the coastal footpath as the main artery connecting the coastal gateways of Crosby, Formby, Ainsdale and Formby. The project will raise standards at specific locations along the coast and aim to promote the route and opportunities for communities and visitors.

2.3 The projects were developed through extensive consultation. The scheme has been presented at Formby and Crosby Area Committees. The scheme has been developed with the cooperation and full support of a number of council departments, notably, Leisure and Tourism, Planning and Economic Development and Technical Services. The scheme will benefit local communities and visitors to the coast.

### 3. **Financial**

# Agenda Item 16

- 3.1 The total cost of the LPS, as approved by HLF is £1,929,673. HLF have offered £1,174,000 towards this. The remainder is made up of cash or in-kind, ie staff or volunteer time, from Sefton or partners. This match funding has been secured and will be detailed in a full cabinet report.
- 3.2 It is proposed that Sefton Council are the accountable body for the scheme. How this will work and be managed, the breakdown of capital revenue and other arrangements necessary will be fully detailed for approval of cabinet in due course.

## 4. Legal

- 4.5 The HLF legal agreement/contract has been received and requires completing within 21 days, however, due to the Council committee cycle, this is not possible and officers have requested an extension until Cabinet can consider this report for approval. The Legal Director has been consulted on both the Heritage Lottery contract and possible agreements with partners.
- 4.6 Partnership Agreements with partners will need to be put into place requiring them to deliver their projects. These will need to ensure that Sefton are not put at risk by the failure of a partner to deliver or meet the conditions of the HLF grant. This will be fully explained in the cabinet report.

## 5. Recommendations

- 5.1 It is noted that the Sefton Coast Landscape Partnership Scheme offer of grant has been received and that this is the largest awarded to the Sefton Coast Partnership in the last 25 years. The scheme will generate considerable local community involvement, training for staff and volunteers & will implement both physical and intellectual access opportunities on the coast.
- 5.2 Officers continue to develop the legal and financial arrangements to deliver the scheme with Sefton as the lead and accountable body and that these will be fully detailed in a report for the meeting of Cabinet of the 20<sup>th</sup> May 2010.



# Agenda Item 17

**REPORT TO:** Cabinet

**DATE:** 20<sup>th</sup> May 2010

**SUBJECT:** Land at Palmerston Avenue, Litherland.

**WARDS AFFECTED:** Litherland

**REPORT OF:** Neighbourhoods and Investment Programmes Director

**CONTACT OFFICERS:** Tom Clay – HMR Programme Manager 0151 934 5181

**EXEMPT/ CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To seek approval to the disposal of the Council's freehold interest in the site at Palmerston Avenue, Litherland, to Liverpool Housing Trust, for less than "best consideration".

**REASON WHY DECISION REQUIRED:**

Cabinet has delegated authority to approve the disposal.

**RECOMMENDATION:**

That Cabinet:

- I. approves the disposal of the Council's freehold interest in the site at Palmerston Avenue, Litherland, to Liverpool Housing Trust for £1, on terms and conditions as previously approved
- II. Grants the Neighbourhoods and Investment Programmes Director in consultation with the Head of Legal Services delegated authority to agree any other terms as are necessary to enable the completion of the transaction.

**KEY DECISION** No

**FORWARD PLAN:** No

**IMPLEMENTATION DATE:** Following expiry of the "call in" period for the Minutes of this meeting.

# Agenda Item 17

**ALTERNATIVE OPTIONS:** The Council could retain the site but this would prevent the development of much needed affordable social housing on the site and the furtherance of the HMRI regeneration programme.

**IMPLICATIONS:**

**Budget/Policy Framework:** Not appropriate

**Financial:** The capital receipt of £350,000, earmarked for this land, will be waived, in favour of a nominal receipt of £1.

<b><u>CAPITAL EXPENDITURE</u></b>	<b>2006/ 2007 £</b>	<b>2007/ 2008 £</b>	<b>2008/ 2009 £</b>	<b>2009/ 2010 £</b>
Gross Increase in Capital Expenditure				
Funded by:				
Sefton Capital Resources				
Specific Capital Resources				
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure				
Funded by:				
Sefton funded Resources				
Funded from External Resources				
Does the External Funding have an expiry date? Y/N	When?			
How will the service be funded post expiry?				

**Legal:** The Head of Legal Services will complete the legal documentation for the transfer of the Council's freehold interest in the site

**Risk Assessment:**

The Legal Agreements contain sufficient safeguards to ensure that the land reverts back to the Council if the Housing Association cannot fulfil the conditions relating to the proposed development.

**Asset Management:**

The proposal makes best use of assets to support the regeneration of the area and is in line with the Council's Asset Management Strategy.

**CONSULTATION UNDERTAKEN/VIEWS**

Interim Head of Legal Services  
 FD 377 - The Head of Corporate Finance & Information Services has been consulted and has no comments on this report.

**CORPORATE OBJECTIVE MONITORING:**

<u>Corporate Objective</u>		<u>Positive Impact</u>	<u>Neutral Impact</u>	<u>Negative Impact</u>
1	Creating a Learning Community		√	
2	Creating Safe Communities	√		
3	Jobs and Prosperity	√		
4	Improving Health and Well-Being	√		
5	Environmental Sustainability	√		
6	Creating Inclusive Communities	√		
7	Improving the Quality of Council Services and Strengthening local Democracy	√		
8	Children and Young People	√		

**LIST OF BACKGROUND PAPERS RELIED UPON IN THE PREPARATION OF THIS REPORT**

# Agenda Item 17

## **1.0 Background**

- 1.1 Minute 384 of Cabinet at its meeting on 20<sup>th</sup> April 2006 resolved that;
- (1) the disposal of the Council's freehold interest in the former dairy at Palmerston Avenue, Litherland to Servite Houses, on the basis of the terms and conditions contained in the report, be approved;
  - (2) the Technical Services and Legal Directors be authorised to negotiate and agree any other detailed terms and conditions and complete the transaction; and
  - (3) the loss of revenue income within the Corporate Resources portfolio be noted and an accommodation of £13,500 be made in the 2006/2007 Medium Term Financial Plan and for future years to allow for this loss.
- 1.2 Members will be aware that Servite Houses could not fulfil their obligations and Liverpool Housing Trust (LHT) were nominated to acquire the site. (Linacre One was the consortium of Rodney Housing Association and LHT chosen by the Council as lead RSLs for the area, and they have both merged to become part of the Vicinity Housing Group).
- 1.3 LHT would acquire the Council's freehold interest at Palmerston Avenue on the same terms and conditions as agreed with Servite Houses and approved by Cabinet on 20<sup>th</sup> April 2006.
- 1.4 Planning permission was granted by the Council to LHT on 7<sup>th</sup> May 2009 for the erection of 36 dwellings.
- 1.5 LHT subsequently indicated to the Council that they had submitted a bid to the Homes and Communities Agency (HCA) for grant funding for the houses split into 12 "Homebuy" units and 24 social rent units, which would only be viable if the land value was reduced from £350,000 to £1. The grant required was £40,000 per property for the "Homebuy" units and £69,000 per property for the social rented housing.

## **2.0 Current Position**

- 2.1 The Neighbourhoods and Investment Programmes Director supports the proposal in principle, subject to a suitable appraisal of the figures provided by LHT confirming their case for a reduction in the land value.
- 2.2 The Council has powers under the Local Government Act 1972 to dispose of land at an undervalue where it considers that this will help secure the promotion or improvement of the economic, social or environmental well being of its area.
- 2.3 The undervalue in this instance is £350,000 based on the valuation of the income stream the Council will forego, as previously agreed by LHT.

- 2.4 LHT's financial data for the scheme has been appraised by Three Dragons, who are consultants appointed to undertake affordability appraisals for the Planning and Economic Development Department.
- 2.5 The conclusion of the report is that for social housing purposes the land has no value, even with extensive grant funding.
- 2.6 The HCA has confirmed that the sale of the land for £1, together with the grant funding, would not count as a double subsidy to LHT.
- 2.7 Similarly, the Head of Legal Services confirms that the land is not held under powers derived from the Housing Act 1985, which is one of the exceptions in the legislation that could prevent a sale at less than "best consideration".

### **3.0 Conclusion**

- 3.1 The Secretary of State for Communities and Local Government has made it clear that Local Authorities will be expected to discount the value of its land holdings in order to maximise the development of social and affordable housing. Officers have satisfied themselves that on the basis of robust financial appraisal, the development of this site would not be viable unless the sale of the site for an undervalue of £1 were agreed,

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# Agenda Item 18

**REPORT TO:** Licensing and Regulatory Committee  
Cabinet

**DATE:** 19<sup>th</sup> April 2010  
20<sup>th</sup> May 2010

**SUBJECT:** Restructure of the Taxi Licensing Enforcement Team and Reduction of Driver Licence Fees

**WARDS AFFECTED:** All

**REPORT OF:** P.J. Moore,  
Environmental & Technical services Director

**CONTACT OFFICER:** Mr Andrew Naisbitt  
Trading Standards Section Manager  
0151 934 4014

**EXEMPT/  
CONFIDENTIAL:** No

**PURPOSE/SUMMARY:**

To advise the Licensing & Regulatory Committee of the restructure of the Taxi Licensing Enforcement Team and the reduction of the hackney carriage and private driver licence fees.

**REASON WHY DECISION REQUIRED:**

In order to maintain cost recovery for the General Licensing services provided.

**RECOMMENDATION(S):**

That the Licensing & Regulatory Committee:

1. Notes the report;
2. Recommends Cabinet to approve the temporary increase in establishment of the Taxi Licensing Enforcement Team;
3. Recommends Cabinet to approve the increase in the 'Hackney Stands' budget; and
4. Recommends Cabinet to approve the Taxi Licensing Fees in Annex 1 of the report.

That Cabinet:

1. Approves the temporary increase in establishment of the Taxi Licensing Enforcement Team;
2. Approves the increase in the 'Hackney Stands' budget; and
3. Approves the Taxi Licensing Fees in Annex 1 of the report.

**KEY DECISION:** No

**FORWARD PLAN:** No

**IMPLEMENTATION DATE:** Following the call in period for the minutes of this meeting,

# Agenda Item 18

## ALTERNATIVE OPTIONS:

Not to agree the proposed fee reductions will almost certainly lead to a continued increase in the size of the ring-fenced reserve. Not to agree the temporary establishment change would lead to dissatisfaction within the trade that their concerns about enforcement are not being addressed.

## IMPLICATIONS:

**Budget/Policy Framework:** Fees and Charges are outside the budget setting framework.

## Corporate Objective Monitoring

Corporate Objective		Positive Impact	Neutral Impact	Negative Impact
1.	Creating a Learning Community		✓	
2.	Creating Safe Communities	✓		
3.	Jobs and Prosperity	✓		
4.	Improving Health and Well-Being		✓	
5.	Environmental Sustainability		✓	
6.	Creating Inclusive Communities	✓		
7.	Improving the Quality of Council Services and Strengthening local Democracy	✓		
8.	Children and Young People		✓	

## Financial Implications

All costs associated with this report are funded from the Taxi Licensing Income and Ring Fenced Taxi Revenue Reserve Account.

	2009/ 2010 £	2010/ 2011 £	2011/ 2012 £	2012/ 2013 £
<b><u>CAPITAL EXPENDITURE</u></b>				
Gross Increase in Capital Expenditure	–	–	–	–
Funded by:				
Sefton Capital Resources	–	–	–	–
Specific Capital Resources	–	–	–	–
<b><u>REVENUE IMPLICATIONS</u></b>				
Gross Increase in Revenue Expenditure	–	43,000	70,000	43,000
Funded by:				
Sefton funded Resources	–	–	–	–
Funded from External Resources	–	43,000	70,000	43,000
Does the External Funding have an expiry date? Y/N	When?			
N				
How will the service be funded post expiry?				

## Departments consulted in the preparation of this Report

FD 367 – The comments of the Interim Head of Corporate Finance and Information Services have been incorporated into the report.

## List of background papers relied upon in the preparation of this Report

N/A



## **BACKGROUND**

1. The Licensing & Regulatory Committee will recall the report entitled “*Review of the Taxi Licensing Portfolio*”, dated 31<sup>st</sup> March 2008 (the “2008 Review”). The report advised of the review of the Taxi Licensing Service. The Report made a number of recommendations aimed at addressing many of the historical concerns raised by the Trade and so provided the foundation for future service development.
2. The report reviewed the structure of the Taxi Licensing Team structure and recommended changes to enhance the ‘on street’ enforcement which were implemented in April 2008. The report also recommended a general reduction in the level of the licence fees as part of measures to address the level of the ring-fenced Taxi Licensing Trade Account.
3. The Taxi Licensing service is a self-financing service with a ring-fenced budget, any surplus income is transferred to a specific reserve account where it is held for reinvestment into the service, for determining the level of locally set fees, or to be carried forward to make good any future deficits. This is in line with agreed Council policy in respect of the treatment of the Taxi and Private Hire accounts.
4. Other than any areas specifically identified in the Council’s budget proposals, the setting of fees and charges falls outside the budget setting framework and it is left to individual departments to determine any need for increases. None of the fees and charges included within this report for services delivered by the Environmental and Technical Services Department has been specifically identified in the Council’s budget proposals for 2010/11.

## **THE IMPACT OF THE CHANGES - ENFORCEMENT**

5. Dynamic proactive risk-based intelligence-led enforcement exercises allow the service to target resources to areas of greatest need, for example targeting illegal plying for hire via test purchases are now monthly activities. These exercises regularly result in criminal convictions in the local courts. Recent exercises in January and February 2010 have resulted in fifteen Liverpool Licensed Hackney Carriages being caught illegally plying for hire within Sefton.
6. Enforcement services currently cover 7.30am through 4am, a bandwidth of 20.5 hours per day by utilising a targeted shift system that seeks to provide an enforcement presence at peak periods, eg Thursday, Friday and Saturday nights & Special Events such as Aintree race meetings.
7. Hackney Carriage and Private Hire enforcement statistics are presented to the trade at the quarterly Trade Stakeholder meetings and the Trade have complemented the service on recent successes, however the praise is tempered by requests for increased levels of enforcement.

## **THE IMPACT OF THE CHANGES - REDUCTION IN LICENCE FEES**

8. Members will be aware that the ring-fenced Taxi Licensing Trade Account is intended to be operated on a “break-even” basis. Any surplus income is transferred into a reserve account for reinvestment in the service. The 2008 Review highlighted:

*“The licensing portfolio outturn report for 2006/07 shows a budget surplus of £119,286.00 to be transferred into the reserve account. This added to the reserve*

# Agenda Item 18

*balance of £100,123.00 means the reserve balance stands at £219,409.00 at the closure of accounts 2006/07”.*

9. Following the publication of the 2008 Review, the closing balance for the reserve account in 2007/08 was £355,629.00.
10. The intention of reducing the licence fees in April 2008 was to reduce the annual surpluses generated and achieve a year on year balanced budget.
11. The impact of the reduced licence fees has been negated by other factors. In 2008/09, the Service experienced a unprecedented surge in applications for private hire licences fuelled by a vigorous expansion programme by a local private hire operator. The net outcome was an increase of almost **15%** in licence applications. Consequently the budget ended the year with a surplus of £114,068.00, increasing the reserve account balance to £469,688.60.
12. Licence demand has now settled and filling a long standing vacant enforcement officer post has resulted in a projected budget surplus for 2009/10 in the region of £40,000.00.
13. Legal advice obtained in August 2009 clearly indicates the income generated (including the reserve) can **only** be used for the administration of the Taxi Licensing Regime and can not be used to raise revenue generally.

## TRADE FEEDBACK

14. The Trade have repeatedly raised concerns that a small percentage of Sefton Private Hire vehicles in the Southport area and hackney carriages from outside Sefton operating in and around Bootle, continue to operate illegally and as such action should be taken by increasing the number of test purchasing operations particularly during the hours 11:00pm until 6:00am. The most recent request was tabled at the meeting of the Licensing and Regulatory Committee Spokespersons / Joint Trades Stakeholders on 26<sup>th</sup> January 2010. There have also been regular requests for the Council to increase its ‘enforcement’ presence generally.
15. There have also been requests for a reduction in the driver licence fees, most recently at the Joint Hackney Carriage and Private Hire Stakeholder meeting in December 2009.

## STAFF FEEDBACK

16. The consensus view from the Taxi Licensing team is additional staff are required to assist with enforcement duties. The use of intelligence led test purchasing exercises has seen a sharp increase in investigative work and processing casework which diverts the officers away from their district duties. Whilst the team has coped with increasing level of enforcement, the level of successful prosecutions has risen from six and seven in 2005/06 and 2006/07 respectively to the current level of twenty five per annum, it has reduced the ‘district’ presence.
17. The increased demand for new licences has also put additional strain on the Team with respect to supporting the Knowledge Test and handling telephone enquires from the trade that cannot be handled within the One-Stop Shops and Call Centre.

## LICENCE FEES

18. It is proposed that the Hackney Carriage Driver and Private Hire Driver licence fees be reduced as follows:

Licence Type	"Full Period" Sefton Charge 1st April 2005 – 31st March 2008	Current "Full Period" Sefton Charge 1st April 2008 - present	Proposed Charges	Price drop	% drop against April 2008	'Real Time' % drop against April 2008 (assuming annual inflation of 2.5% per annum)
<b>PH Driver (3 year)</b>	£99.00	£77.75	£57.60	£20.15	<b>-25.9%</b>	-29.7%
<b>HC Driver (3 year)</b>	£99.00	£77.75	£57.60	£20.15	<b>-25.9%</b>	-29.7%
PH Vehicle (1 Year) (exc Test Fee)	£140.40	£120.00	£110.00	£10.00	<b>-8.3%</b>	-12.5%
HC Vehicle (1 Year) (exc Test Fee)	£140.40	£120.00*	£110.00**	£10.00	<b>-8.3%</b>	-12.5%

(\* licence fee before £20 hackney carriage rank levy applied)

(\*\* licence fee before £30 hackney carriage rank levy applied).

The proposed changes represent a 25.9% reduction in the hackney carriage and private driver licence fees against the current levels and an 8% reduction in the hackney carriage and private hire vehicle licence fees. It also represents an almost 42% reduction in the driver licence fees when compared against fees charged between 1<sup>st</sup> April 2005 and 31<sup>st</sup> March 2008.

19. The proposed reduction should reduce annual income by approximately £45,000. If income levels remain constant revenue levels should be close to the break-even point. All other hackney carriage, private hire & omnibus licence fees will remain unchanged. A full list of the Taxi Licensing Fees is appended to this report as Annex 1.
20. The proposed fees will mean Sefton continues to offer drivers the lowest fees on Merseyside, see below.

Licence Type	Time period	Halton	Knowsley	Liverpool	St. Helens	Sefton	Wirral
Private Hire Driver	3 year	£164.00	£165.00	£150.00	£148.00	£57.60	£125.40
Hackney Driver	3 year	£164.00	£165.00	£150.00	£148.00	£57.60	£125.40
Private Hire Vehicle exc Test	1 year	£195.00	£208.00	£160.00	£218.00	£110.00	£160.20
Hackney Carriage Vehicle exc Test	1 year	£192.50	£208.00	£160.00	£218.00	£110.00	£160.20
Knowledge Test	n/a	£0	£0	£35	£25	£0	£0

NB Figures corrected to account for differing licence durations

21. These proposals taking inflation into account are a net reduction across all the licence types.

# Agenda Item 18

## ENFORCEMENT PROVISION

22. The Taxi Licensing Team is part of the Trading Standards Section within the Environmental & Technical Services Department. The Principal Tax Licensing & Enforcement Officer currently reports directly to the Trading Standards Manager. The current structure (Figure 1) comprises:

- Principal Tax Licensing & Enforcement Officer (1 FTE)
- Enforcement Officer (4 FTE)
- Enforcement Officer (Administration) (1 FTE)

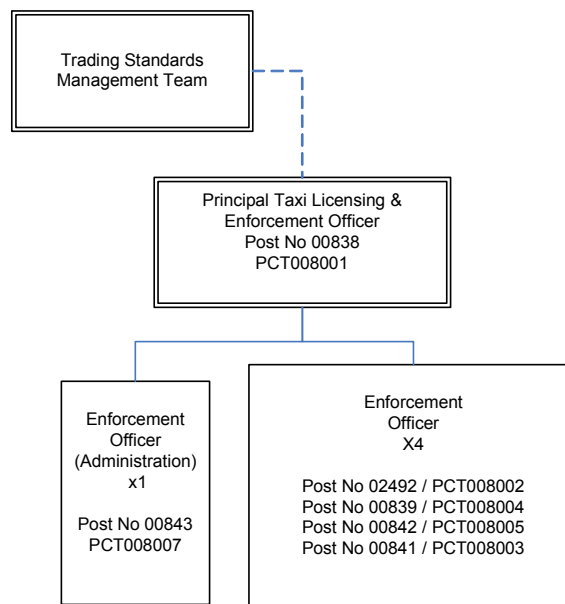


Figure 1 – Existing Taxi Licensing Team Structure

23. It is proposed to increase provision by restructuring the Taxi Licensing Team and increasing the establishment by:

- a) 2 FTE Enforcement Officers. The increased resource is in response to the near 15% increase in licences that has occurred during the last two years and will allow expansion of the current intelligence led targeted shift system to make provision for cover 24 hours, 7 days a week. These posts to be two year contracts and subject to review at that time;
- b) 0.5 FTE Management Information Officer. This post will be assigned to the Environmental & Technical Services Department's Management Information Team and independent of the Taxi Licensing Team and will deal specifically with the administration of the Lalpac database. It is envisaged this proposal will leave the Principal Tax Licensing Officer free to concentrate on service delivery rather than 'back office' administration.
- c) Redefine the lines responsibility within the Trading Standards Section to streamline current reporting mechanisms.

24. In order to accommodate changes to line-management responsibility within the Environmental & Technical Services Department, the Principal Tax Licensing & Enforcement Officer will be re-designated Taxi Licensing Team Leader. The revised team structure is illustrated in Figure 2.

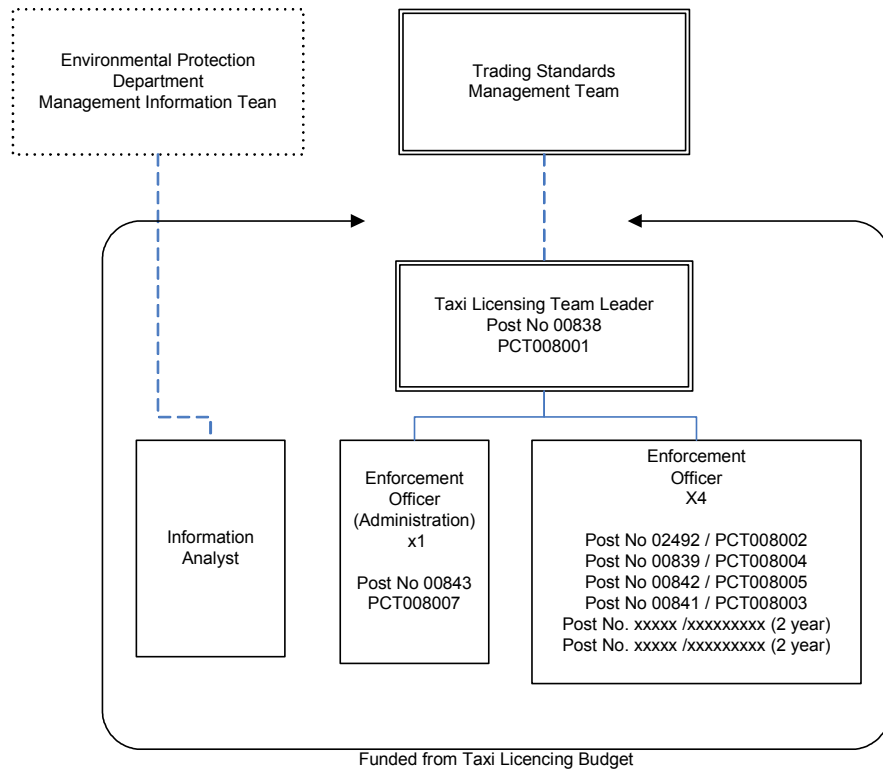


Figure 2 – Proposed Taxi Licensing Team Structure

25. It is proposed the restructure be wholly funded from Taxi Licensing income, drawing on the Taxi Licensing Reserve Account as necessary as the Council takes steps to reduce the outstanding reserves. The Council is entitled to levy a charge to cover the administration of the Taxi Licensing Portfolio however the level of the reserves could be subject to legal challenge if allowed to accumulate unchecked. The restructure will cost approximately £150,000 over the two year period with a corresponding reduction in the value of the Taxi Licensing Reserve Account.
26. It is proposed to implement the restructure from 1<sup>st</sup> June 2010 and all posts are subject to job evaluation.

## CONCLUSIONS

27. These measures are being proposed in response to requests, primarily from the trade, but also by a need to reduce the current balance held in the ring-fenced Taxi Licensing Trade Account. The Council also seeks to provide some financial assistance to the trade during the current difficult economic climate.

# Agenda Item 18

28. The proposals were considered at the Joint Trade Stakeholder meeting on 23<sup>rd</sup> March 2010 and the outcome of the consultation is as follows:
- a) The proposed reduction in Private Hire Driver Licence Fees – Agreed.
  - b) The proposed reduction in Hackney Carriage Licence Fees – Agreed.
  - c) The proposed reduction in Private Hire Vehicle (1 year) (exc Test Fee) – Agreed.
  - d) The proposed reduction in Hackney Carriage (1 year) (exc Test Fee) – The consensus view was the proposed reduction in Licence Fee be accepted but that the annual Hackney Rank Levy be increased and those funds reinvested in the hackney stands budget to improve disability access to hackney carriage ranks and rank signage / furniture. Consequently the hackney stands budget to be increased from £5,400 to £8,150 per annum.
  - e) The restructure of the Taxi Licensing Team - Agreed.
29. The Environmental & Technical Services Director will continue to monitor the balance of the ring-fenced Taxi Licensing Trade Account and will further review the Taxi Licensing Team in 2012.

## Annex 1

Licence Type	"Full Period" Sefton Charge 1st April 2005 – 31st March 2008	Current " Full Period" Sefton Charge 1st April 2008 - present	Proposed Charges	Price drop	% drop against April 2008
PH Driver (3 year)	£99.00	£77.75	<b>£57.60</b>	£20.15	-25.9
PH Vehicle (1 Year) (exc Test Fee)	£140.40	£120.00	<b>£110.00</b>	£10	-8.3
PH Vehicle (6 Month) (exc test fee x 2)	£74.80	£64.00	£64.00	£0	0
PH Operator (1-2) vehicles 1 Year	£40.80	£25.00	£25.00	£0	0
PH Operator (3-50) vehicles 1 Year	£154.80	£125.00	£125.00	£0	0
PH Operator (51-100) vehicles 1 Year	£183.00	£150.00	£150.00	£0	0
PH Operator (100+) vehicles 1 Year	£218.40	£175.00	£175.00	£0	0
HC Driver (3 year)	£99.00	£77.75	<b>£57.60</b>	£20.15	-25.9
HC Vehicle (1 Year) (exc Test Fee)	£140.40	£140.00*	<b>£140.00*</b>	£10.00**	-8.3**
HC Vehicle (6 Month) (exc test fee x 2)	£74.80	£74.00*	£74.00*	£0	0
HC Vehicle (NEW ISSUE) (exc Test Fee)	£280.80	£140.00*	£140.00*	£10.00**	-8.3**
Omnibus Driver	£33.00	£20.00	£20.00	£0	0
Omnibus Vehicle (1 Year) (exc Test Fee)	£215.40	£100.00	£100.00	£0	0
Omnibus Vehicle (NEW ISSUE) (exc Test Fee)	£280.80	£200.00	£200.00	£0	0

\* includes £110 Licence Fee and £30 annual hackney rank levy,

\*\*before hackney rank levy applied

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